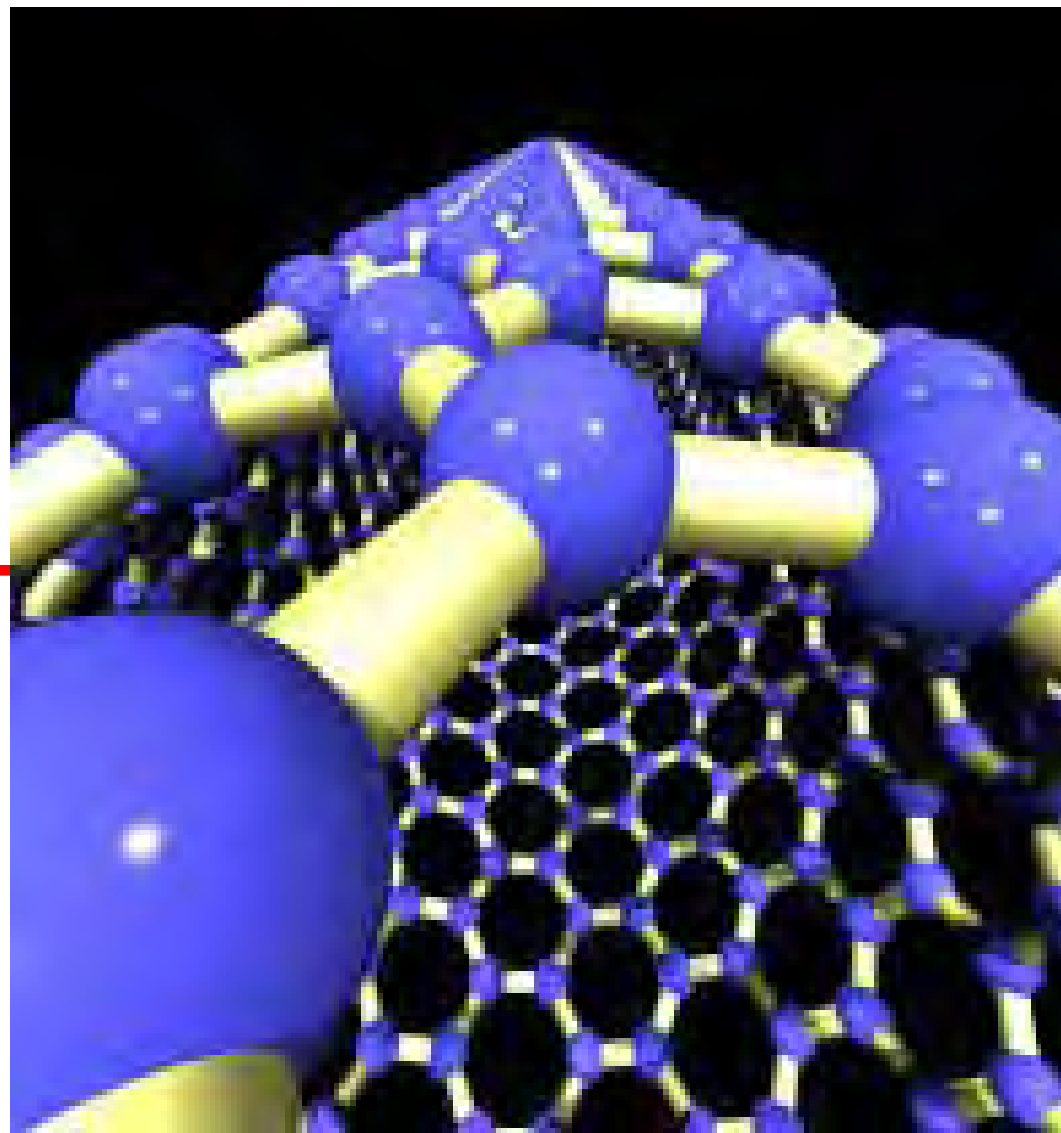


Nanomaterials with Antimicrobial Properties: Mechanisms, Implications and Applications

USCOE ERDC-EL-MS

18 August 2009



Pedro J.J. Alvarez



Acknowledgements: NSF/CBEN, EPA






Nano = Dwarf (Greek) = 10^{-9}

“Nanotechnology is the understanding and control of matter at dimensions of roughly 1 to 100 nanometers, where unique phenomena enable novel applications.”

-National Nanotechnology Initiative

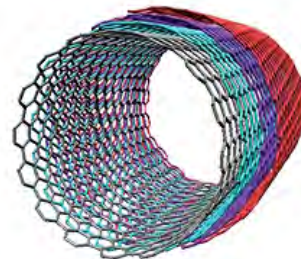
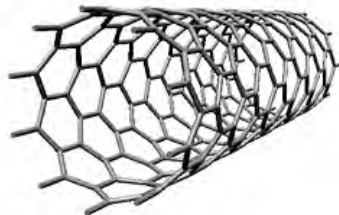
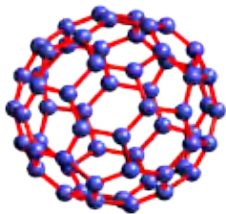


Nanomaterials in the Environment

<u>Engineered</u>	<u>Incidental</u>	<u>Natural</u>
<ul style="list-style-type: none">• Carbon-based NTs, Fullerenes• Metal Oxides• Quantum Dots• Nanotubes• Nanowires• Dendrimers 	<p>Particles from:</p> <ul style="list-style-type: none">• Combustion• Industrial Processes• Vehicles• Construction 	<p>Particles from:</p> <ul style="list-style-type: none">• Plants, Trees• Oceans, other water bodies• Erosion• Dust 

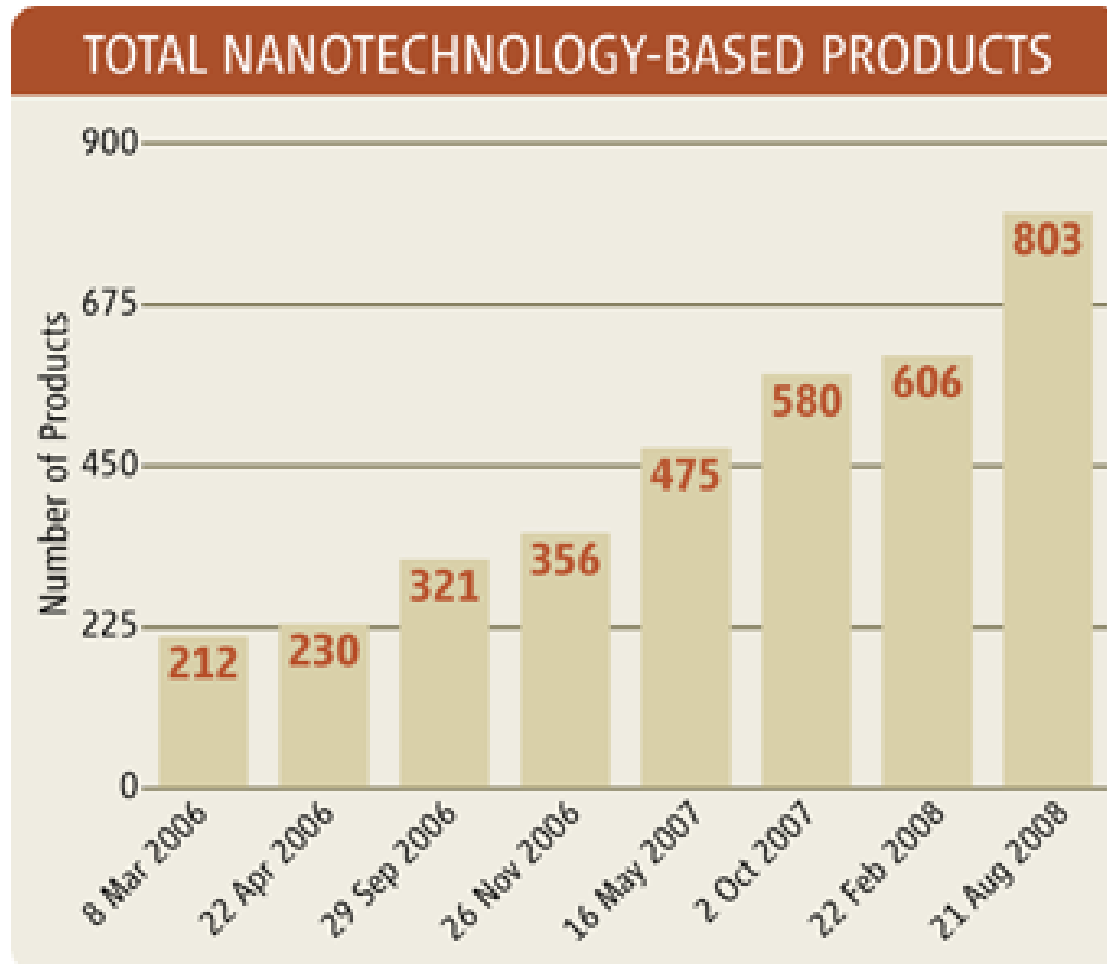
Unique Properties of Nanoscale Materials

- Chemical reactivity greatly different from macroscopic forms, e.g., gold
- High surface area per unit mass ($>100 \text{ m}^2/\text{g}$)
- Quantum effects (dual behavior, wave- and particle-like) resulting in unique mechanical, electronic, photonic, and magnetic properties



800 Commercial products contain nanomaterials

(sensors, electronics, drug delivery, tissue engineering, imaging, catalysis, cosmetics, sunscreens, etc.)



Nano-products on the Market Now



Display Screens
Motorola (NTs)



Automobiles
(BASF's Mincor®
Nanocomposite)



Sunscreen
(TiO₂)



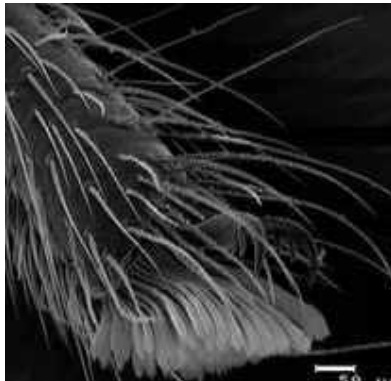
Nano Silver Wash
Samsung Washing Machine



Tennis Rackets
Wilson (C fibers)

Responsible Nanotechnology

"With Great Power, Comes Great Responsibility"
Uncle Ben to Peter Parker in Spider Man



Nanoscale fibers on
spider feet

Paul Hermann Muller
Thomas Midgley

(Dr. Nigel Walker, NIEHS NTP)





Environmental Concerns & Opportunities

- 1. Implications:** Create the information needed to use nanomaterials in an *environmentally responsible* and *sustainable manner*



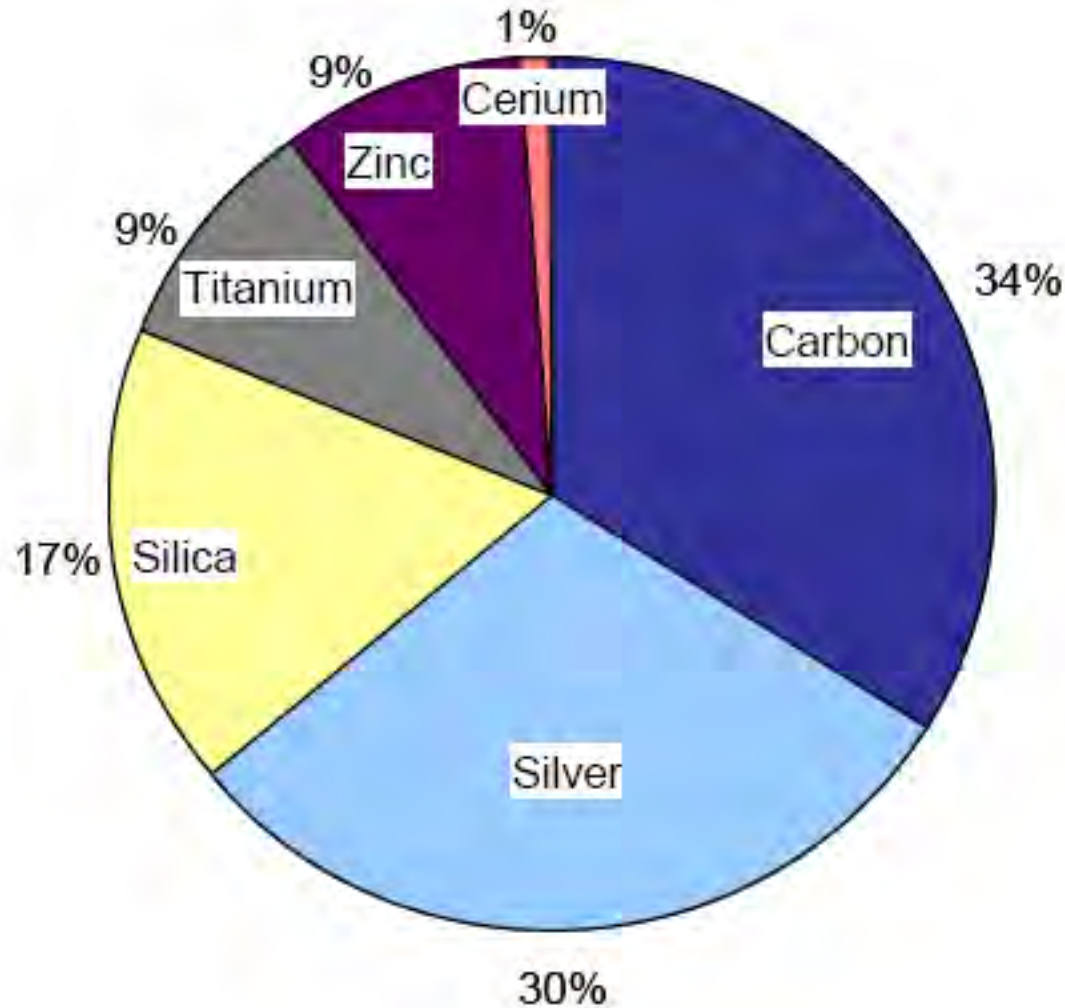
CBEN Societal Driver: To enable effective risk management for emerging nanotechnologies.

- 2. Applications:** *Enhanced* or *new* capabilities to address existing and future environmental problems.



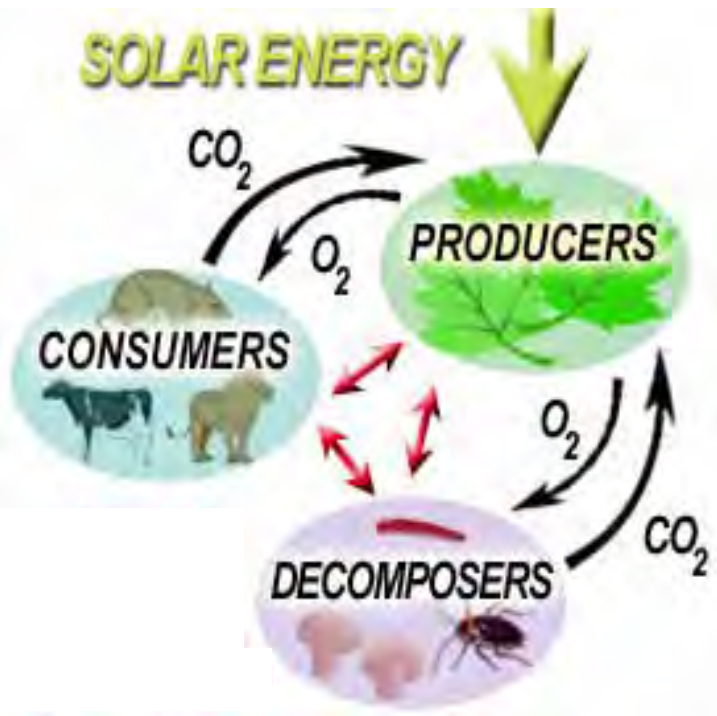
CBEN Systems Goal: To develop effective water treatment systems that exploit engineered nanoparticles

Which Types of Nanomaterials Should We Focus On?



Source Maynard AD (2006): Nanotechnology: A Research Strategy for Addressing Risk

Microbial-nanoparticle Interactions to Inform Risk Assessment

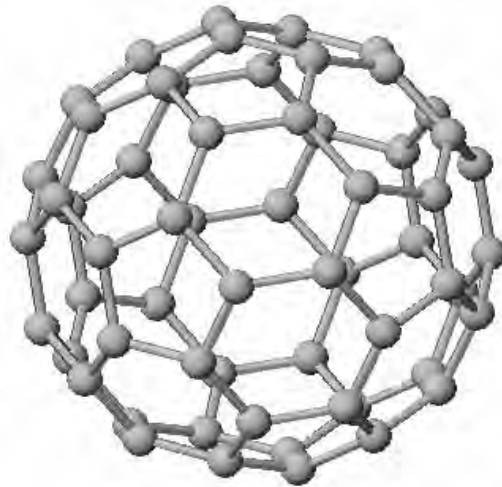


- Bacteria are at the foundation of all ecosystems, and carry out many ecosystem services
- Disposal/discharge can disrupt primary productivity, nutrient cycles, biodegradation, agriculture, etc.
- Antibacterial activity may be indicative of toxicity to higher level organisms

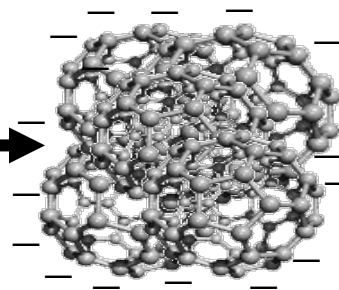
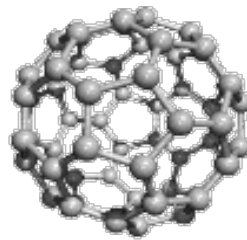


C_{60} (buckminsterfullerene)

Photocatalyst
and Antioxidant
(sp^2 hybridized)



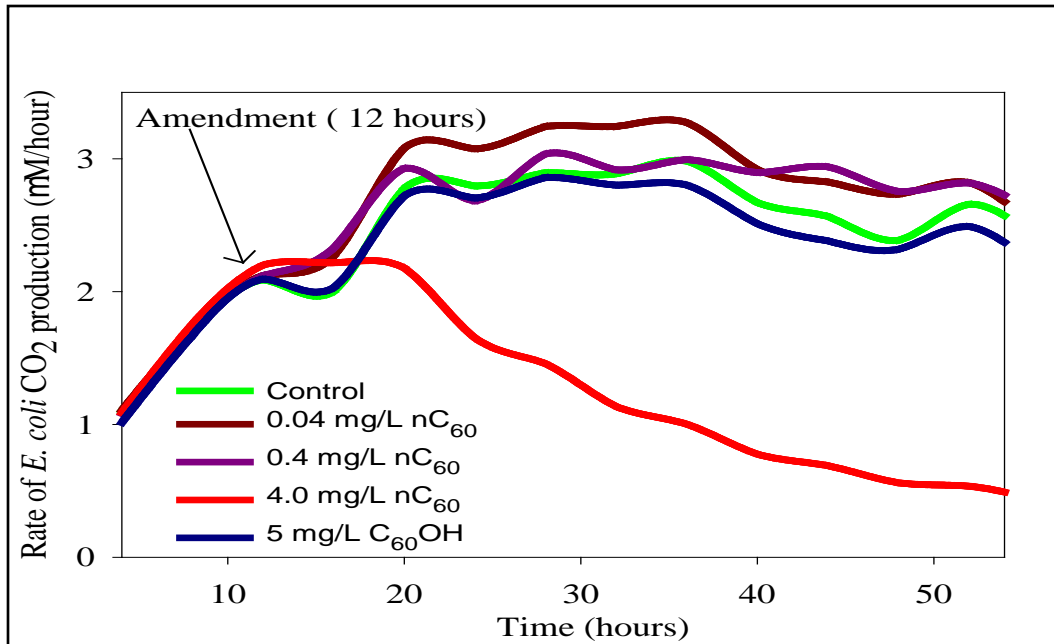
R. Buckminster Fuller (Bucky)



Solid or solution

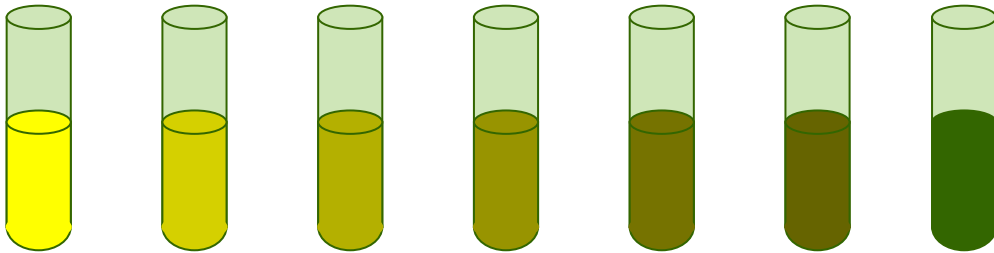
nC_{60} (20-200 nm)

nC₆₀ is antibacterial



E. coli respiration ceases after exposure to nC₆₀

Standardized Microtox Assay

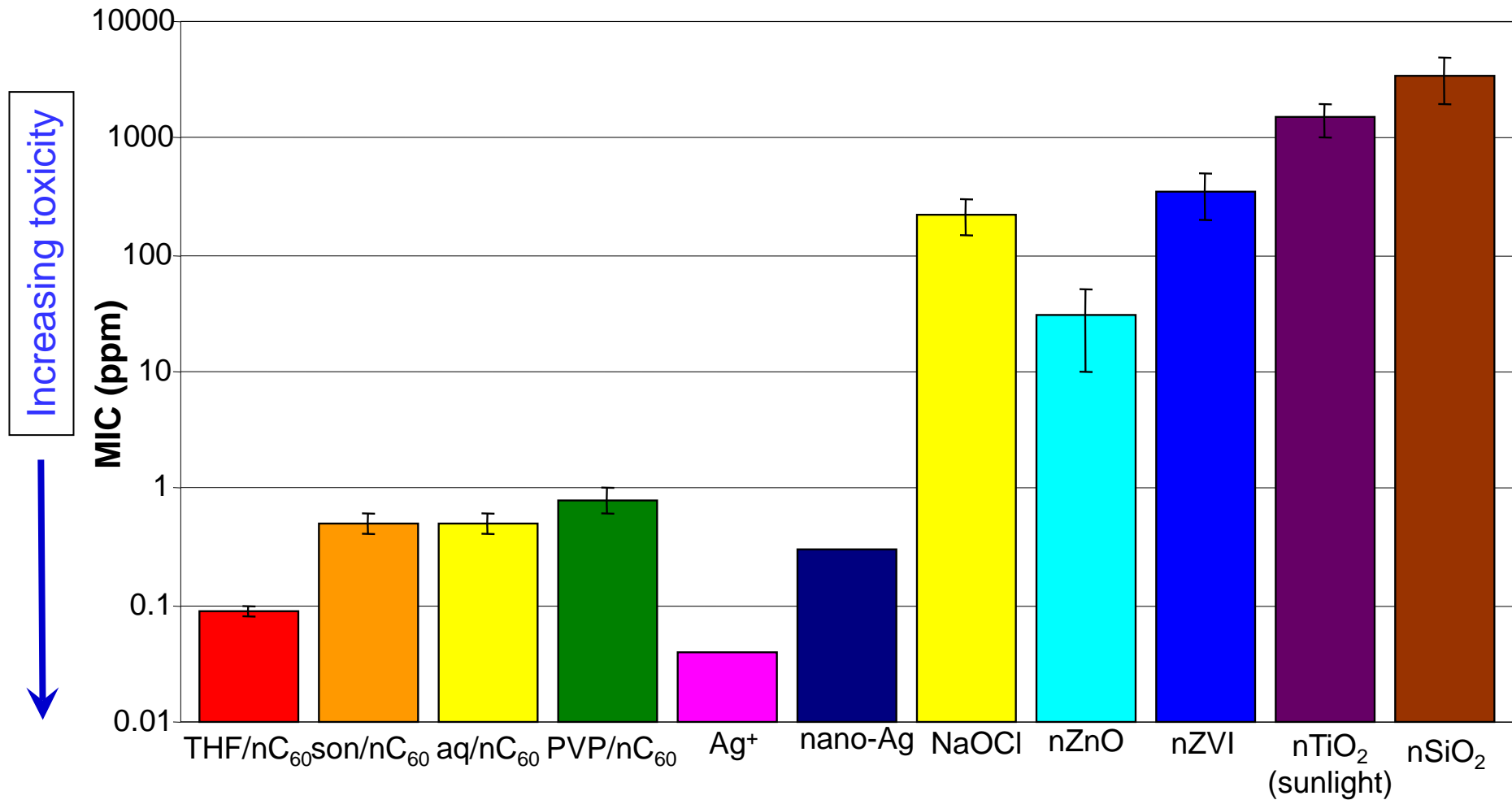


Vibrio fischeri (luminescent bacteria) with increasing concentrations of nC₆₀

Compound	EC ₅₀ (mg/L)
nC ₆₀	1.6
Benzene	2.0
Sodium azide	43-66



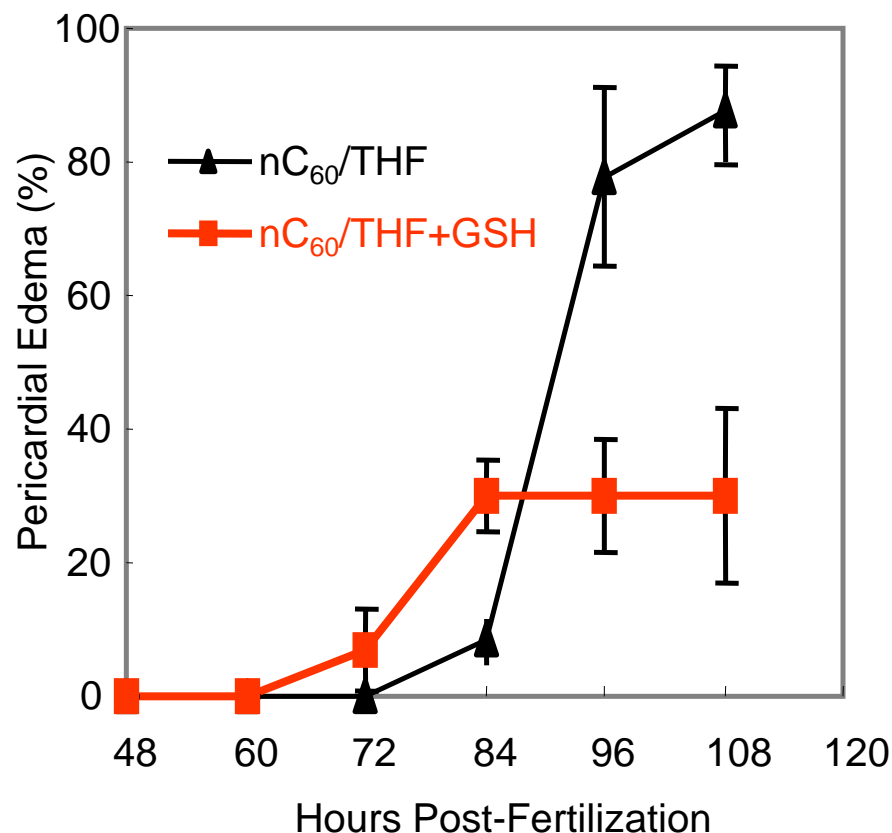
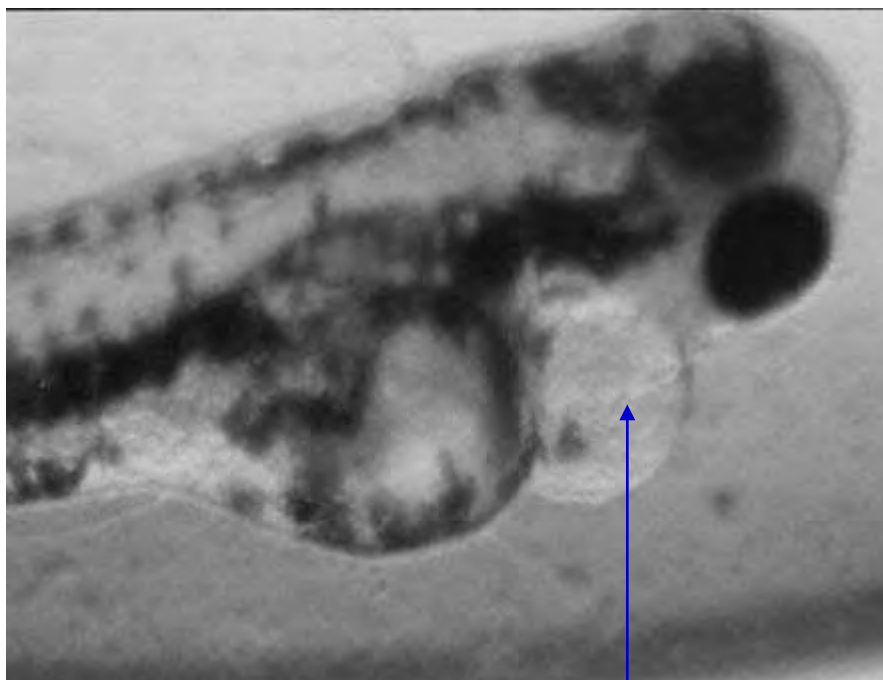
nC_{60} is more toxic to bacteria than many other common nanomaterials





Developmental toxicity of nC₆₀ (Zebrafish)

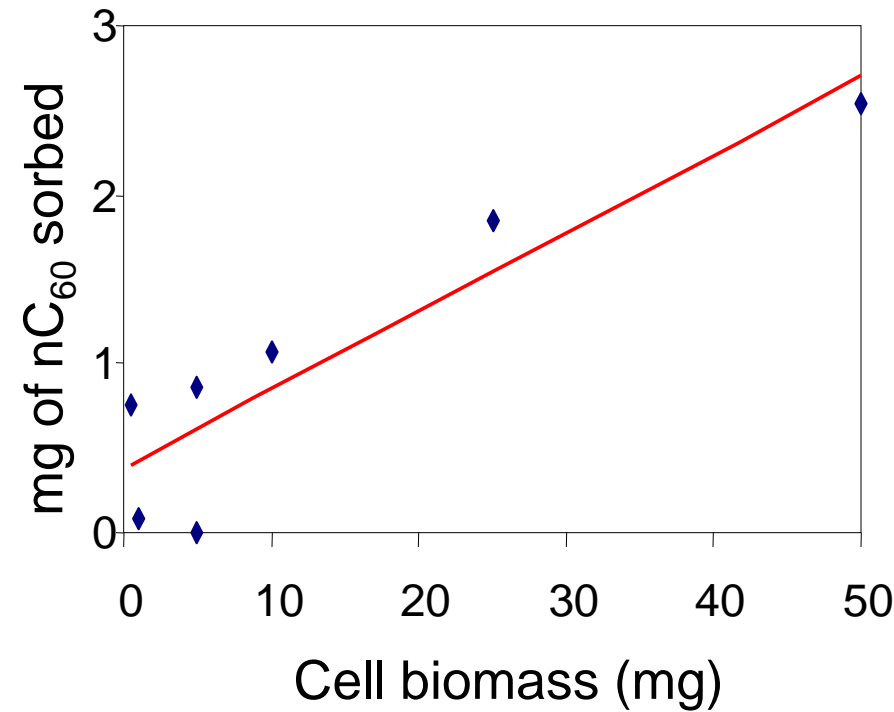
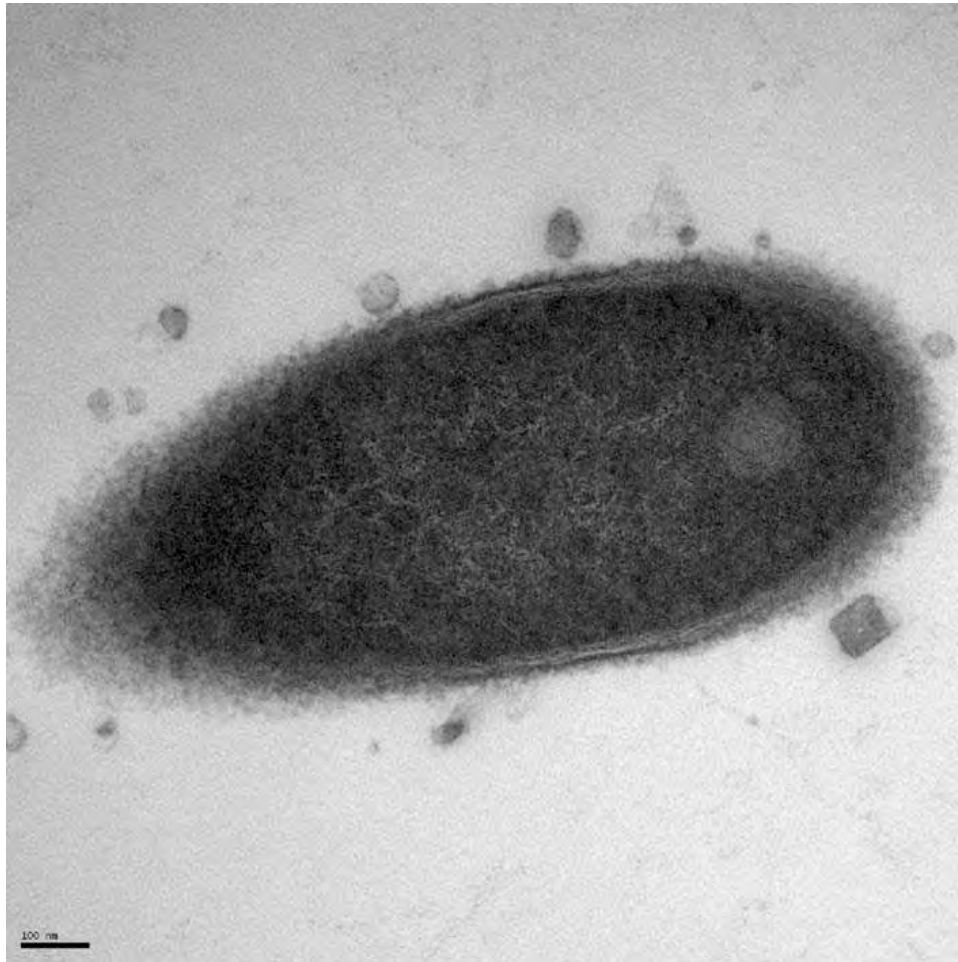
Mitigation by GSH suggest that toxicity is related to oxidative stress



Zebrafish larva with pericardial edema due to nC₆₀ exposure (1 mg/L)

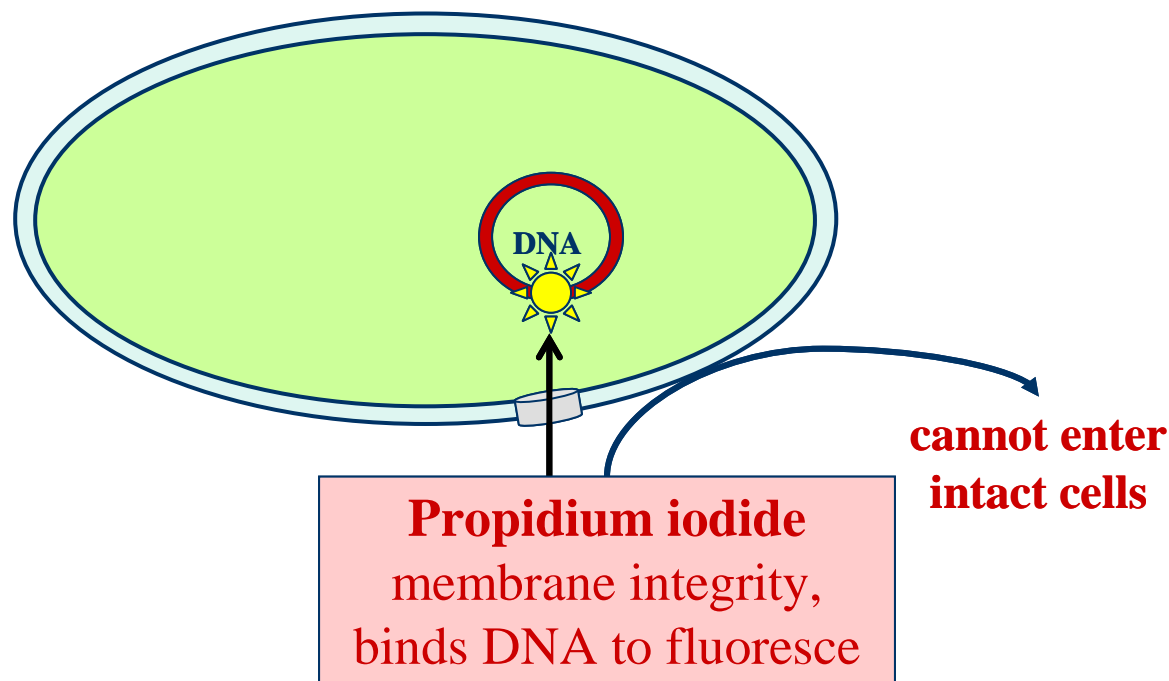


nC_{60} association with *Bacillus subtilis*



1. Does nC_{60} puncture cells?

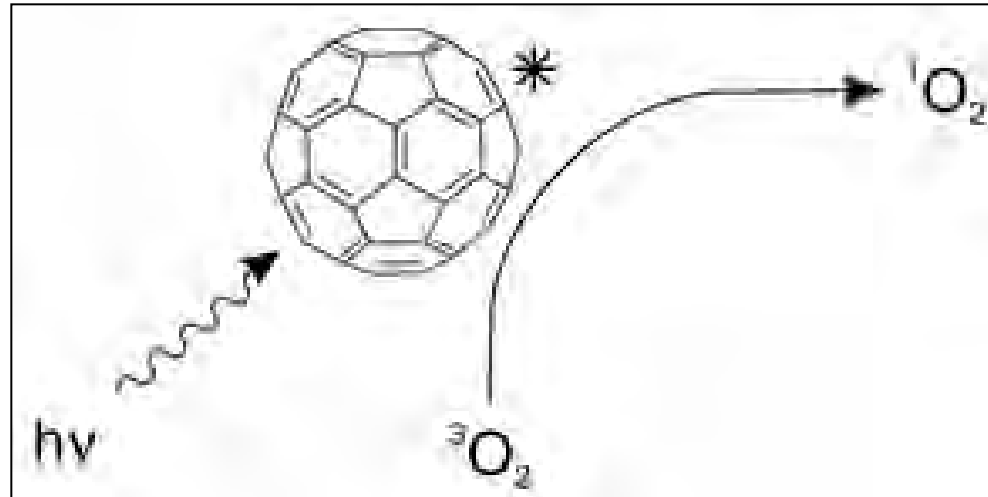
- Propidium iodide enters permeabilized cells and stains nucleic acids





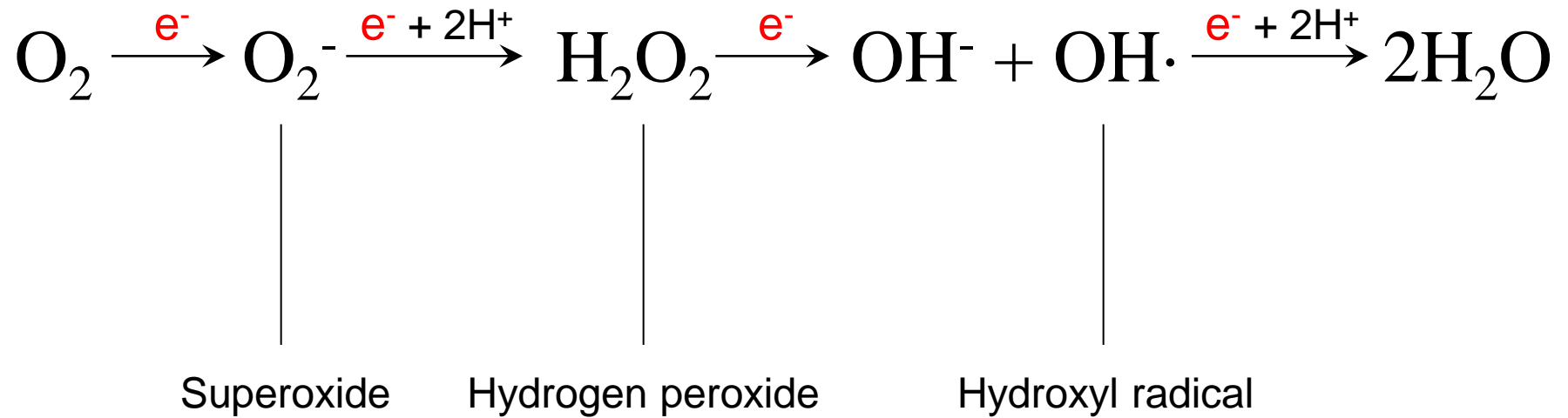
2. Oxidative Stress Due to ROS?

Photosensitization

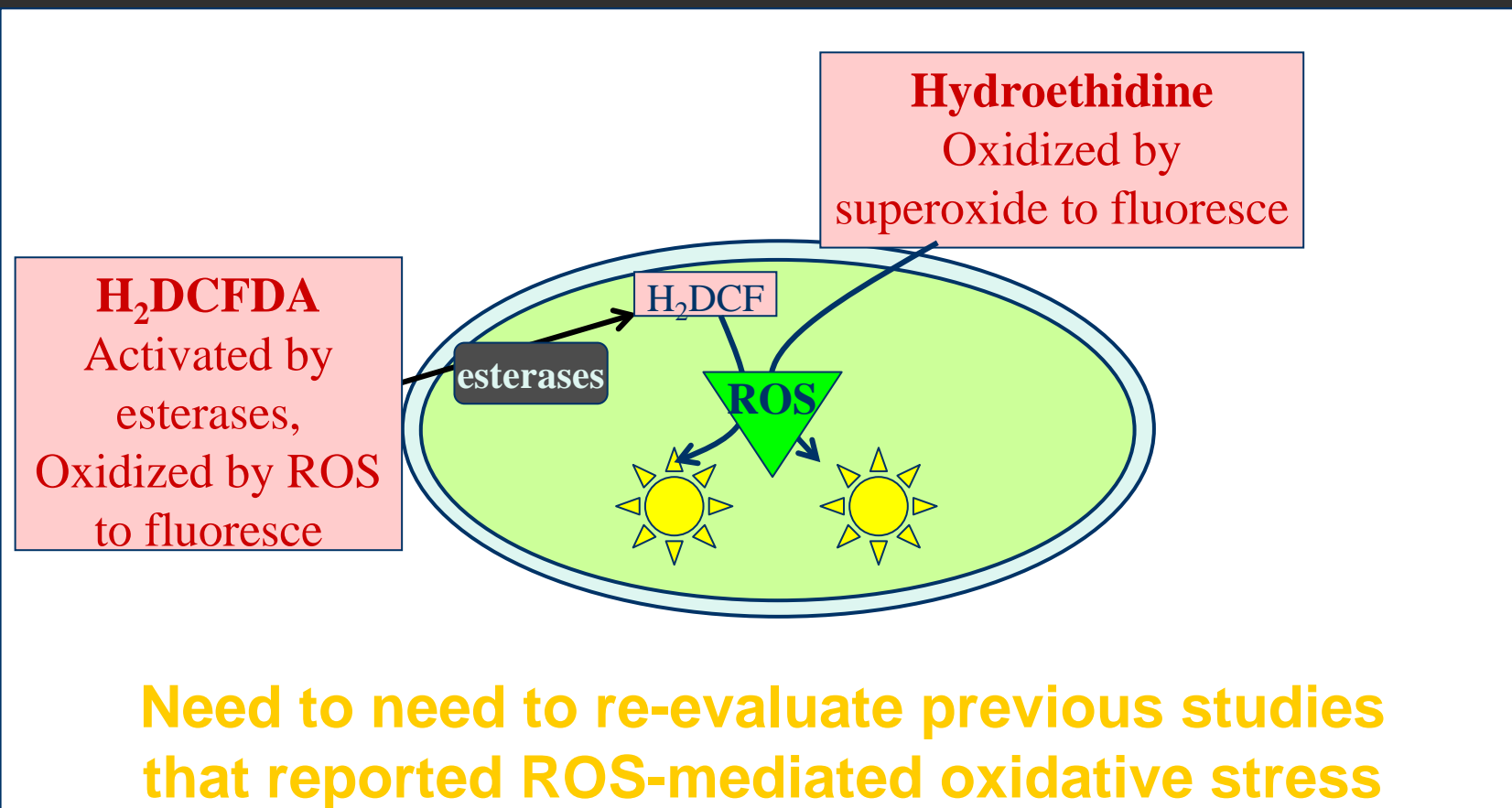


- But no ROS was detected from $n\text{C}_{60}$
(Used SOSG for $^1\text{O}_2$, XTT for $\text{O}\cdot_{2^-}$, and pCBA for $\text{OH}\cdot$)
ROS from C_{60} probably gets quenched in aggregate
- Cell death also occurred in the dark without O_2 .

Endogenous ROS?



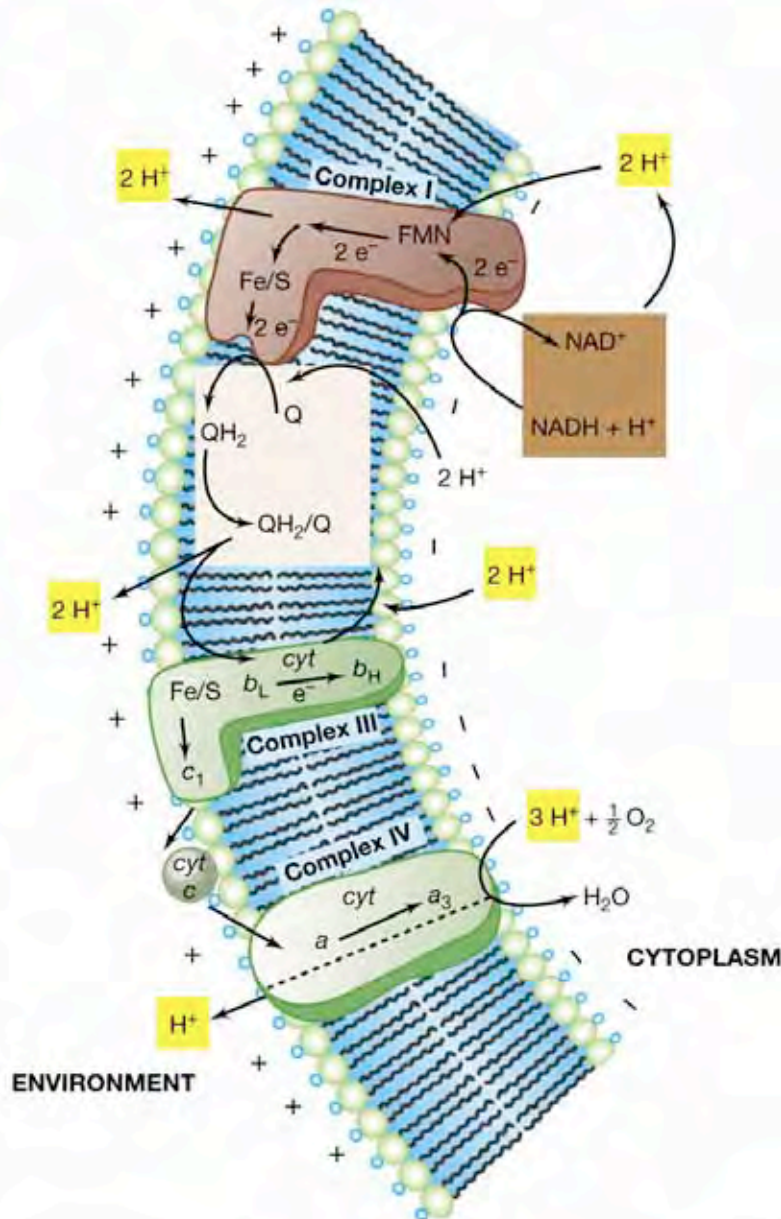
Does nC₆₀ produce ROS inside bacteria?





3. ROS-Independent Oxidative Stress?

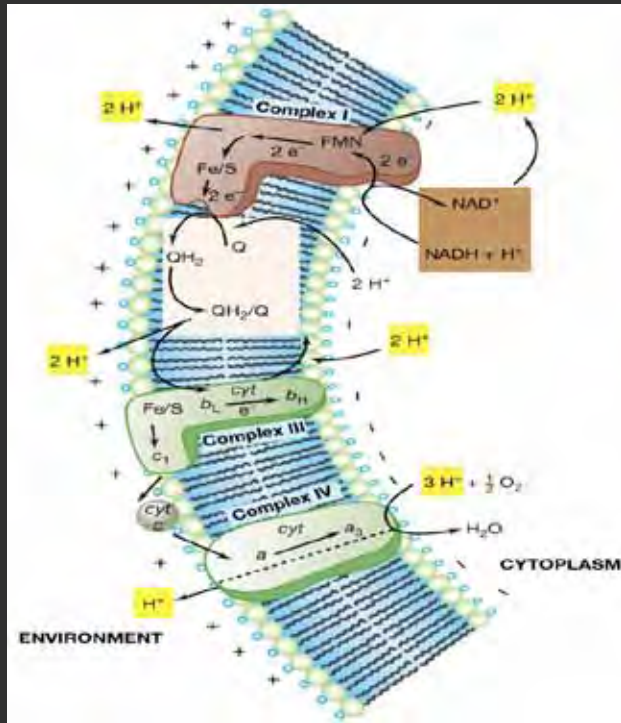
Review of Bacterial Membrane



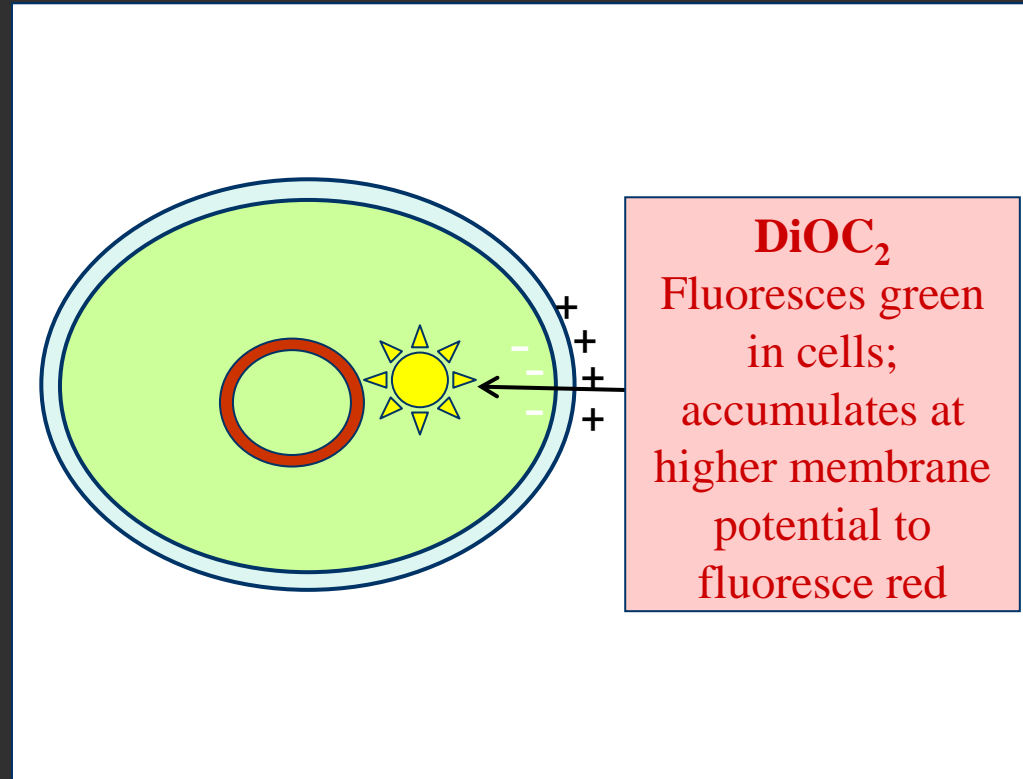
- e⁻s are transferred from fuel molecules to reduced coenzymes (e.g., NADH) to e⁻ acceptor (e.g., O₂) through a chain of e⁻ carriers (cytochromes, quinones, etc).
- As e⁻s flow, protons are pumped outside to generate Proton Motive Force (voltage + pH gradient)
- Energized membrane dissipates PMF when H⁺ flow back in through ATPase, and this potential energy is transferred to the phosphoryl bond of ATP



Membrane potential Collapse?



- Assay monitors DiOC₂
 - Red fluorescence indicates higher membrane potential
- Higher red/green ratio means higher membrane potential
- CCCP is an ionophore (uncoupler)

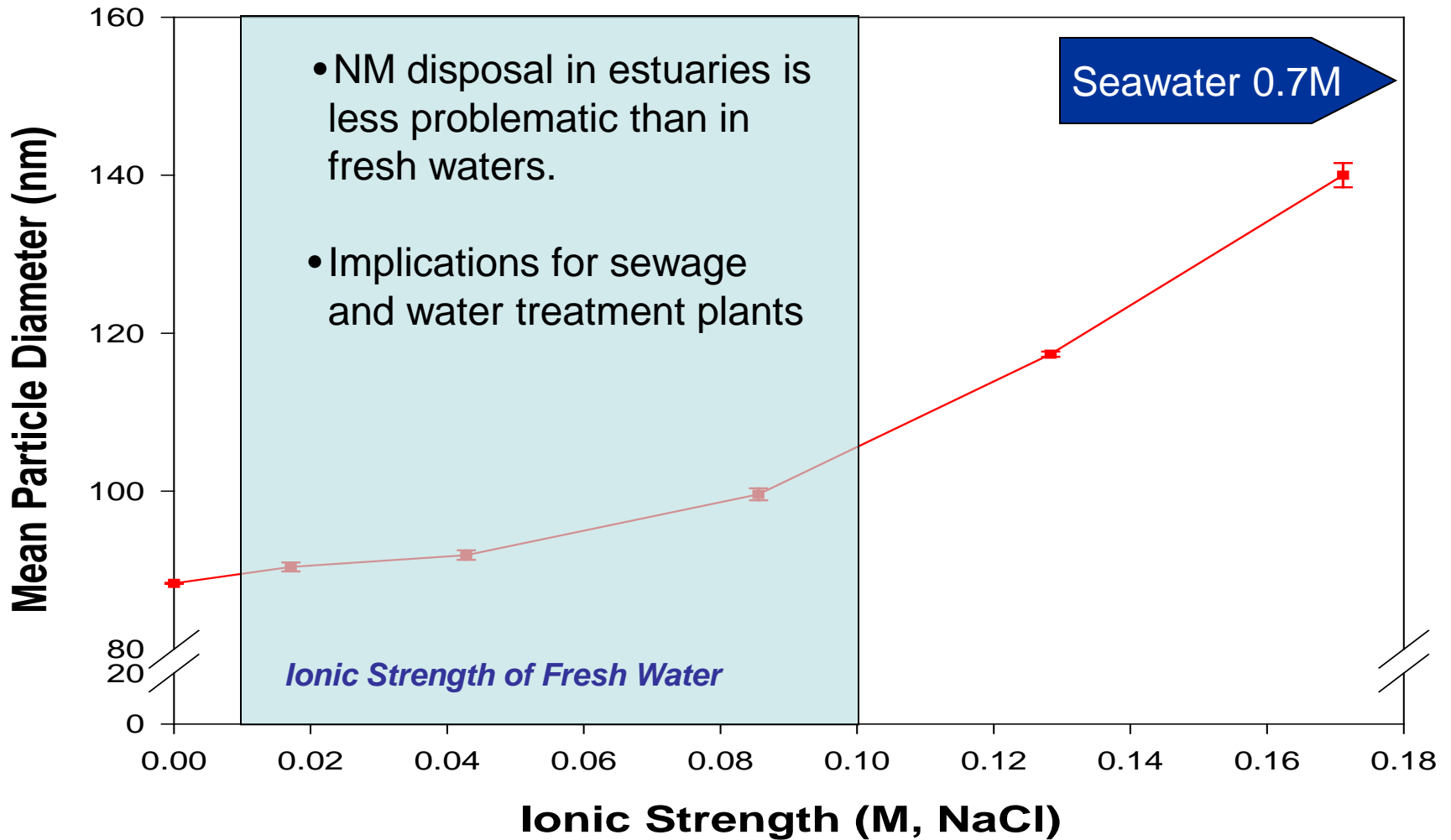


nC₆₀ also

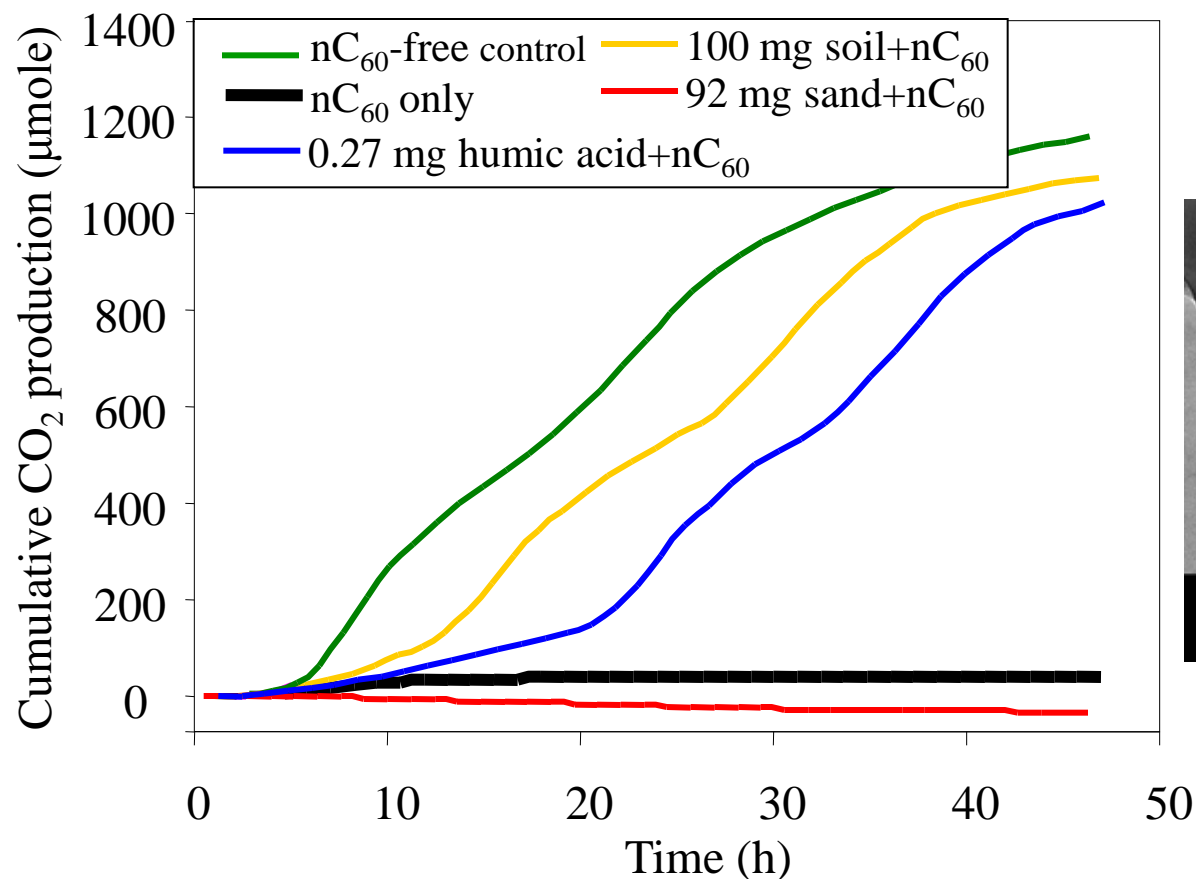
- Decreases electron flow (RET sub-mitochondrial particles)
- Decreases reductase activity (Redox-sensor™ green)
- Oxidizes proteins (thiol loss)

Lyon & Alvarez (2008). ES&T. 42:8127-8132

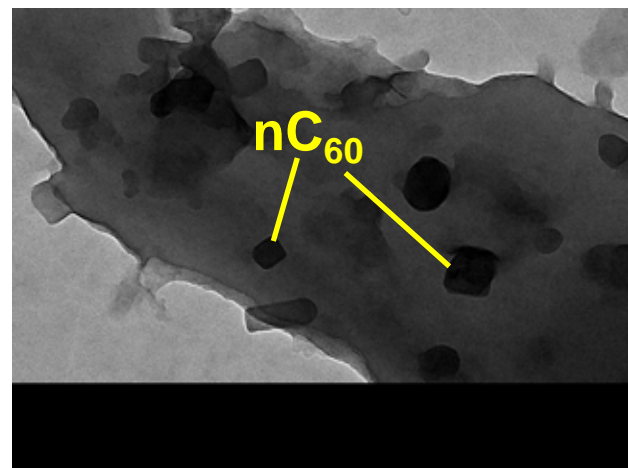
Salts promote coagulation & precipitation = less toxicity



NOM reduces bioavailability & toxicity of nC₆₀

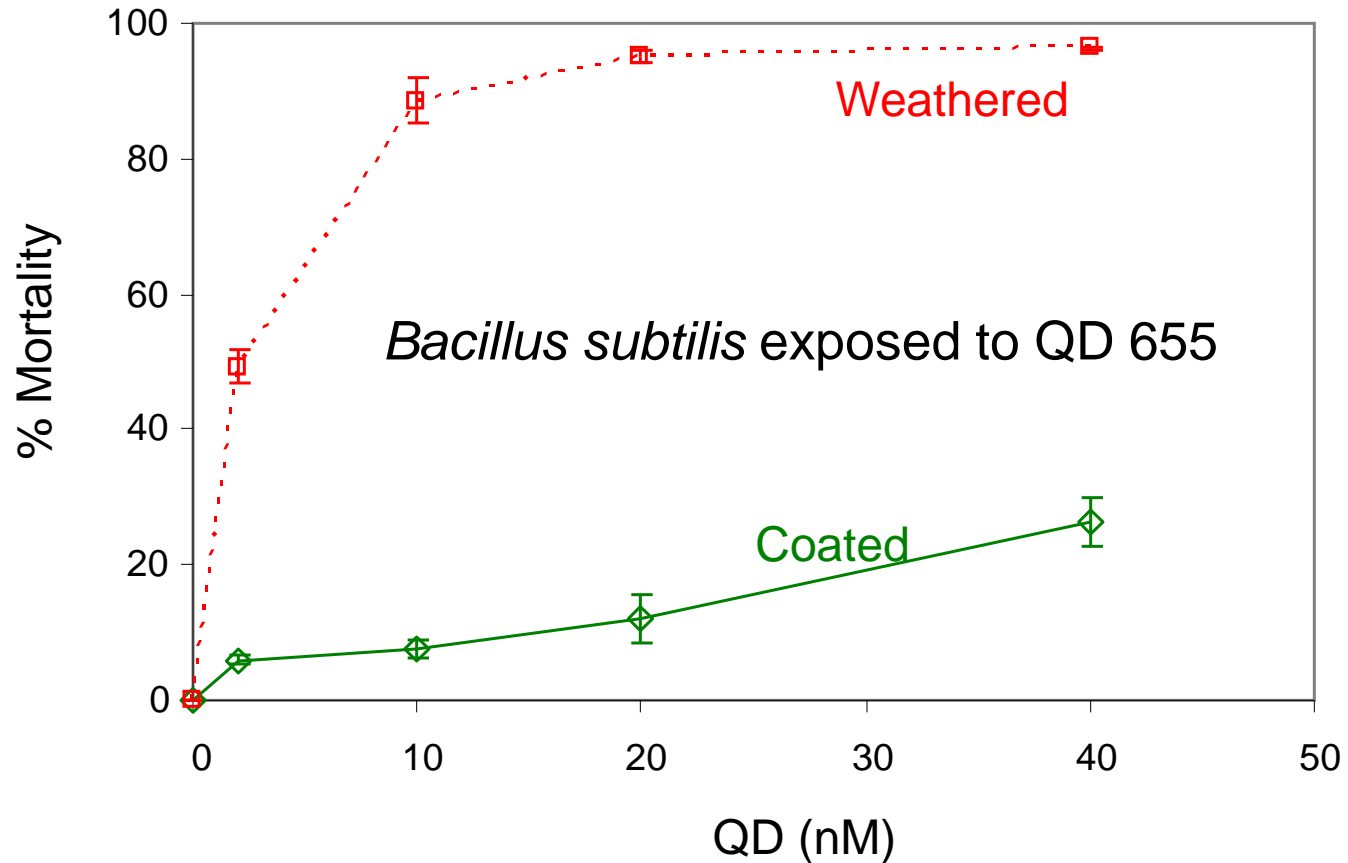
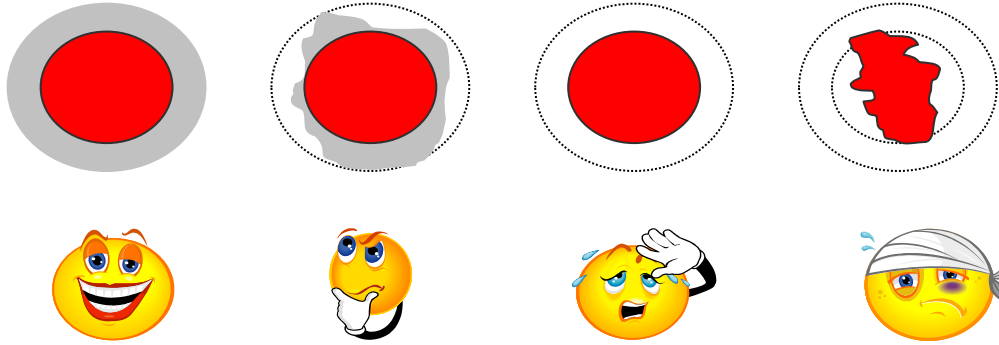


nC₆₀ trapped by humic colloids

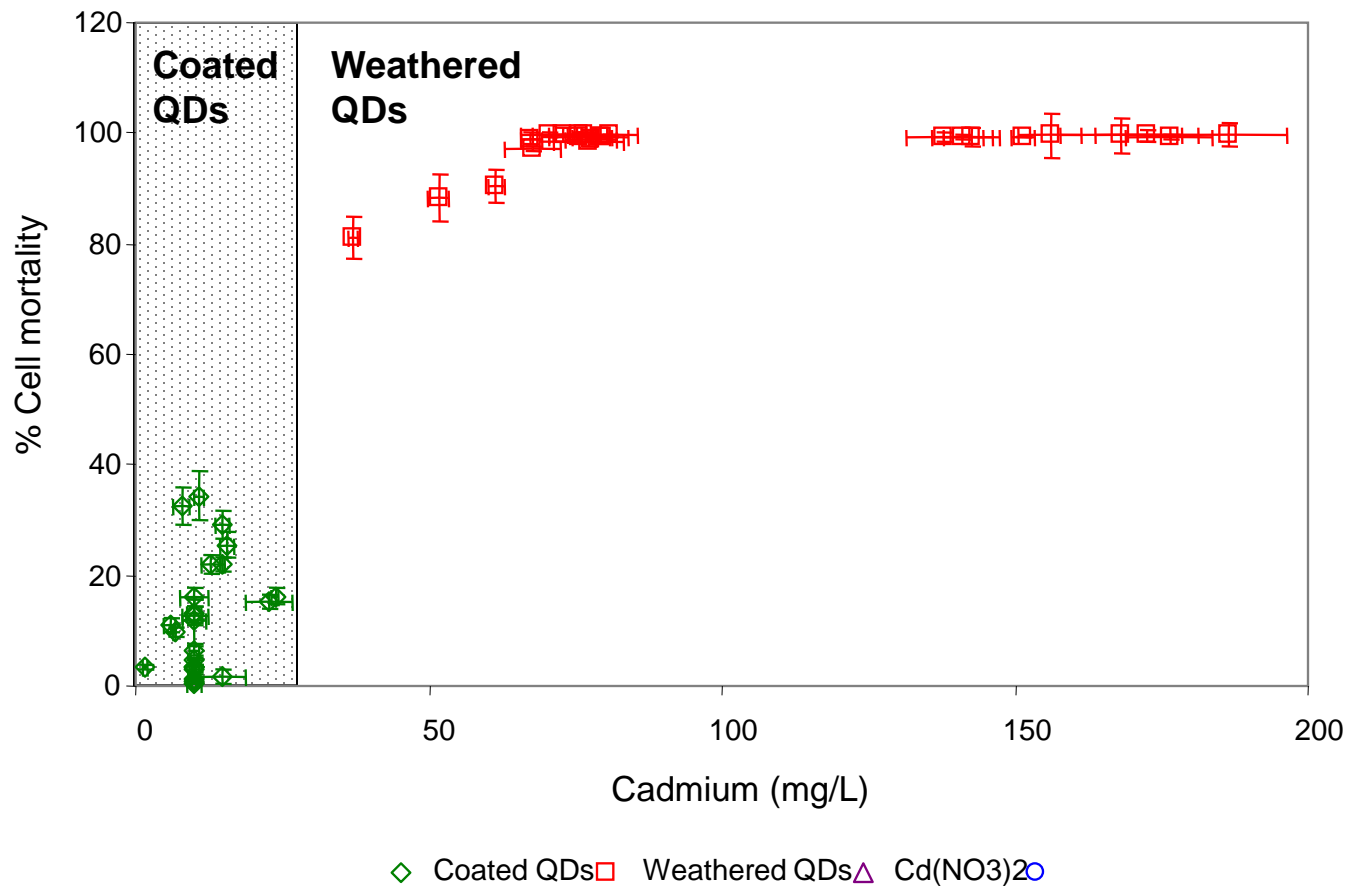


Humic acid concentrations as low as 0.1 mg/L eliminated toxicity

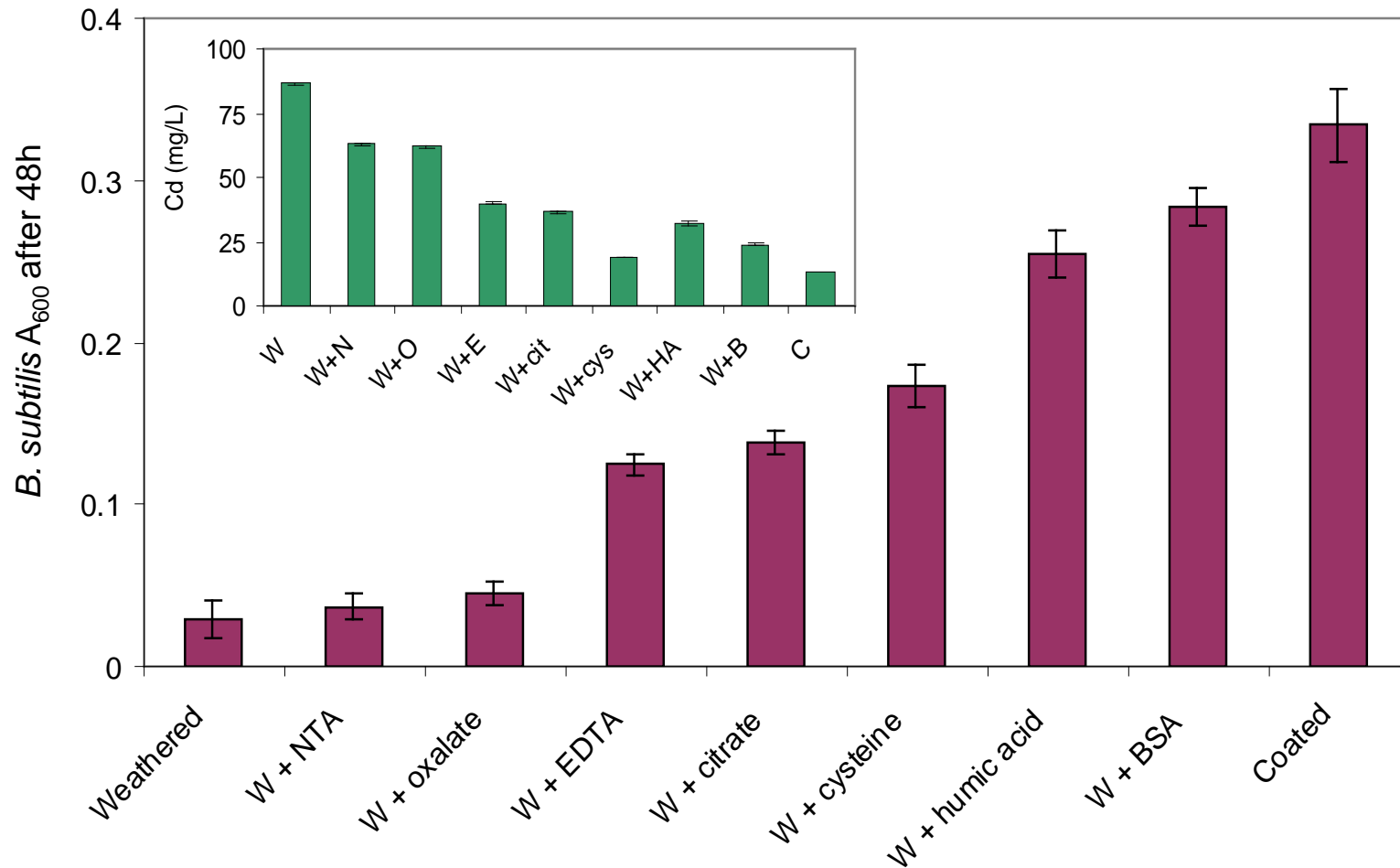
Dose-Response of QDs



Cd²⁺ and Selenite Release after pH change (pH <4 & pH >10) Explains Dose-Response



Organic ligands mitigate toxicity



Risk = Hazard X Exposure



Hazard, but no exposure

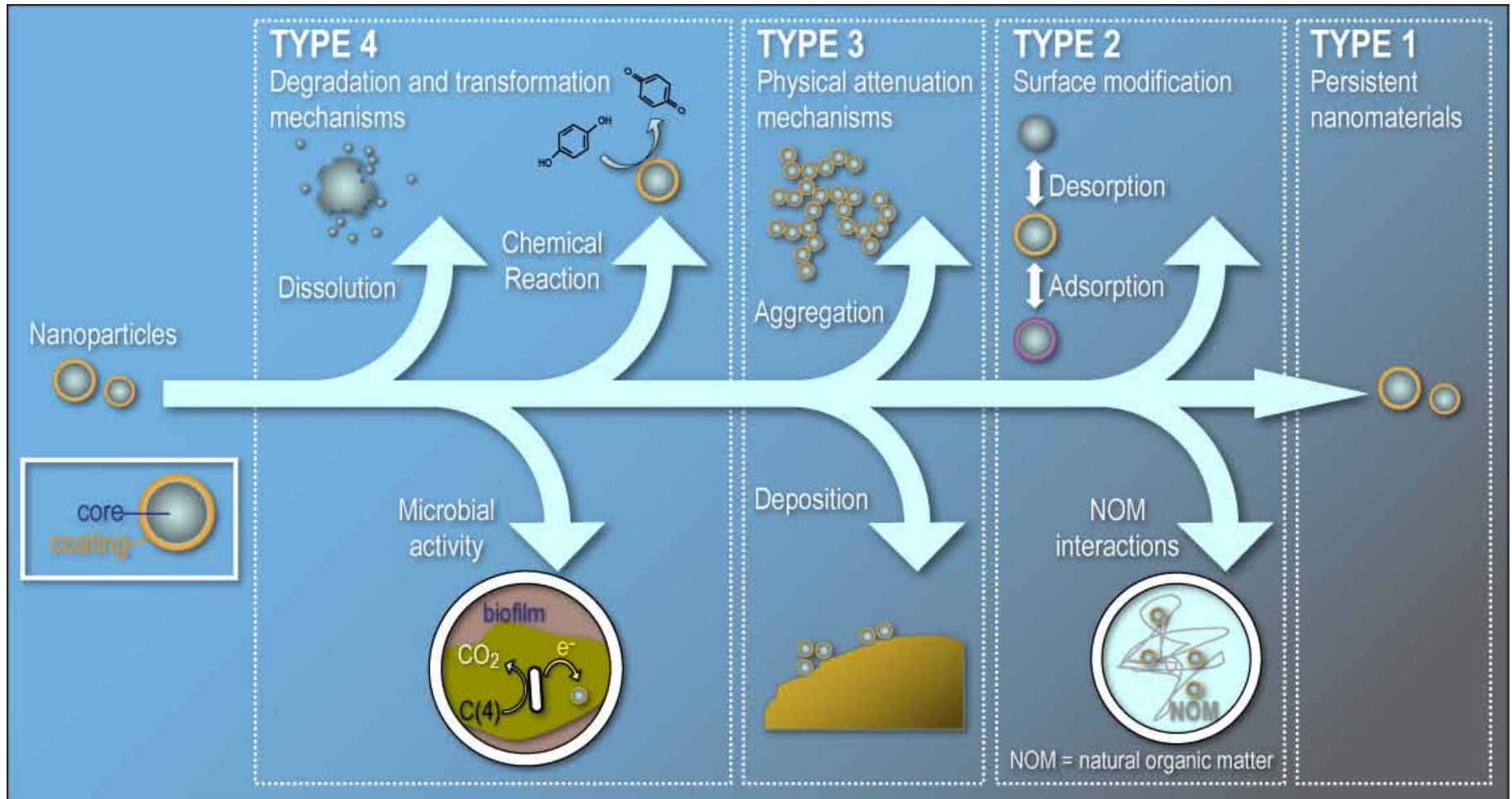


Exposure but no hazard

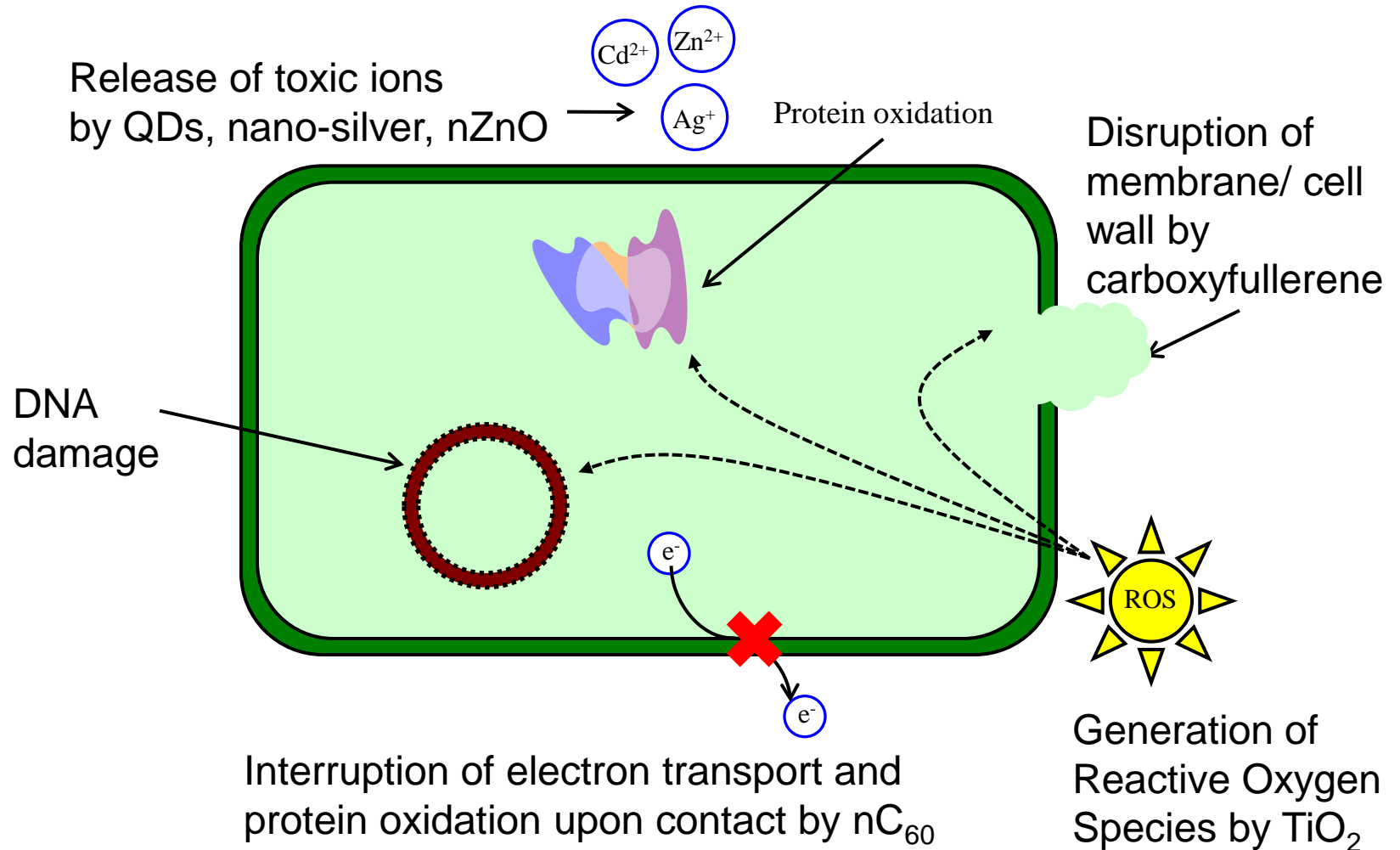
Hazard as well as exposure



Nanoparticle Modifications in the Environment



Bacterial Toxicity Mechanisms



Potential Leapfrogging Opportunities for Microbial Control and Disinfection

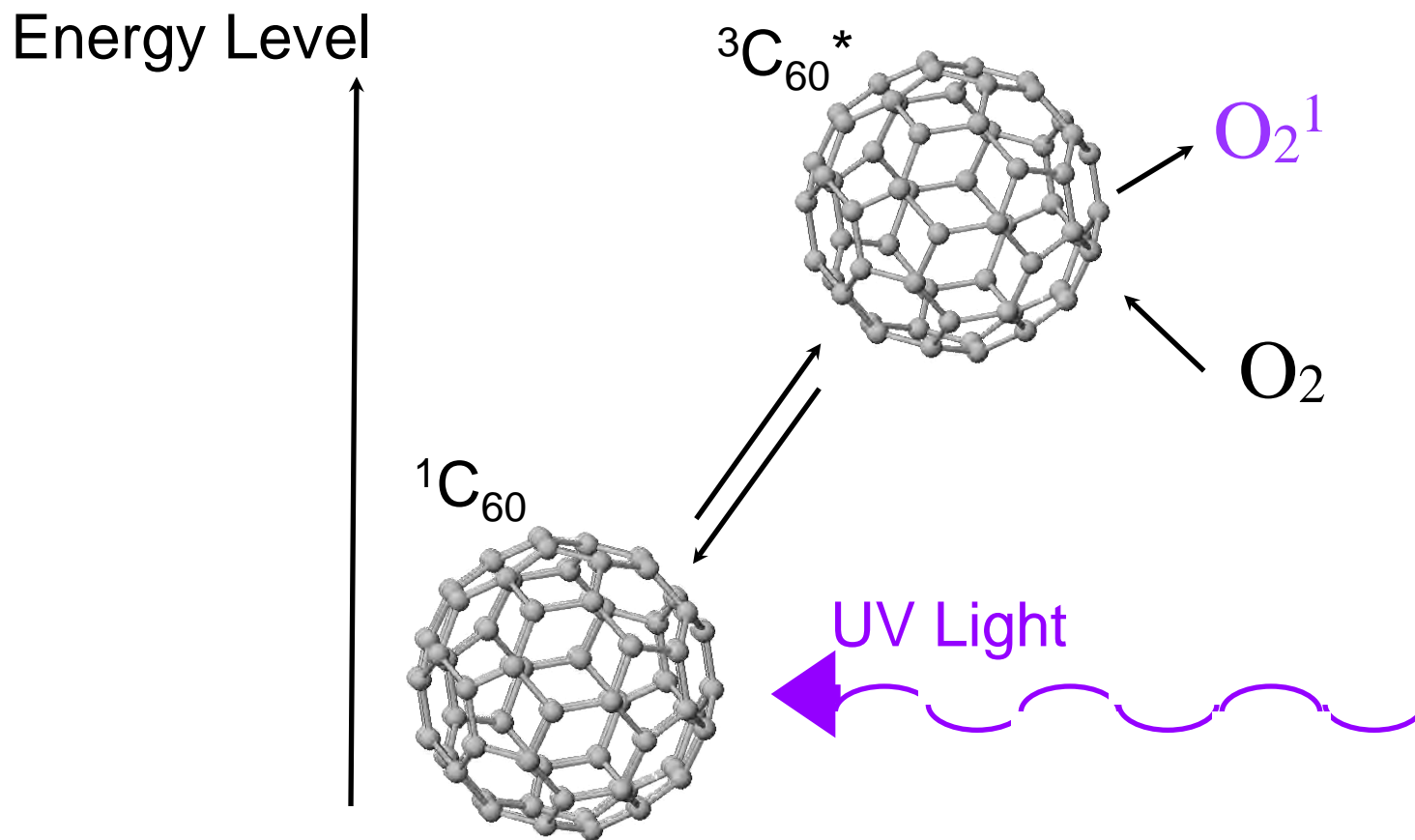




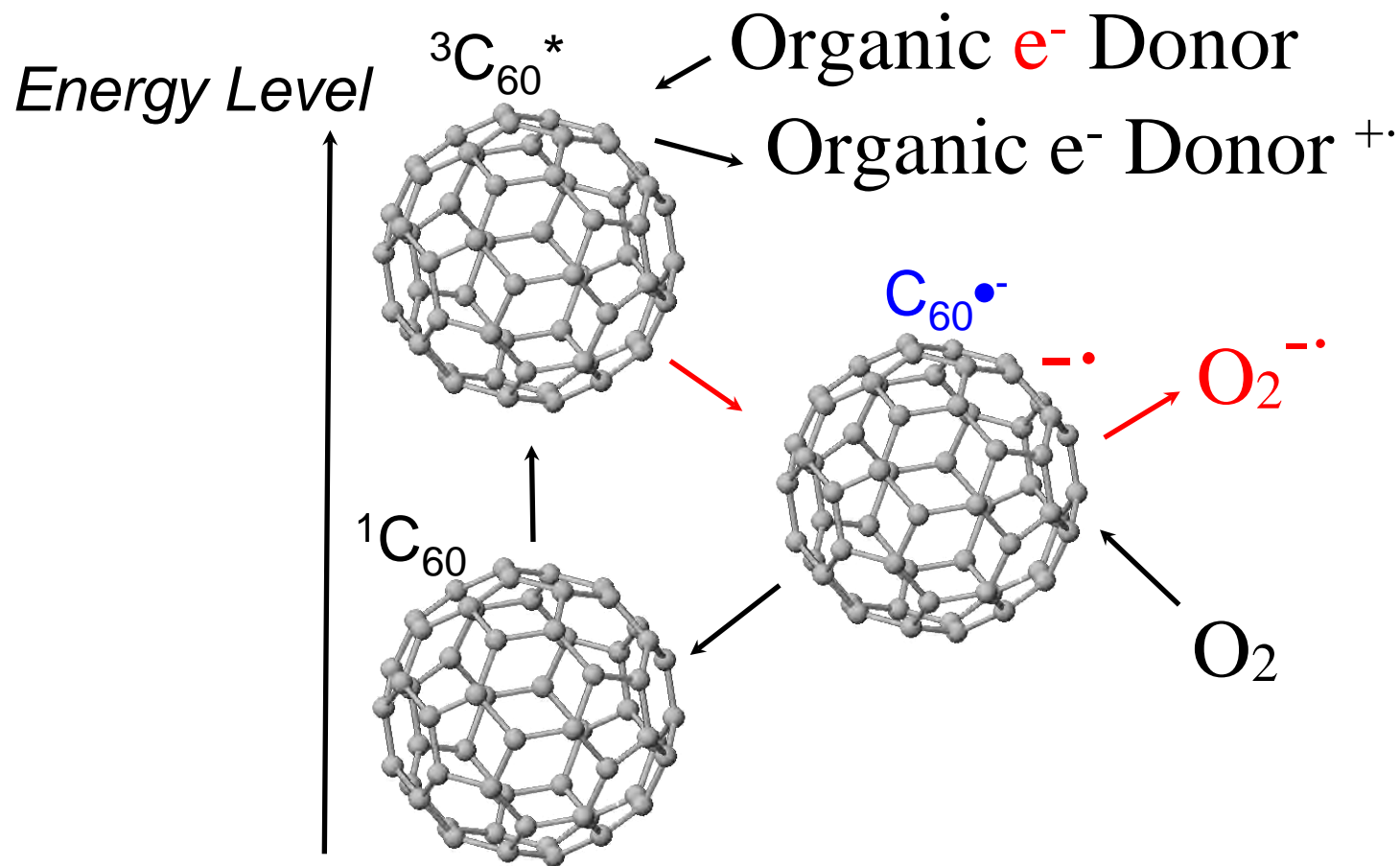
Potential Application: Enhancing UV Disinfection

- n UV disinfection is increasingly used to inactivate cyst-forming protozoa such as *Giardia* and *Cryptosporidium*.
- n However, UV is relatively ineffective to treat virus unless the contact time and energy output are significantly increased





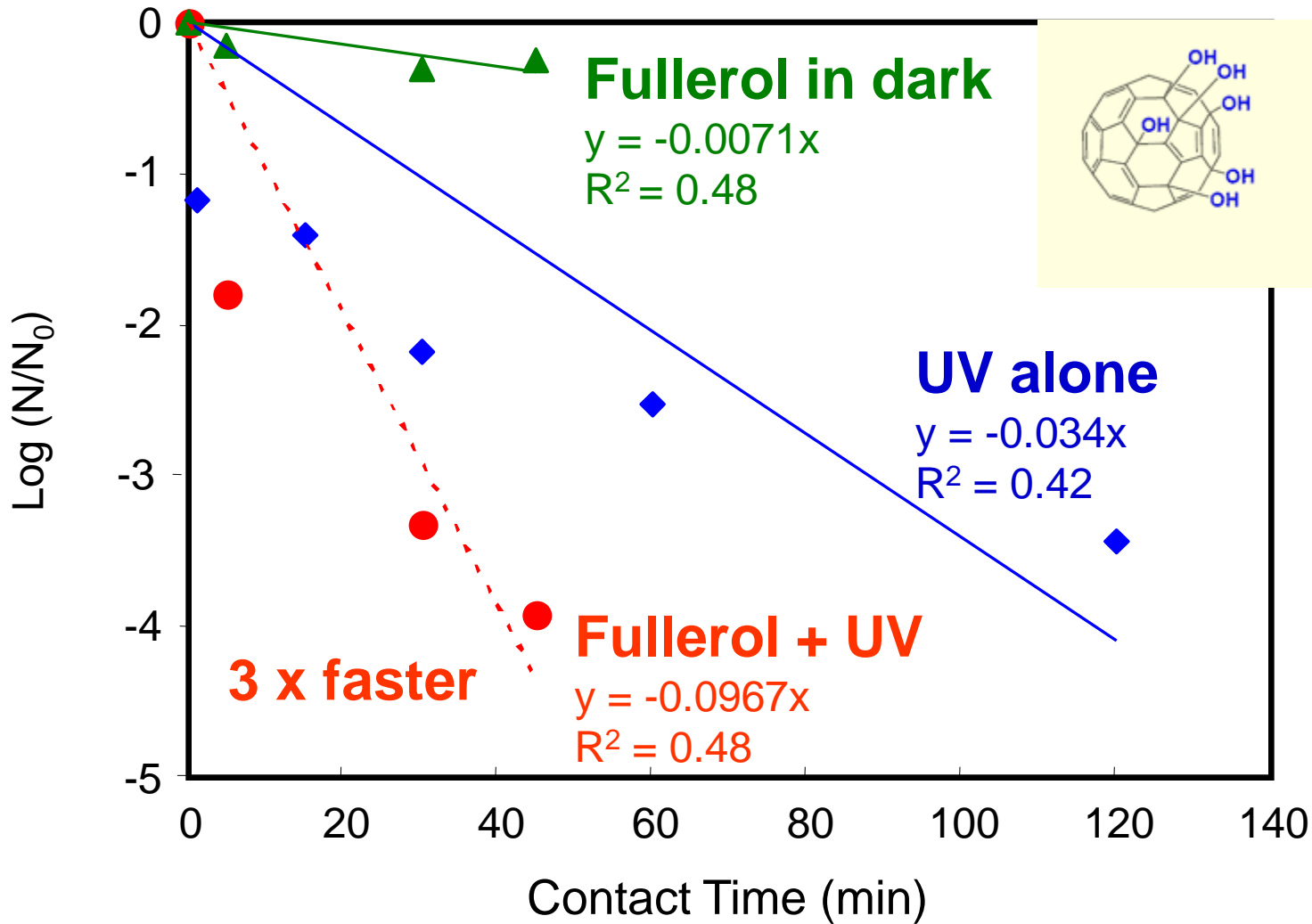
Light excites C_{60} to triplet state. Energy transfer between $^3C_{60}^*$ and molecular oxygen gives rise to singlet oxygen (1O_2)



${}^3\text{C}_{60}^*$ transforms to C_{60} radical anion ($\text{C}_{60}^{\bullet-}$) in the presence of some electron donors, which reduces O_2 to **superoxide** ($\text{O}_2^{\bullet-}$)

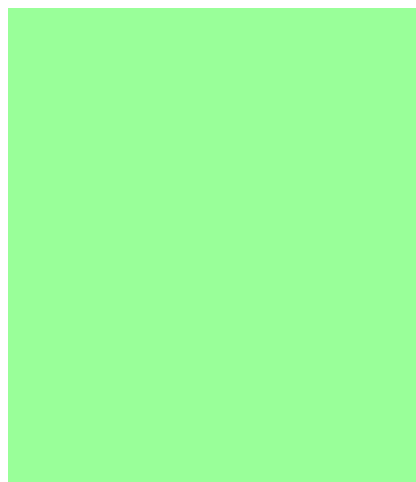


MS2 virus inactivation by UV and fullerol





“Water Soluble” Derivatized Fullerenes



VS



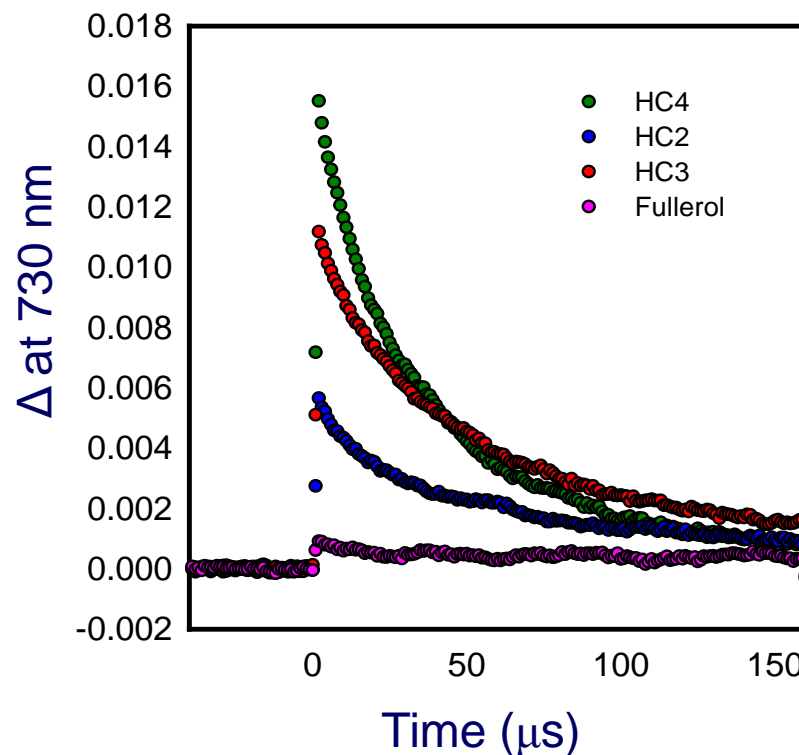
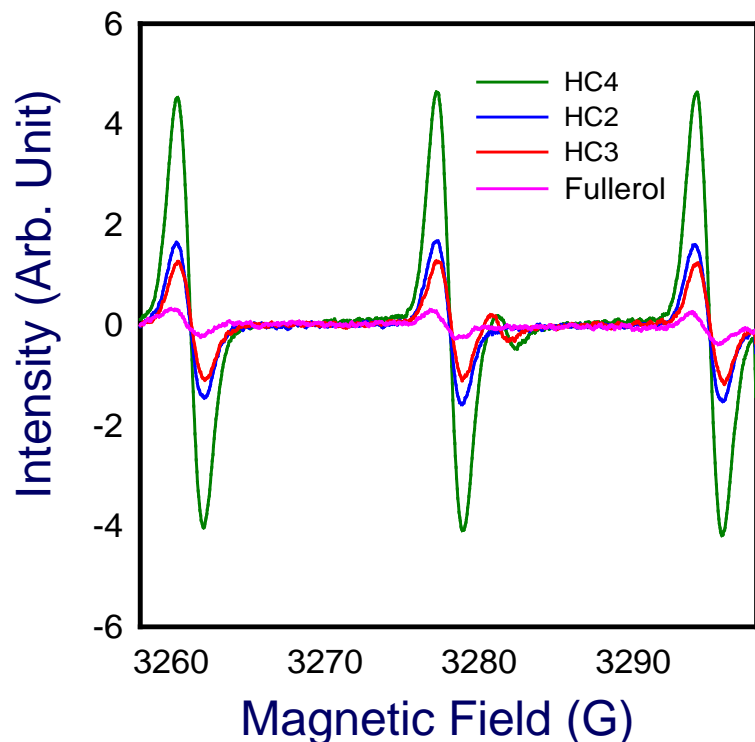
* Commercially Available, MER Corp.

* Synthesized in Lon Wilson's lab, Dept of Chemistry, Rice University



Superior Photosensitized $^1\text{O}_2$ Production

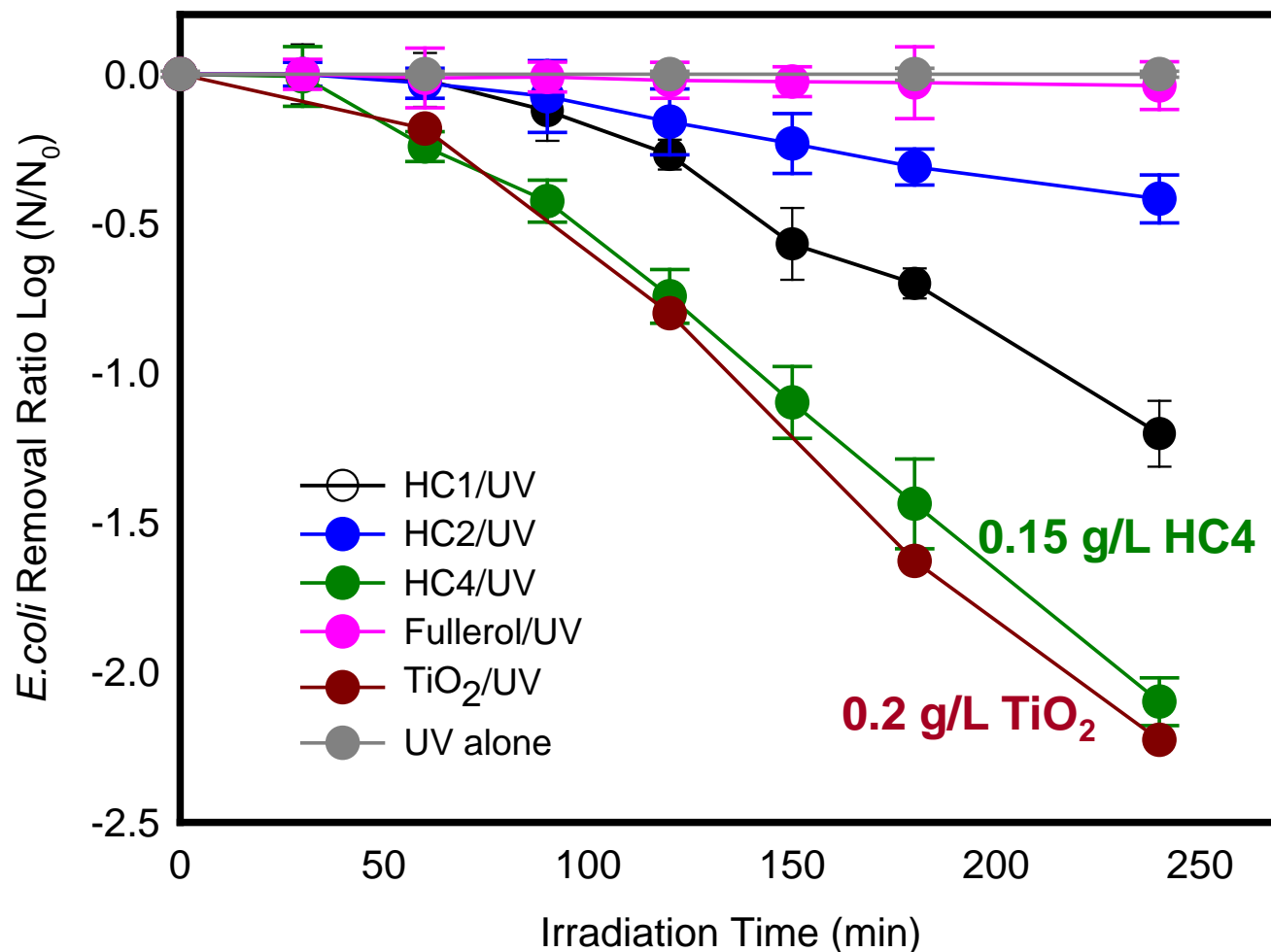
- Larger Electron Paramagnetic Resonance Spectra Peaks Correspond to Higher $^1\text{O}_2$ Generation (All Outperform Fullerol)
- Nanosecond Laser Flash Photolysis Confirms Long-lived Triplet State, Conducive to Efficient ROS Production (HC4 >> Fullerol)





Photocatalytic *Escherichia coli* Disinfection

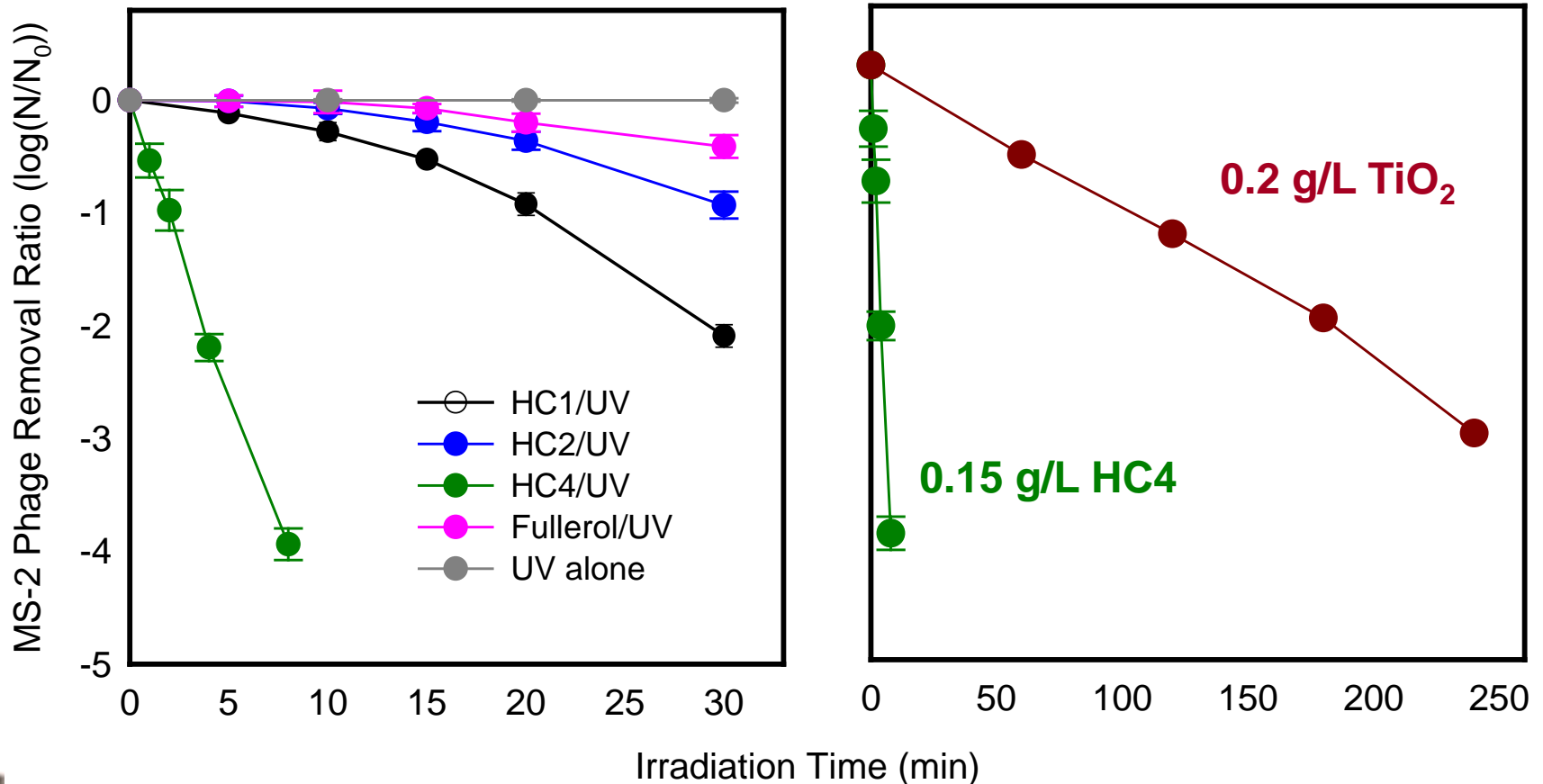
$[C_{60} \text{ derivative}] = 50 \text{ mM}$, BLB lamp 350 - 400 nm, $I = 6 \times 10^{-6} \text{ Einstein} \times \text{min}^{-1} \text{L}^{-1}$



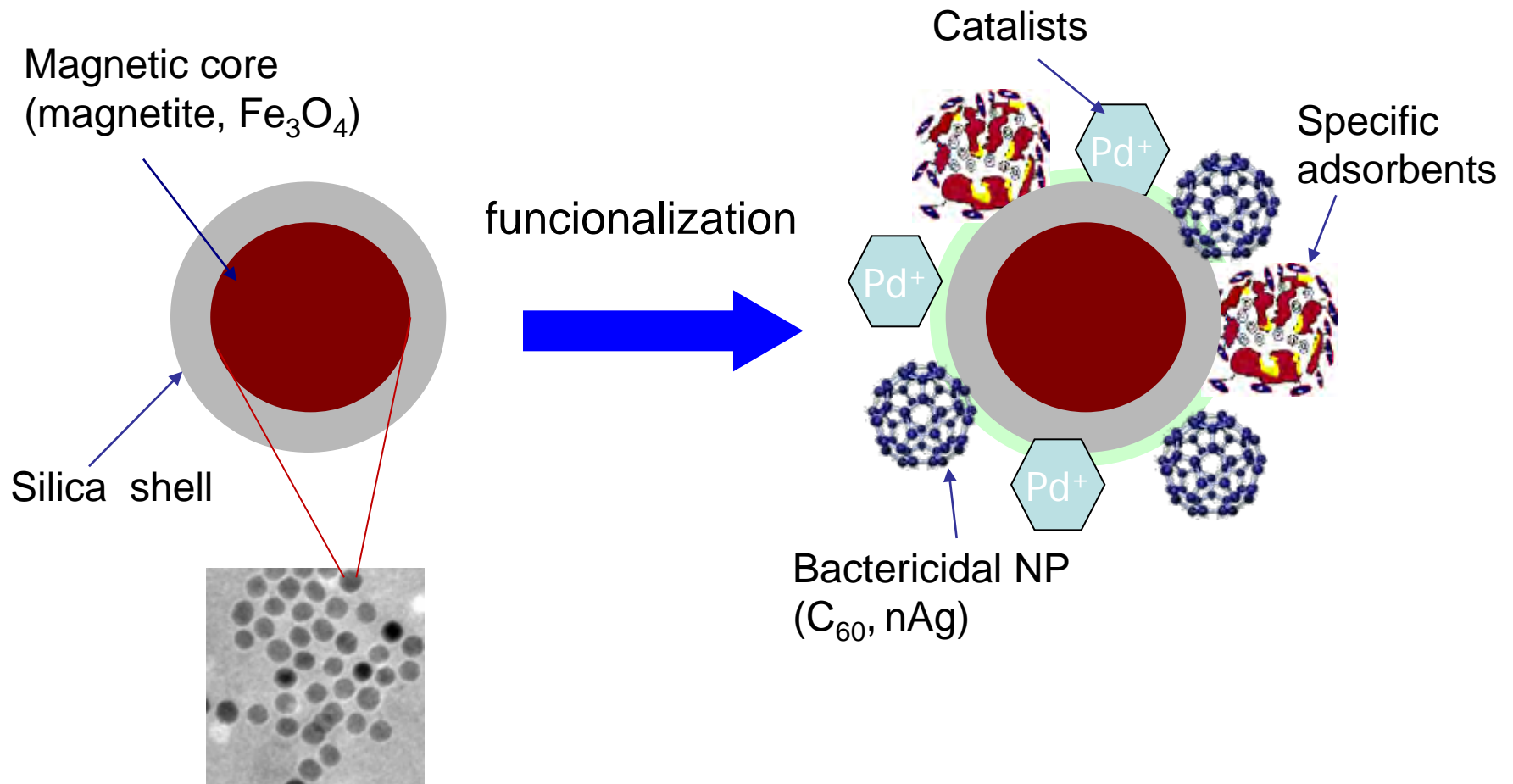


Photocatalytic MS-2 Bacteriophage Inactivation

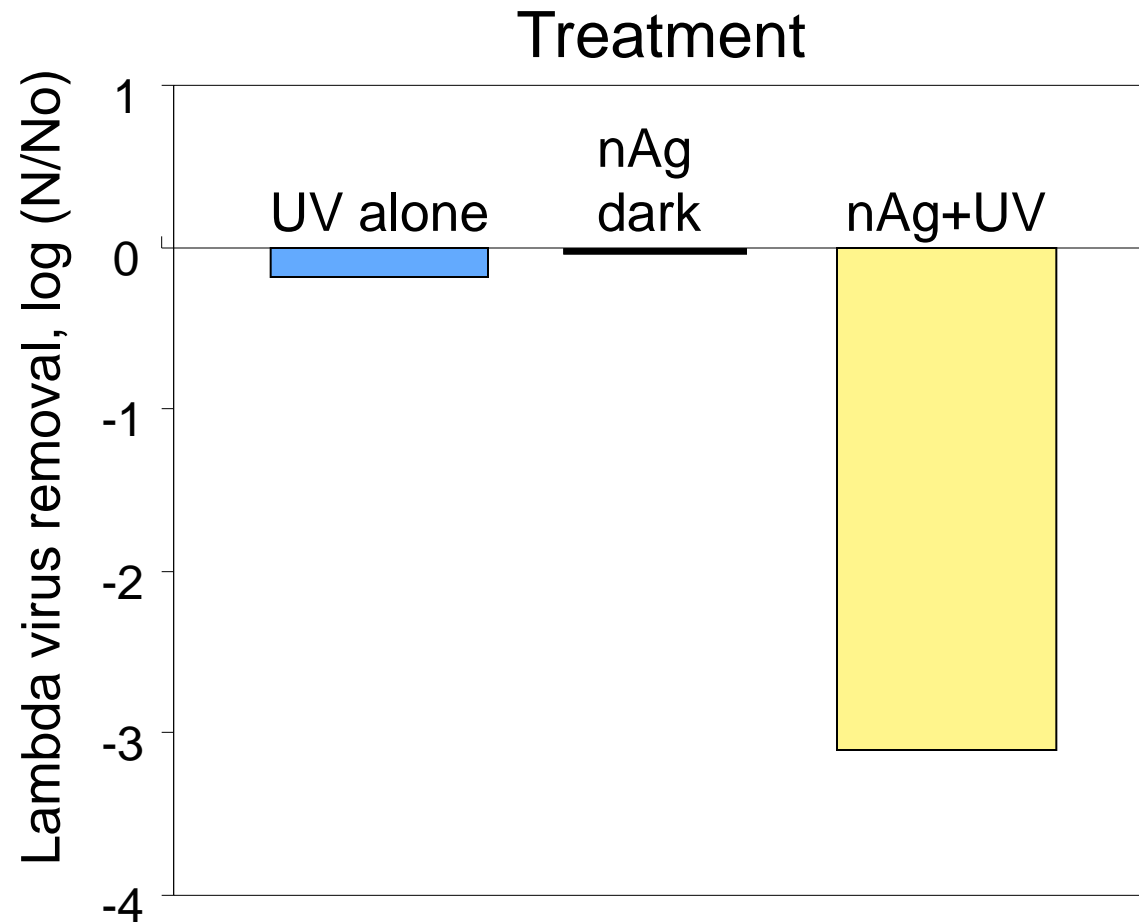
Antiviral activity was higher than antibacterial activity (unusual for traditional disinfection with UV, O₃, Cl₂ etc.)



Multifunctional Magnetic Nanoparticles



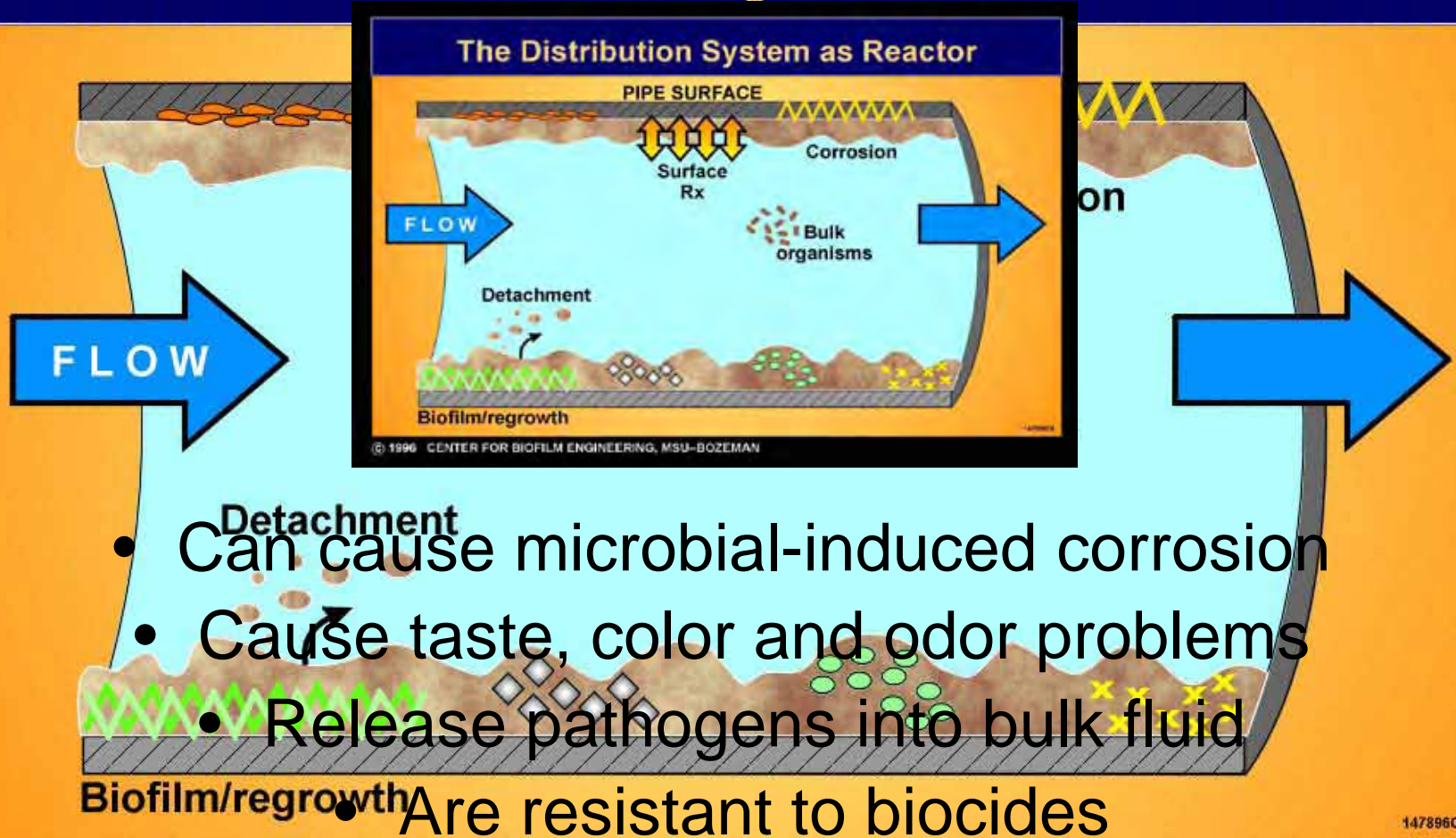
Synergistic Inactivation of Lambda virus with nano-Ag and UV illumination



nAg mounted on nano-magnetite, 0.5 ppm, 1-h under UV illumination of 24.1 mW/m²

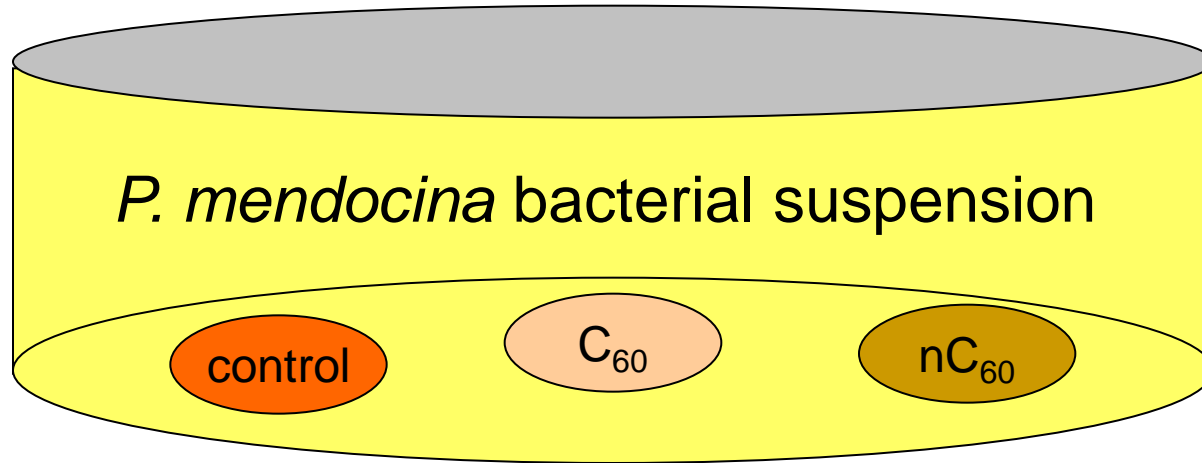
Biofilms in Water Distribution Systems

The Distribution System as Reactor

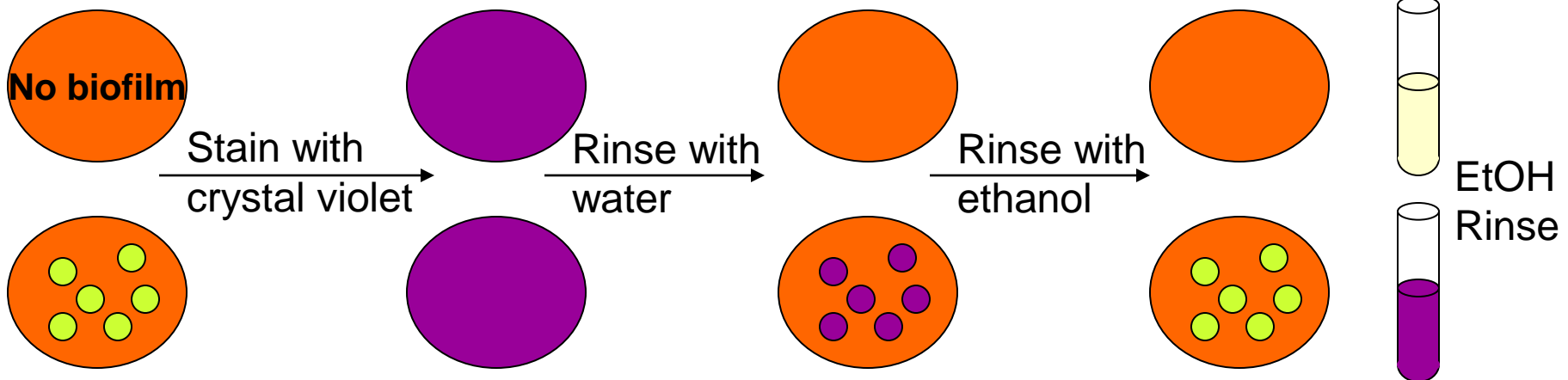


Assessing Biofilm Formation

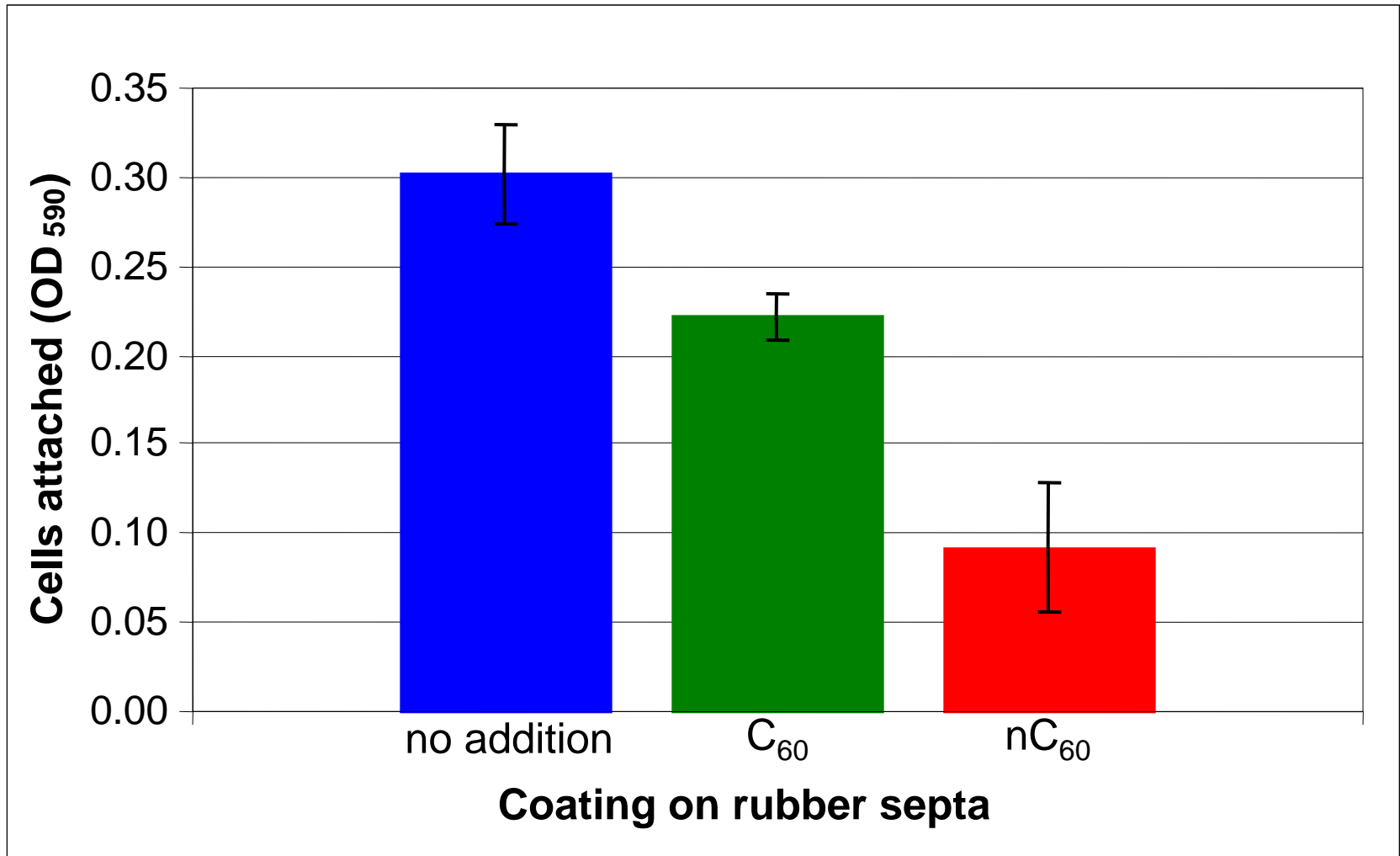
1) Grow biofilm on rubber septa



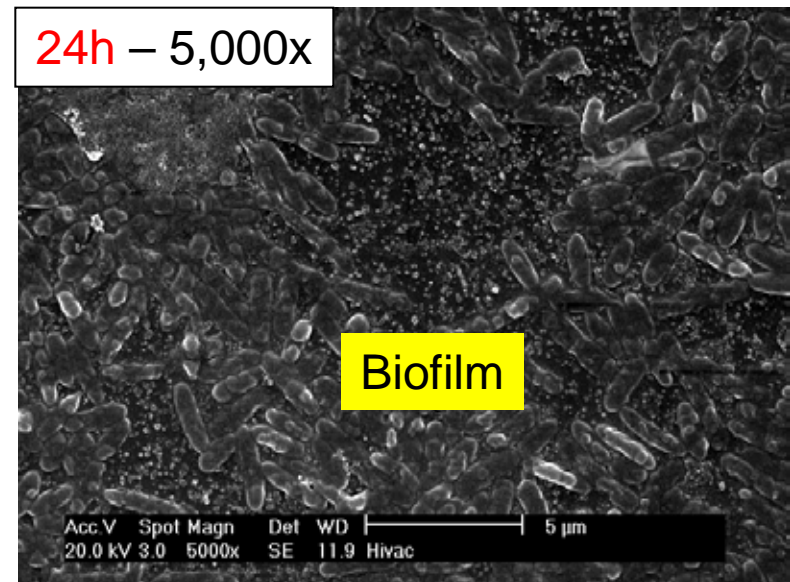
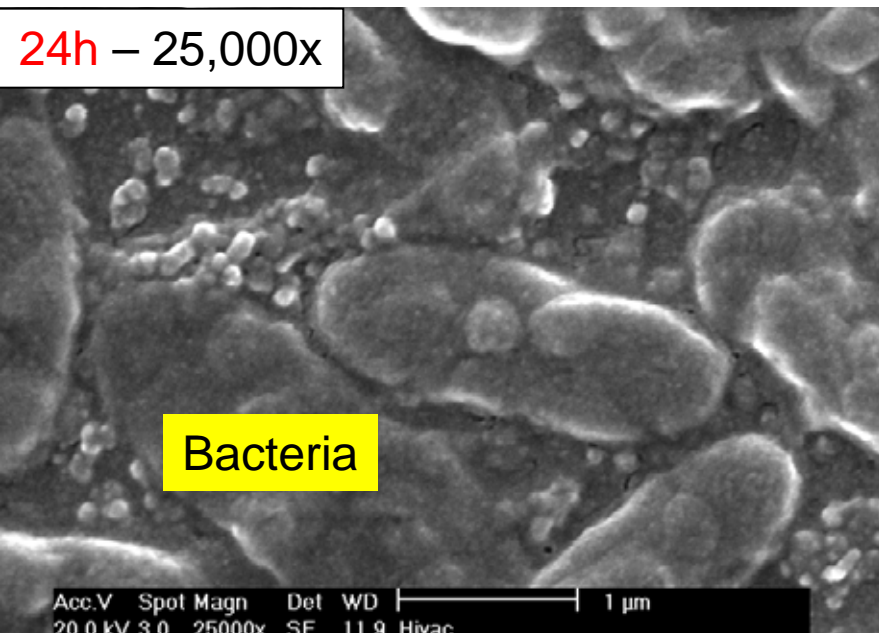
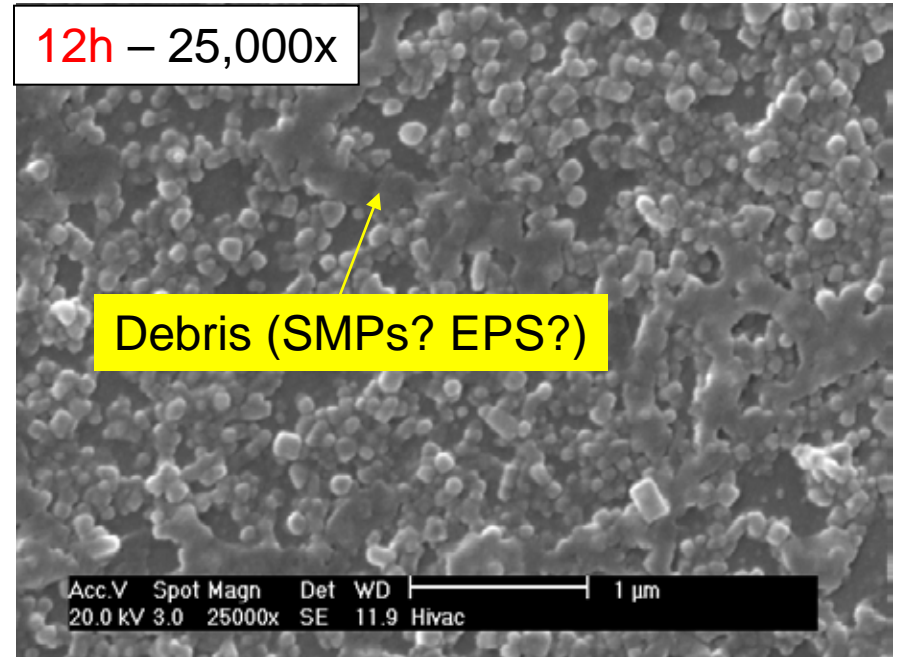
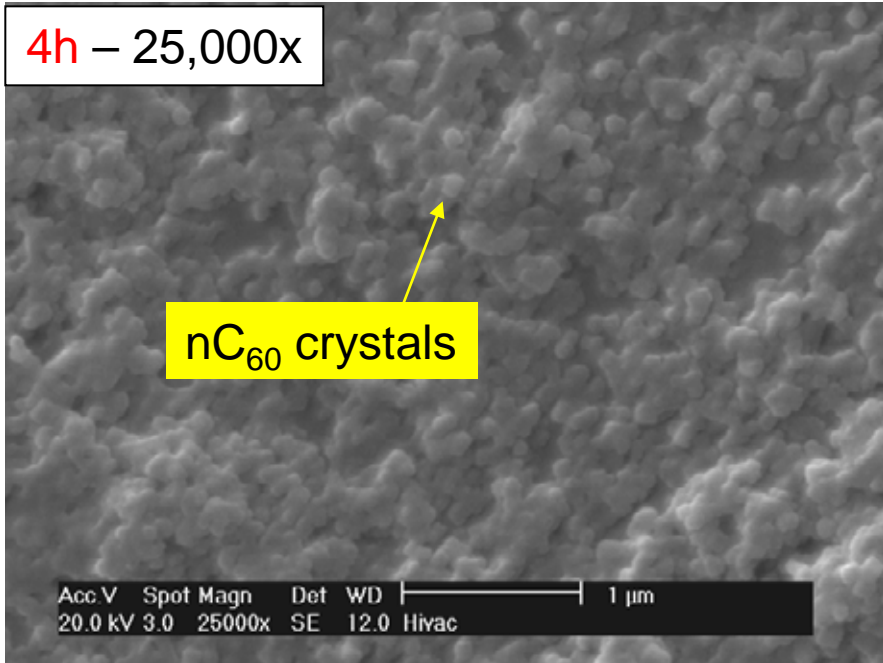
2) Measure amount of biofilm



nC₆₀ reduces biofilm formation?

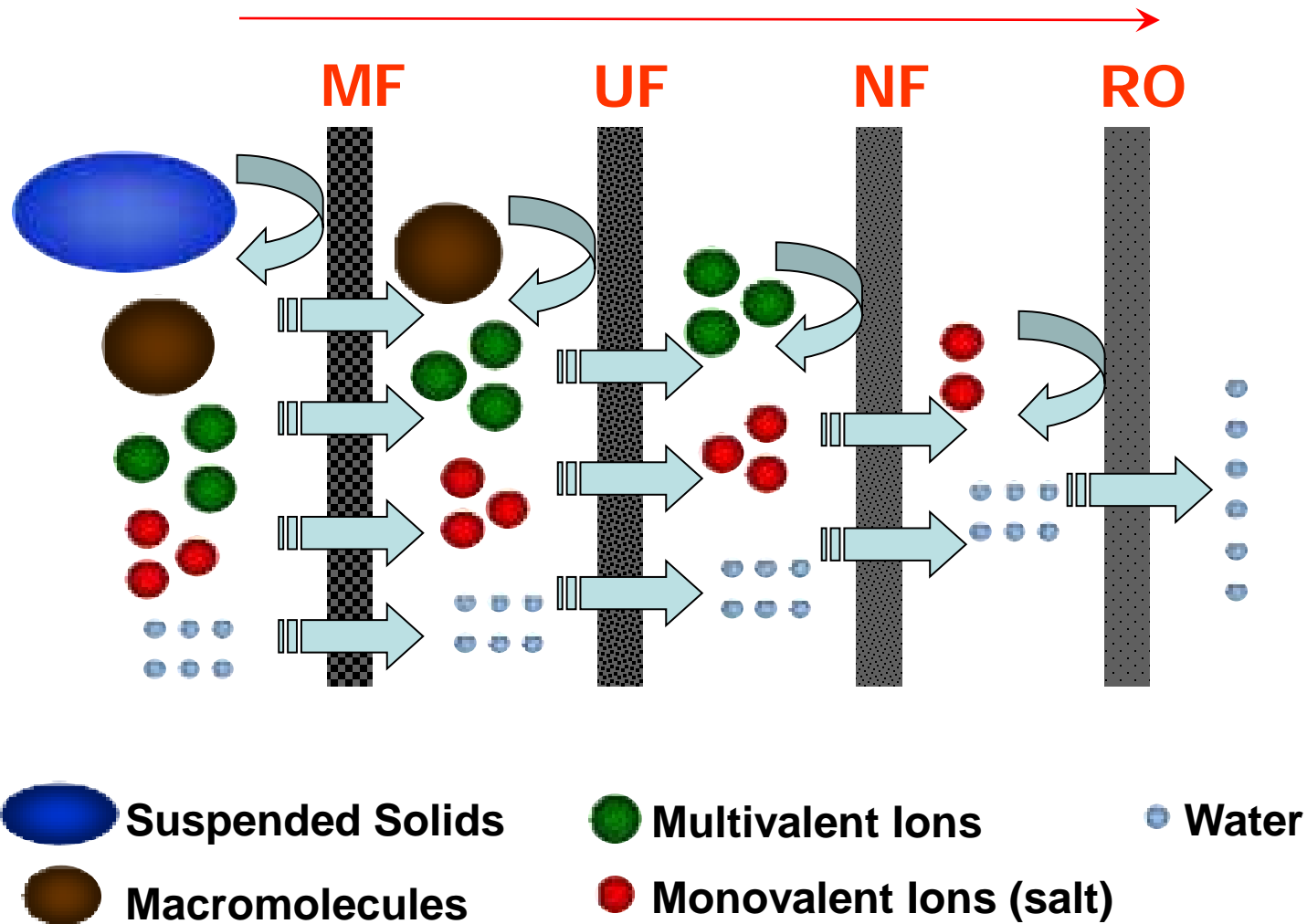


SEM images of biofilm formation on nC₆₀

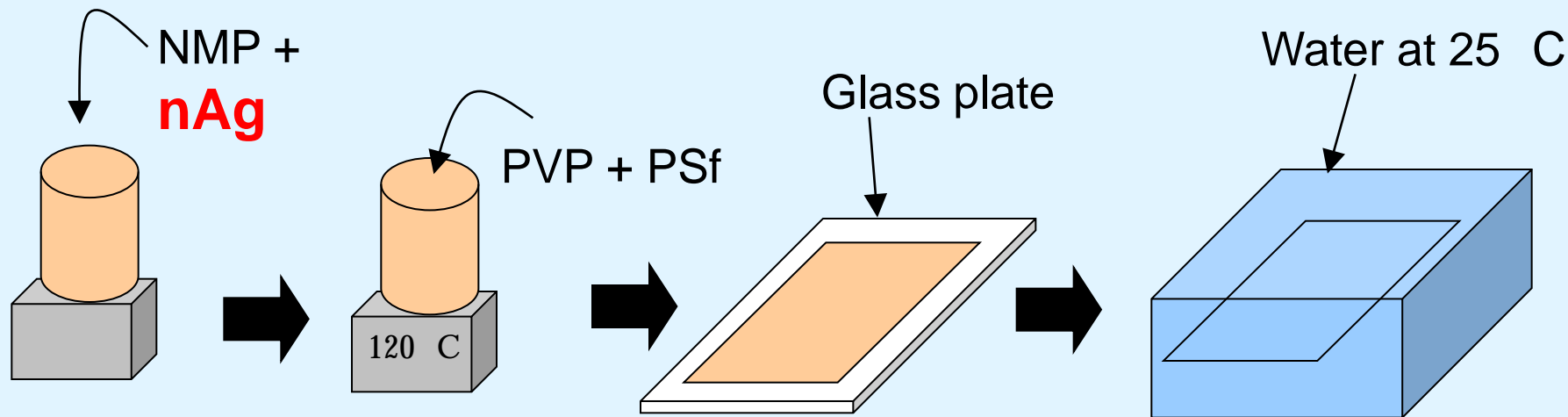


Water Treatment Membranes

Higher ΔP (energy), lower H₂O recovery



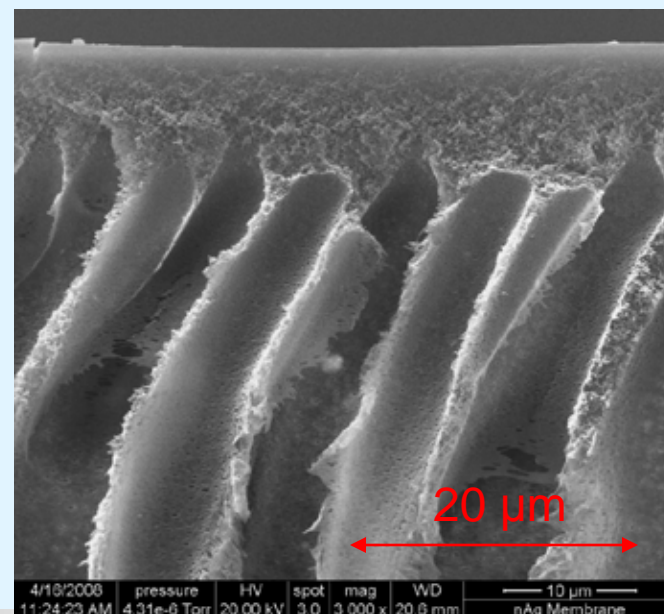
Fouling-Resistant UF Membrane Fabrication (Wet Phase Inversion)



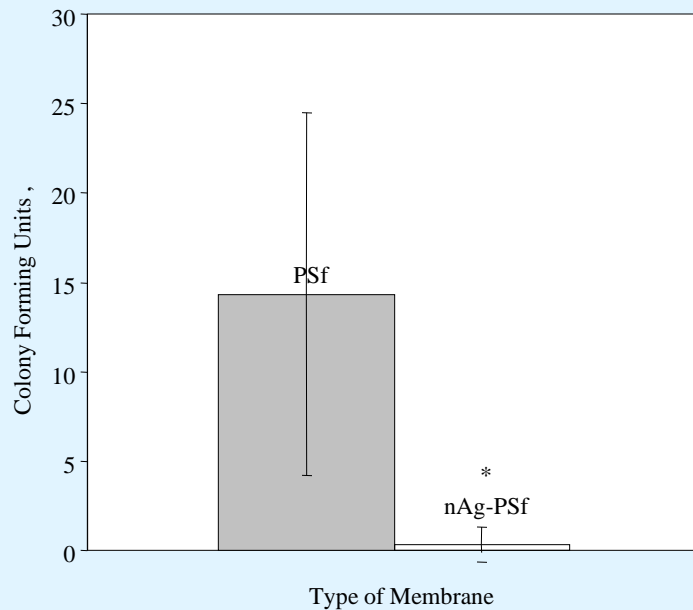
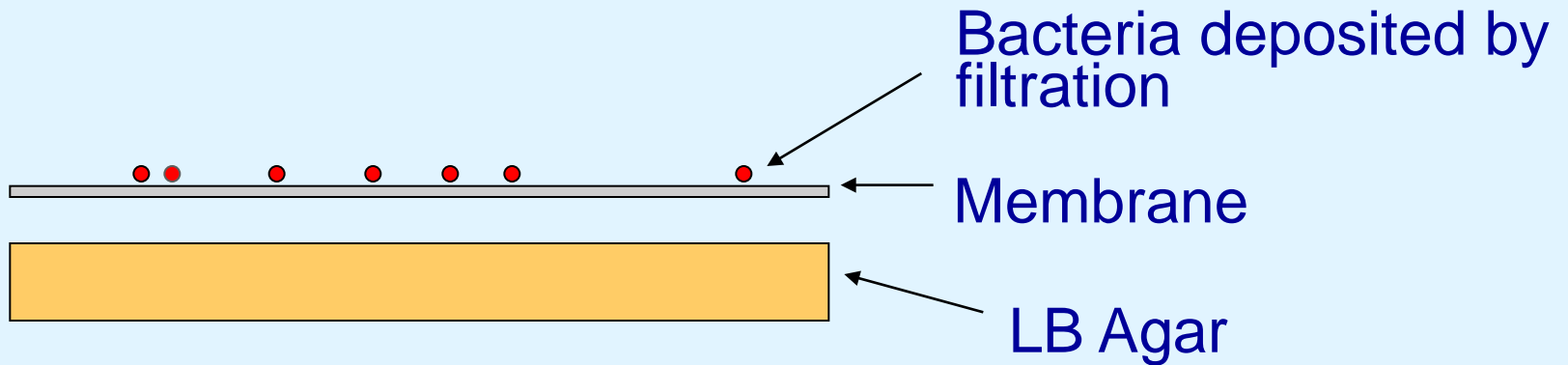
1. Collodion preparation :

2. Film casting at room temperature

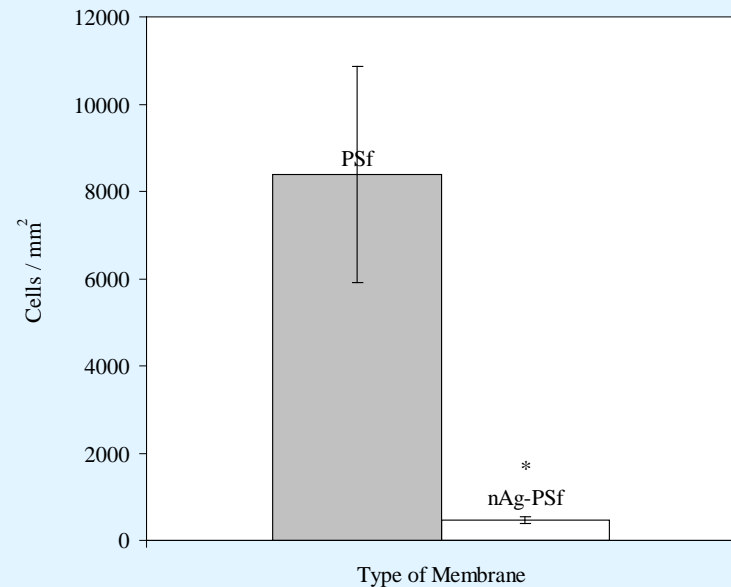
- Nanoparticle solubilisation in NMP. Ultrasonication (100 W, 4 min)
- Addition of PVP at 70 C
- Slow addition of PSF while stirring at 120 C



Bacterial growth inhibition test



Inhibition of growth of *E. coli*



Inhibition *E. coli* attachment onto membrane surface

nAg-PSf Membranes Enhance Virus Removal

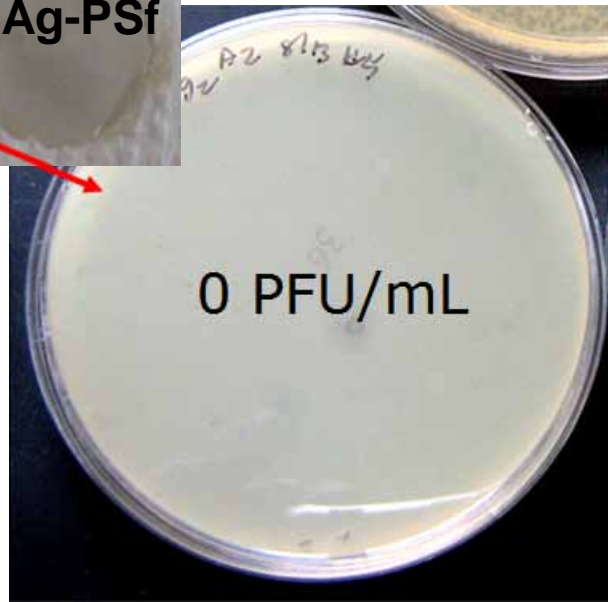
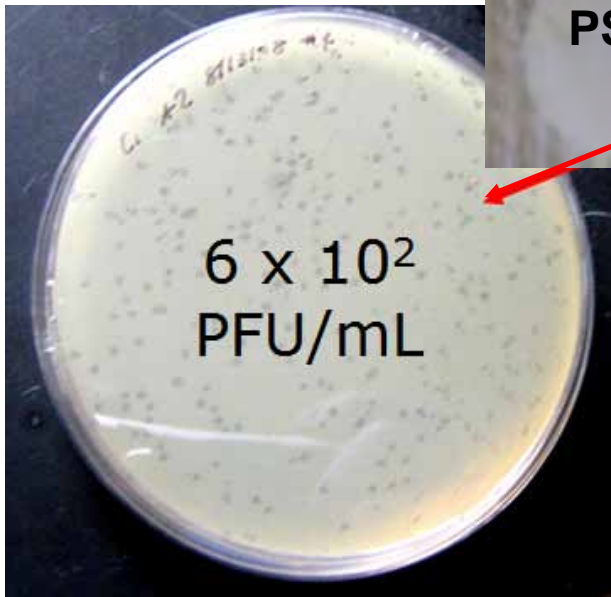
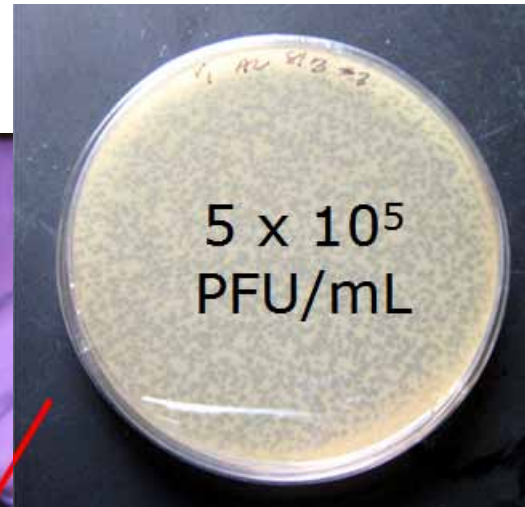
Removal of MS2:

PSf: 99.9%

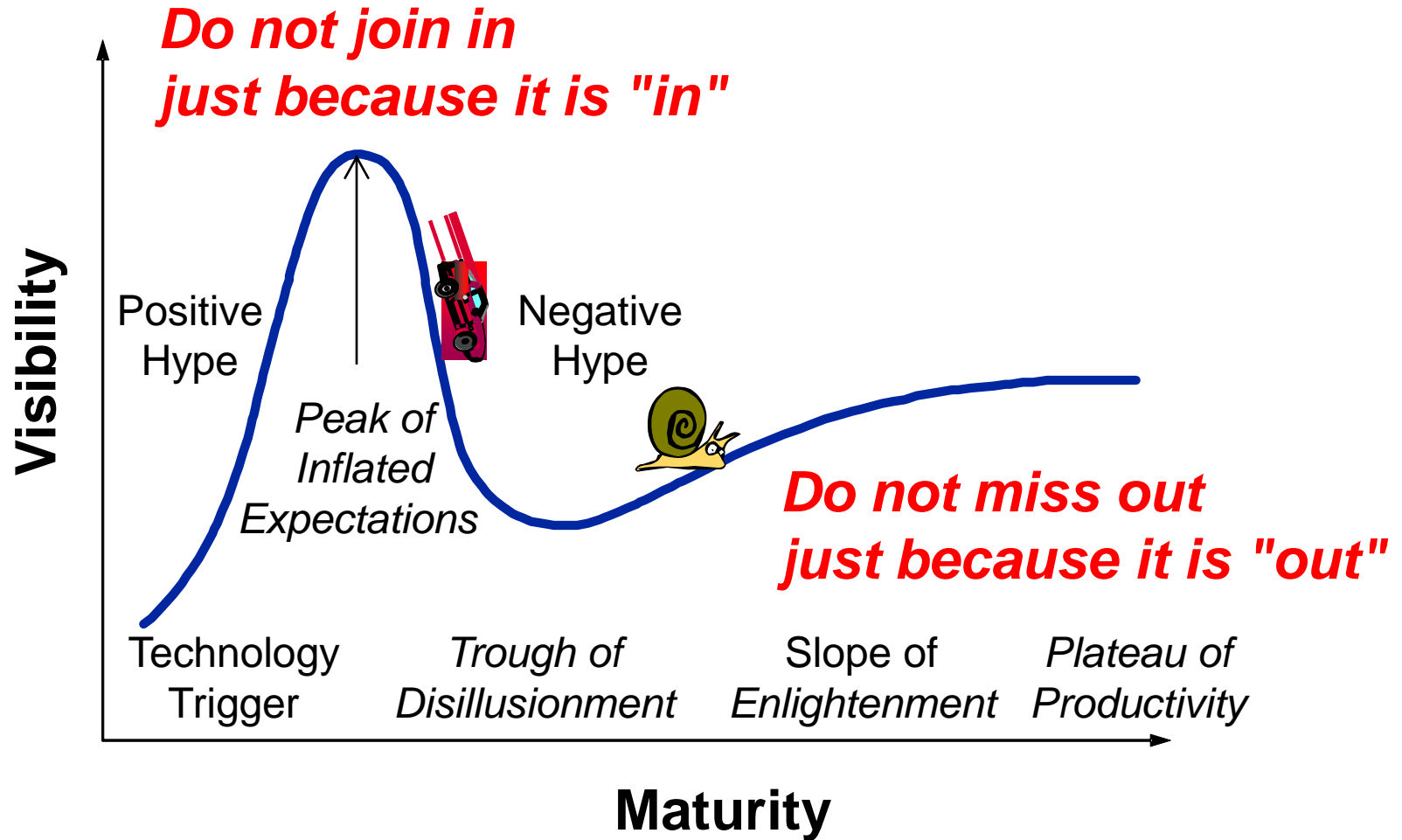
nAg-PSf: > 99.999%

Surface Water Treatment Rule:

99.99% Virus Removal

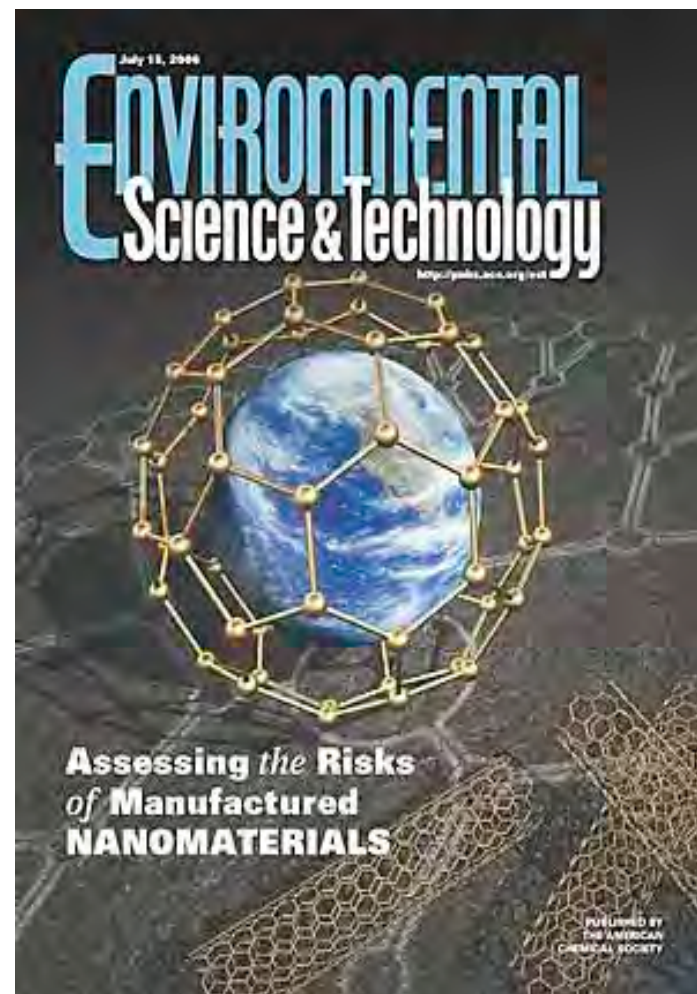


Quo Vadis, Nano?



So What?

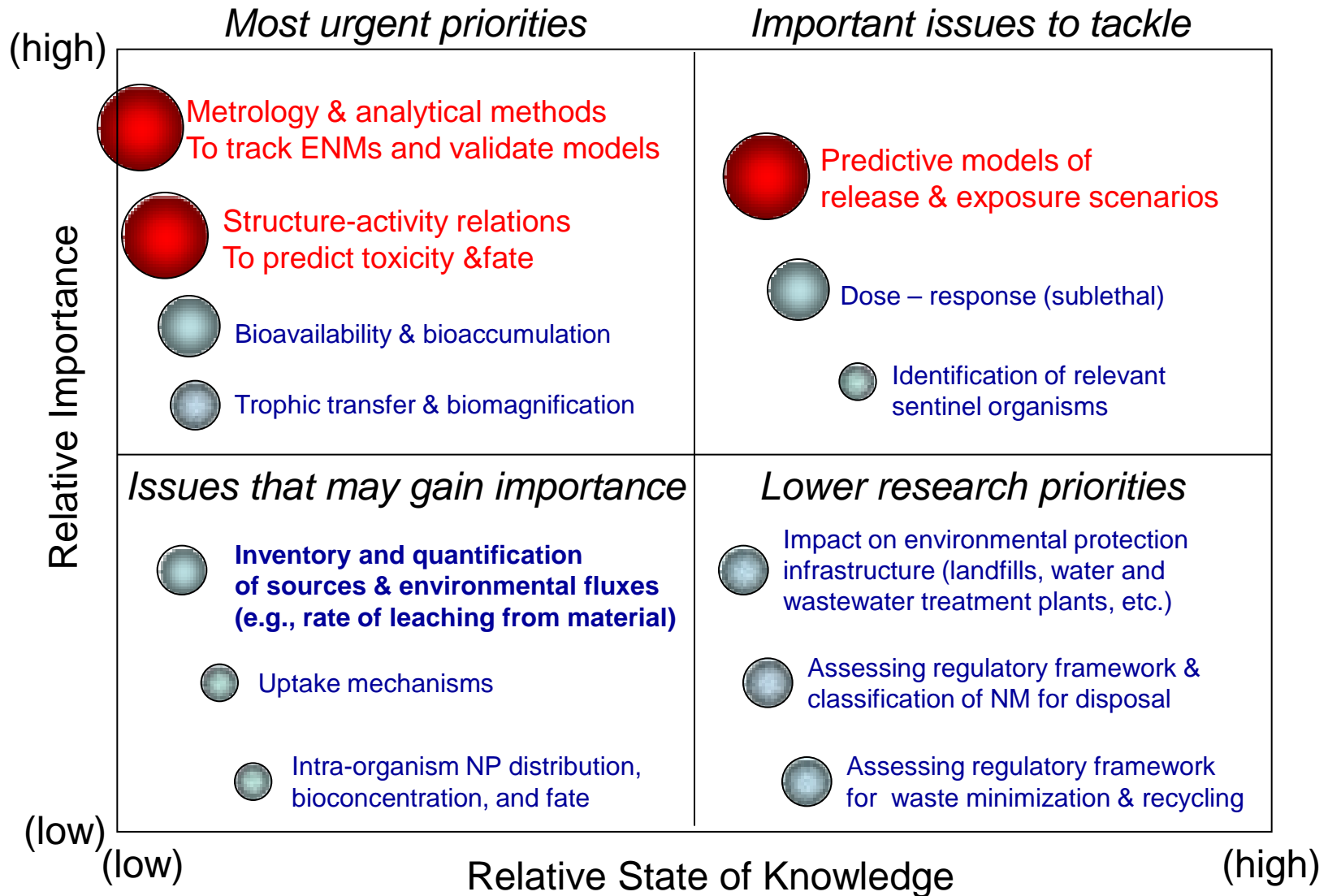
- Implications:
Ecotoxicology- Biodiversity
and food webs?
Biogeochemical cycling?
Mitigated by NOM, salts
- Applications:
DBP-free disinfection,
antifouling or anticorrosion
coatings? Membranes?
Bioremediation?



Any Questions?

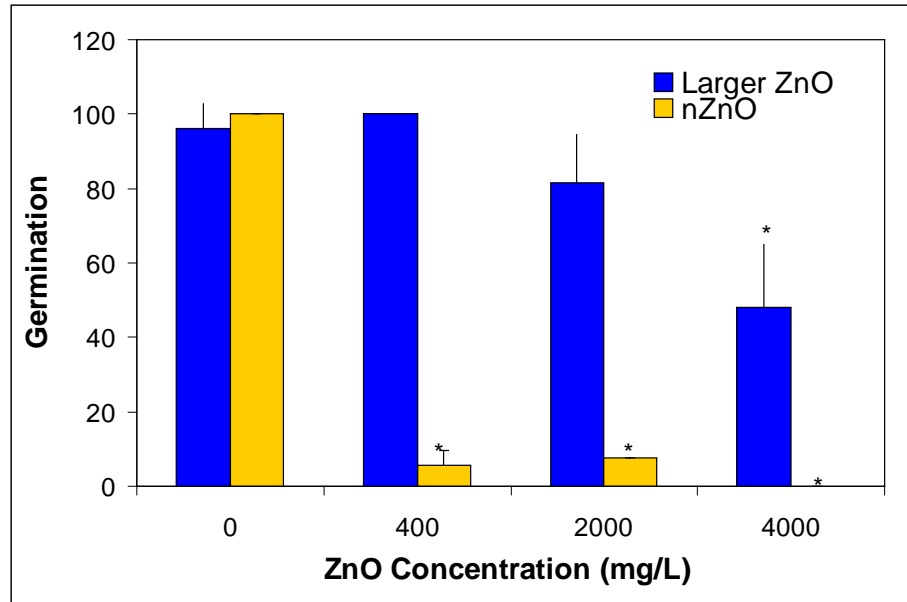
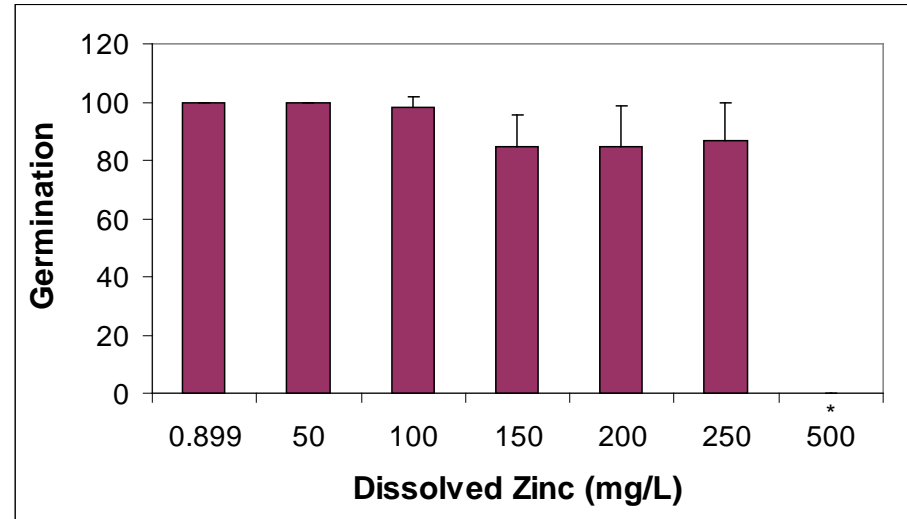


Towards Ecoresponsible Nanotechnology



BACKUP SLIDES

Nano-scale Metal Oxides are Phytotoxic

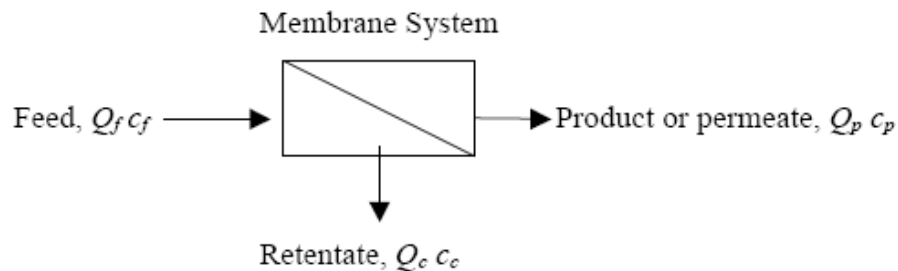


Water Filtration Membranes



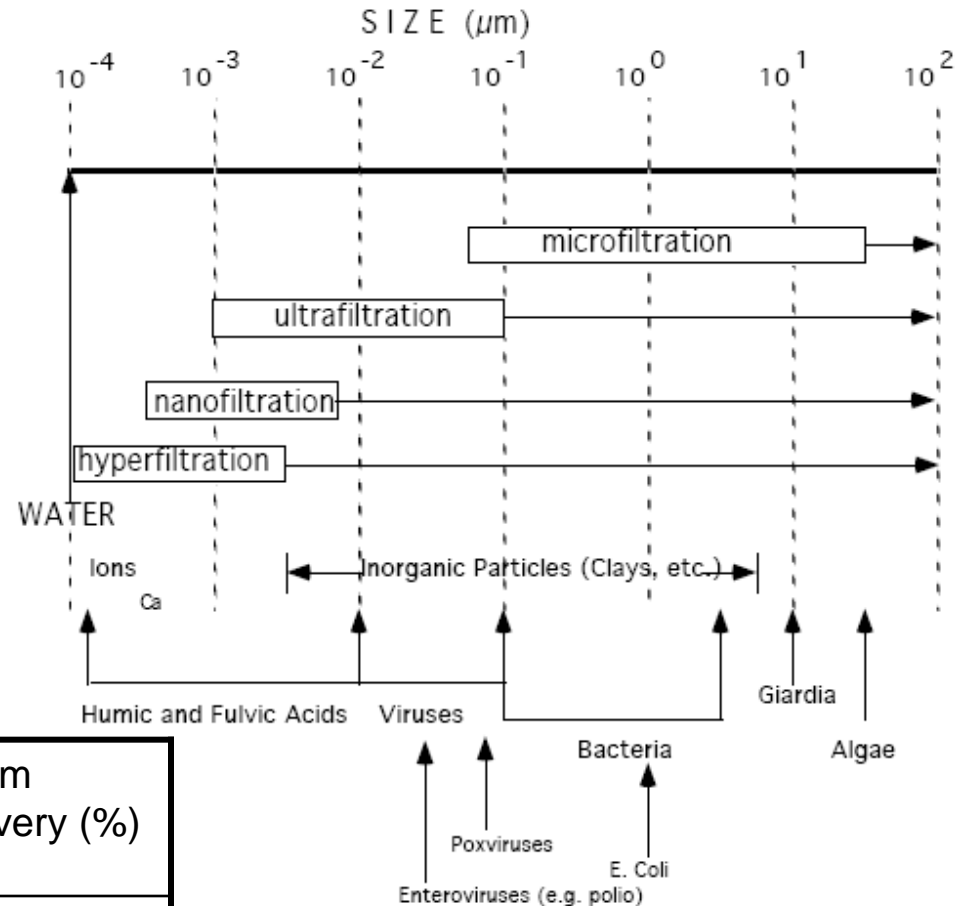
- Pore Size
- Recovery, r

$$r = \frac{Q_{pr}}{Q_f}$$



Water Filtration Membranes

Membranes with smaller pores have higher ΔP and lower recovery

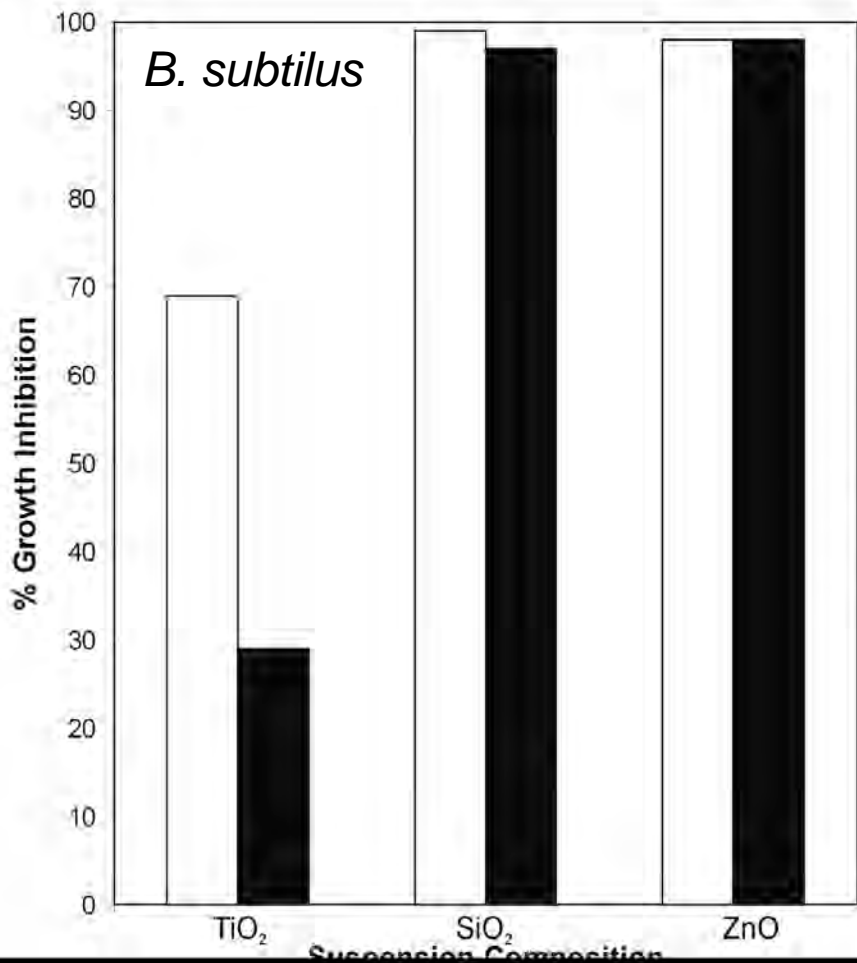


Membrane Process	Transmembrane Pressure, ΔP (psi)	System Recovery (%)
Reverse Osmosis	60 to 1,000	20 to 80
Nanofiltration	40 to 150	60 to 90
Ultrafiltration	7 to 40	80 to 95+
Microfiltration	4 to 30	80 to 99+

Acknowledgements: NSF/CBEN, EPA



Effect of illumination on antibacterial activity of photosensitive inorganic nanomaterials



Toxicity: ZnO > SiO₂ > TiO₂

ROS production: TiO₂ > ZnO > SiO₂

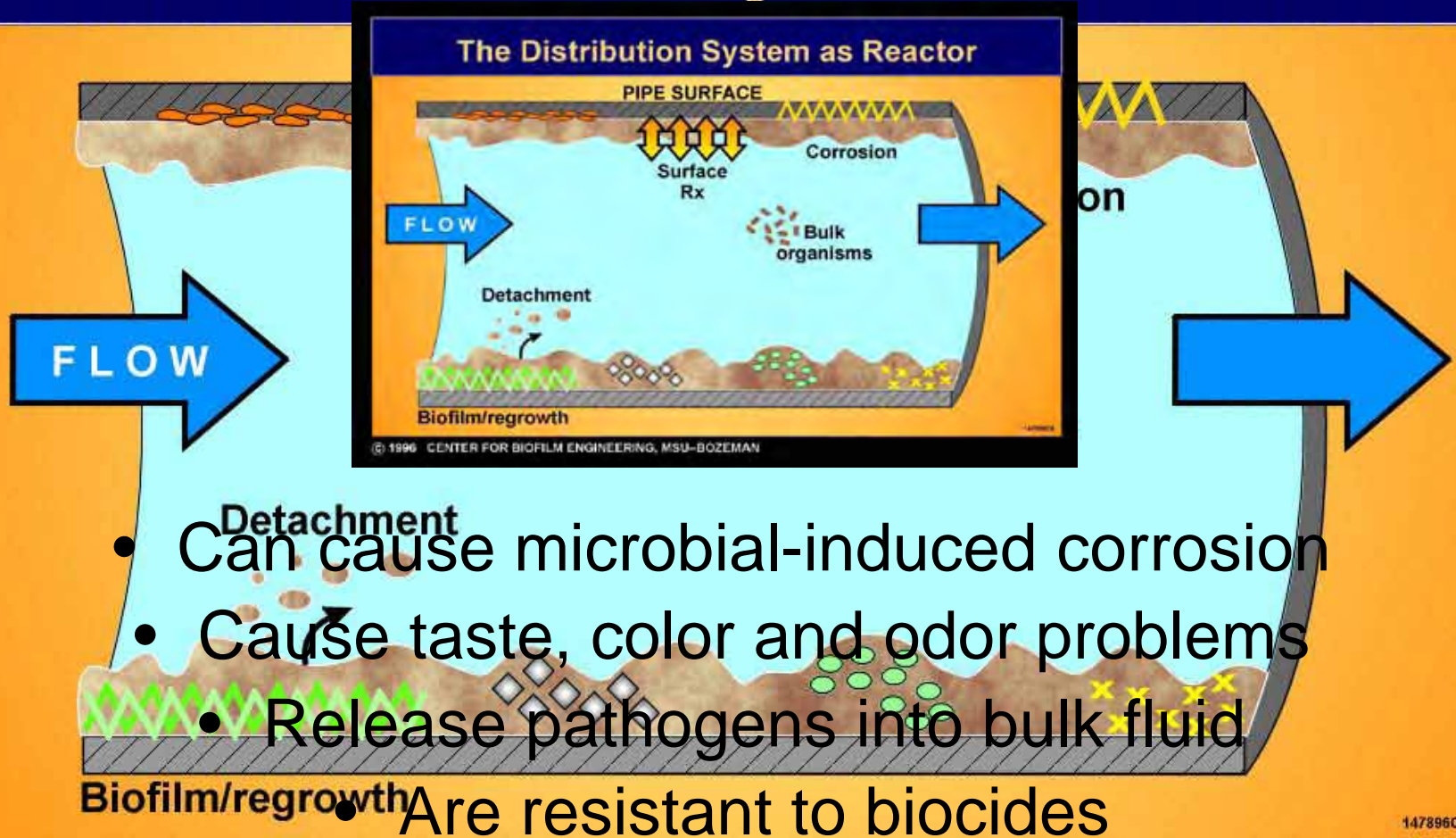
(No correlation)

Cell death also occurs in the dark and in the absence of O₂.

Thus, an additional mechanism besides photocatalytic ROS production is involved.

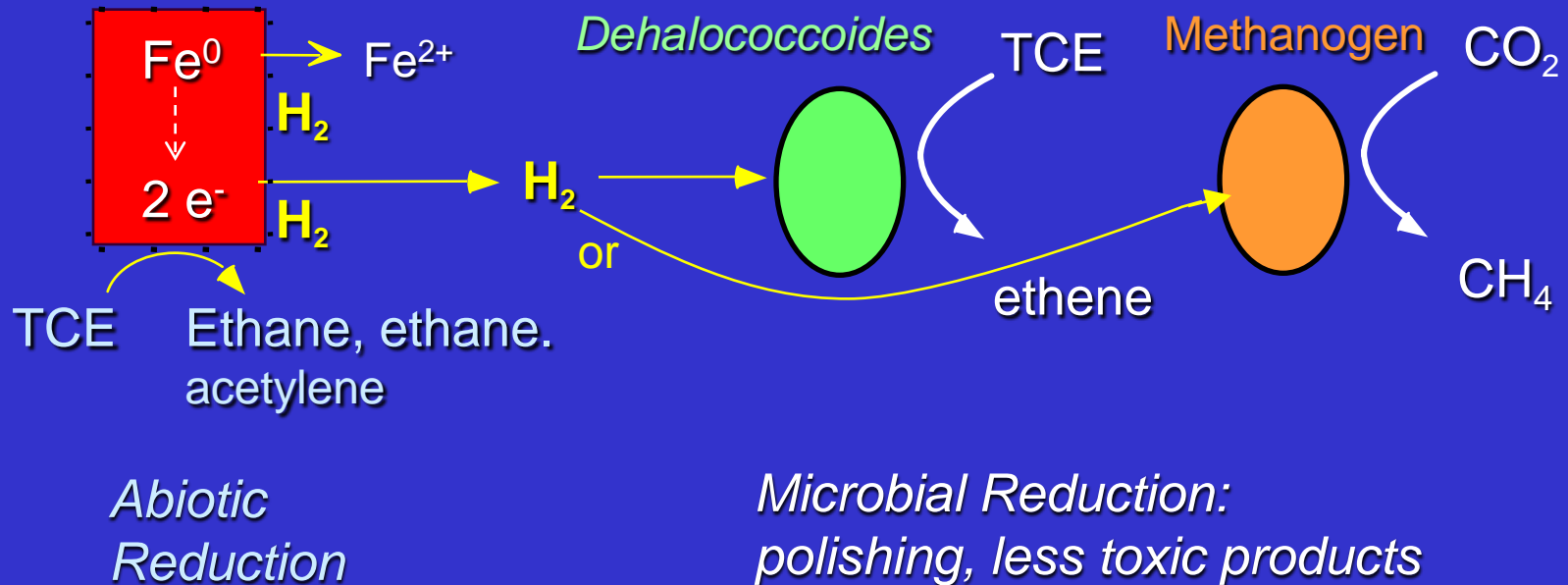
Biofilms in Water Distribution Systems

The Distribution System as Reactor

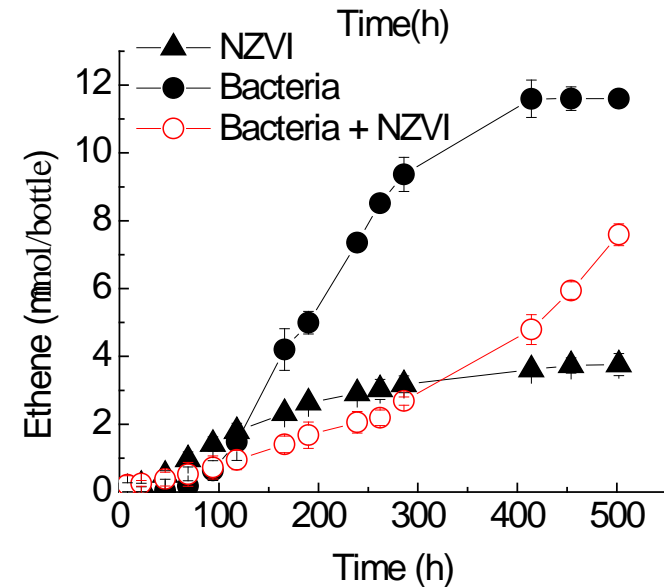
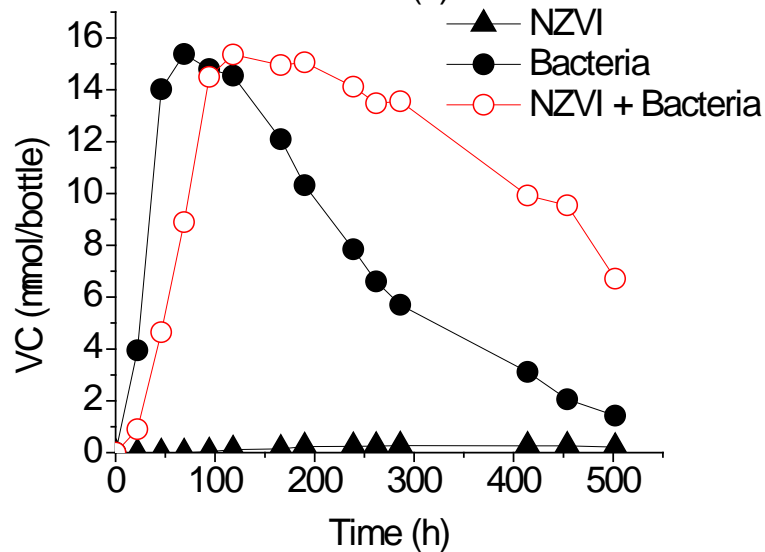
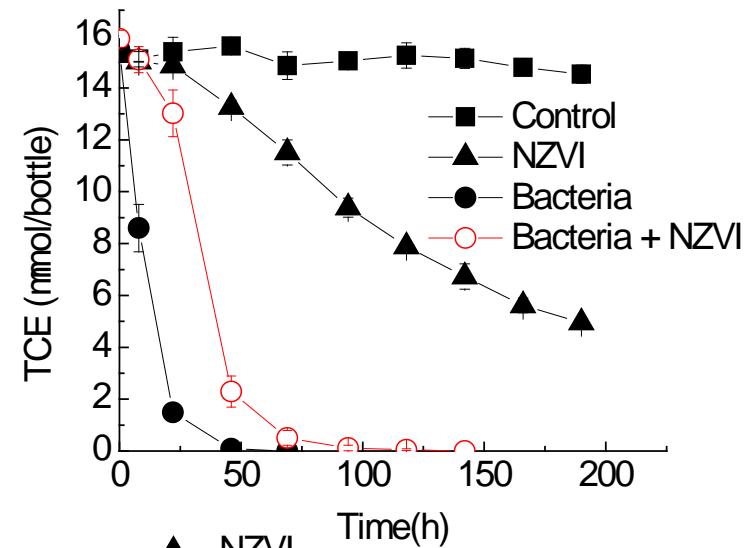
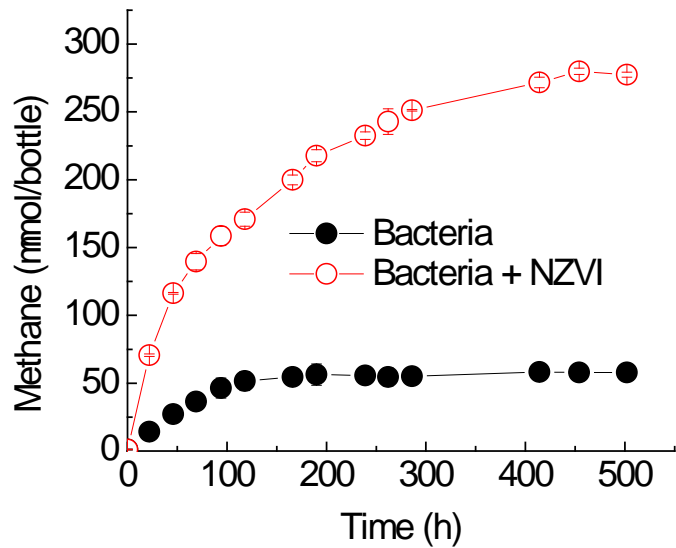


Iron-Stimulated Bioremediation

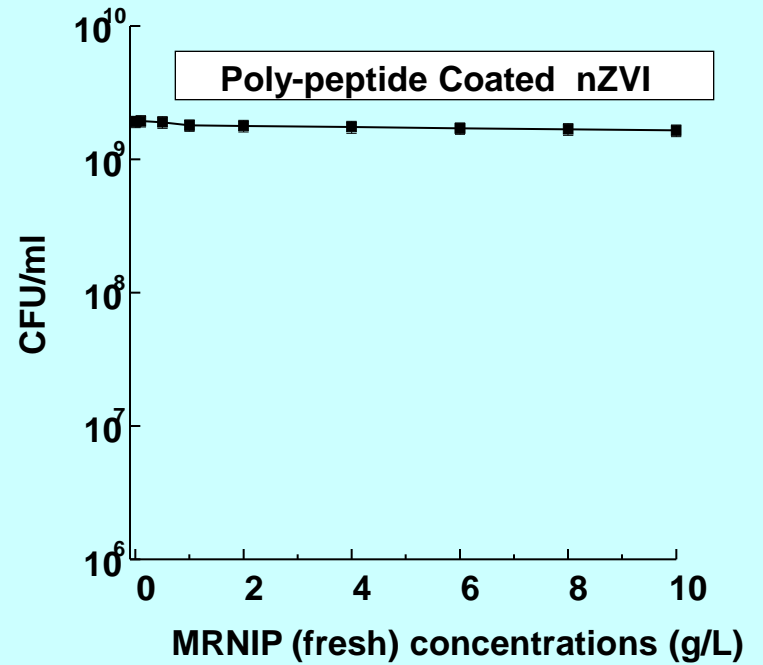
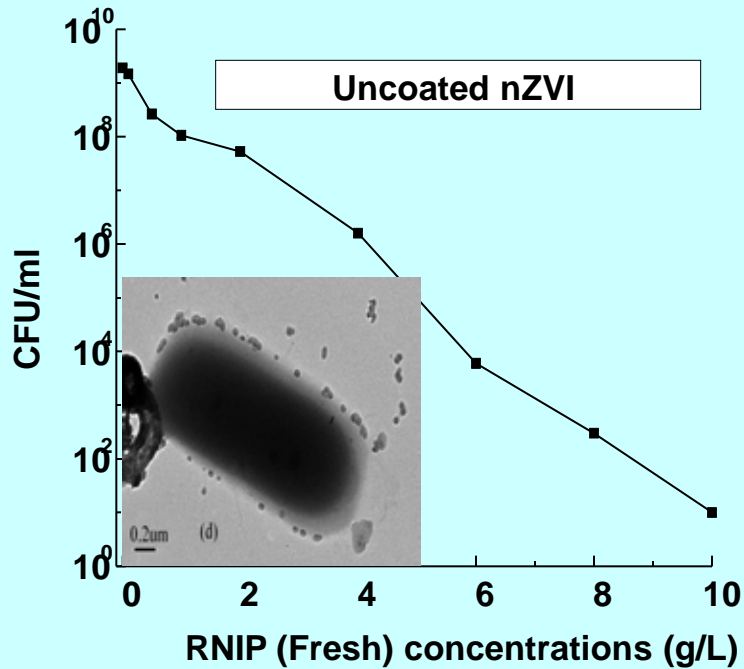
H₂ produced by (anaerobic) NZVI corrosion stimulates anaerobic bioremediation (reductive dechlorination)



NZVI Preferentially Biostimulated Methanogens, also Dechlorinators after Inhibitory Period

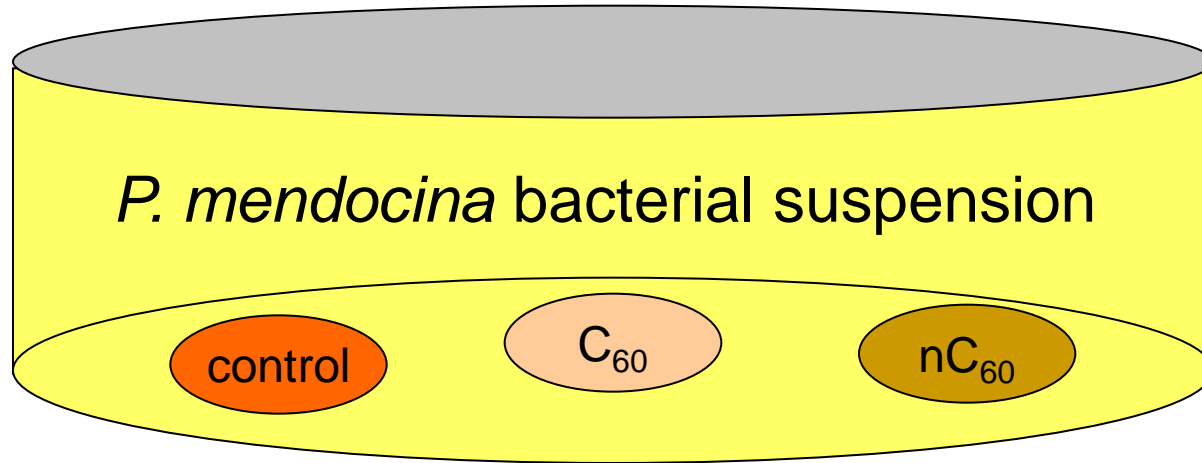


Dose response of *E. coli* exposed to nZVI

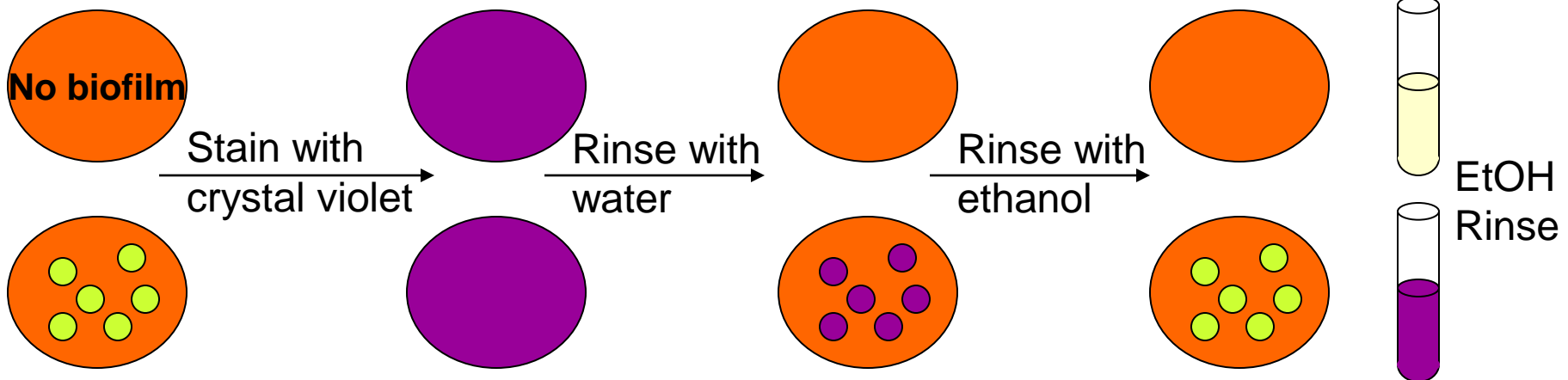


Assessing Biofilm Formation

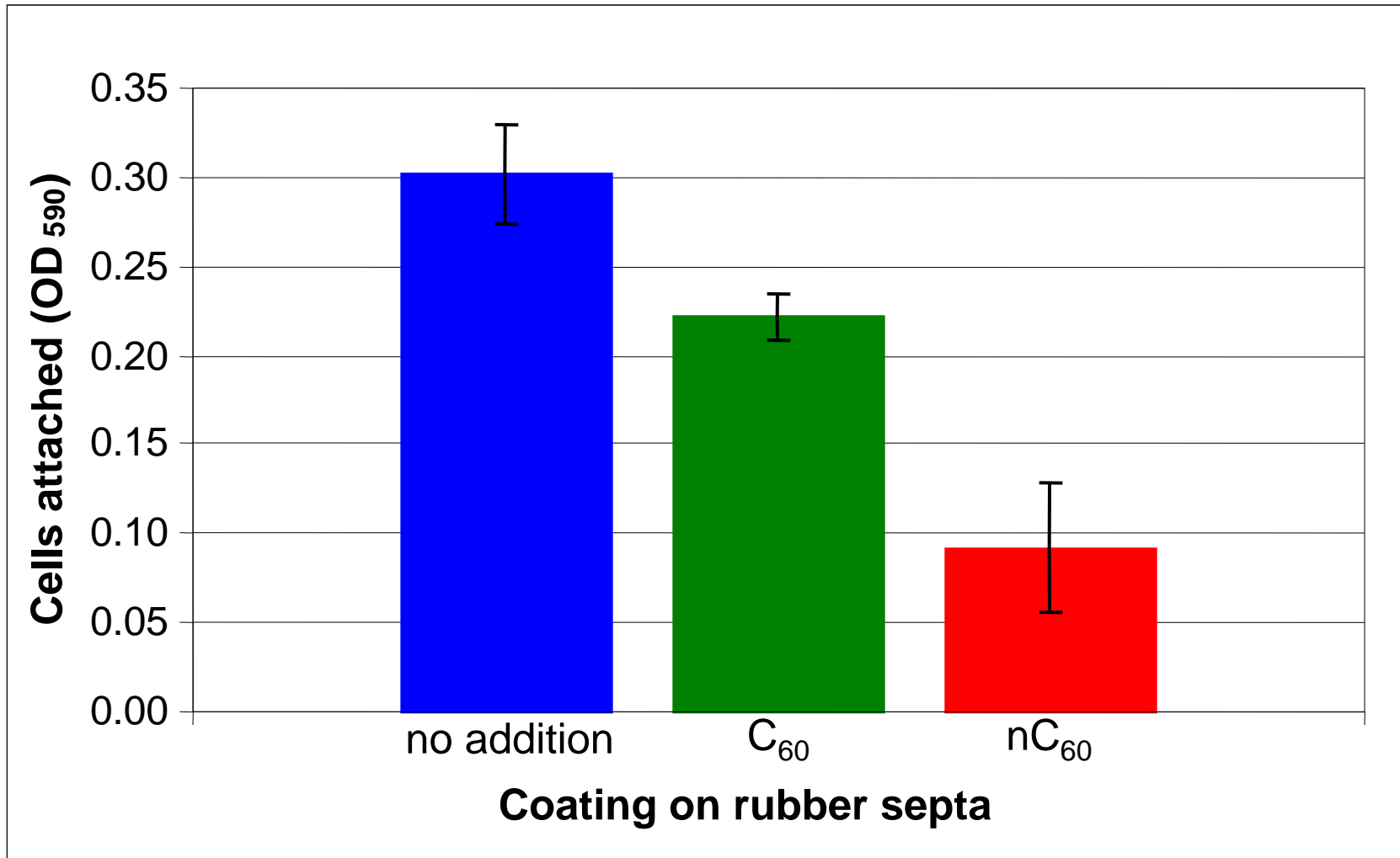
1) Grow biofilm on rubber septa



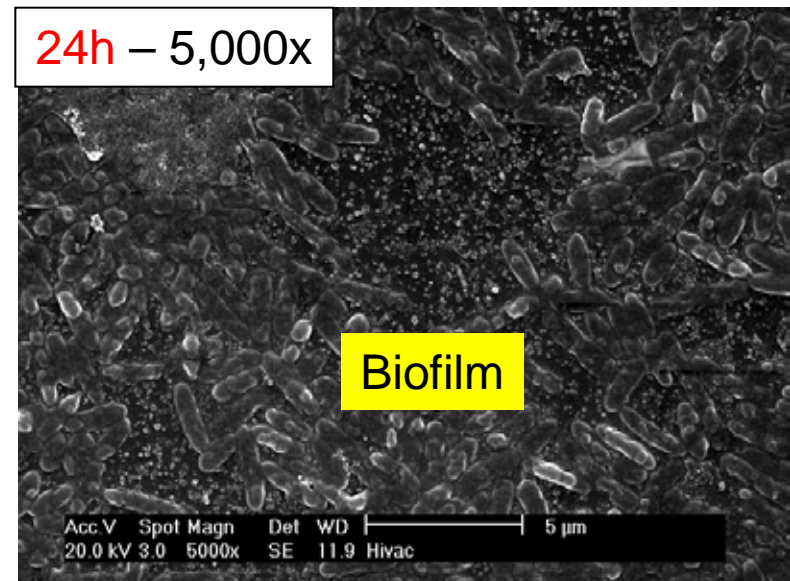
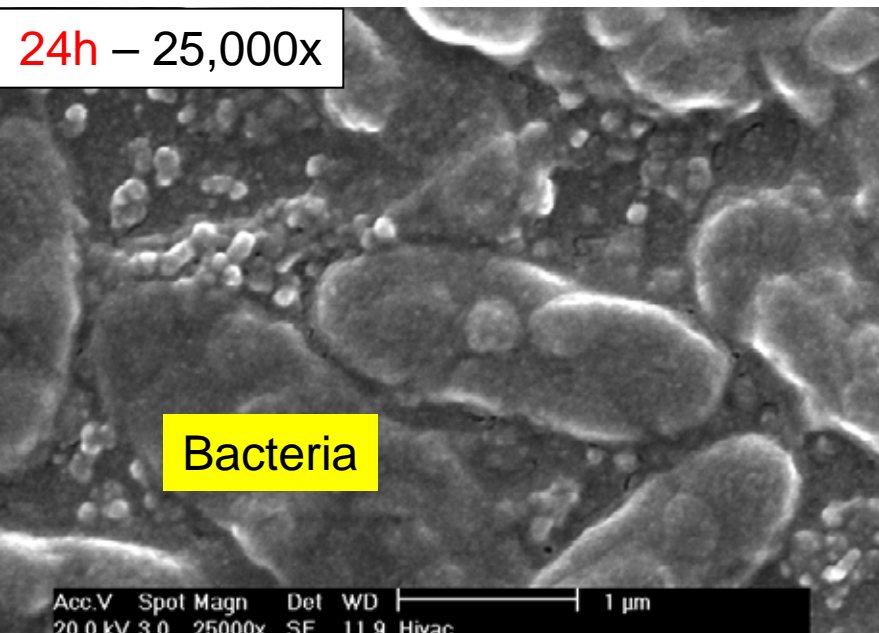
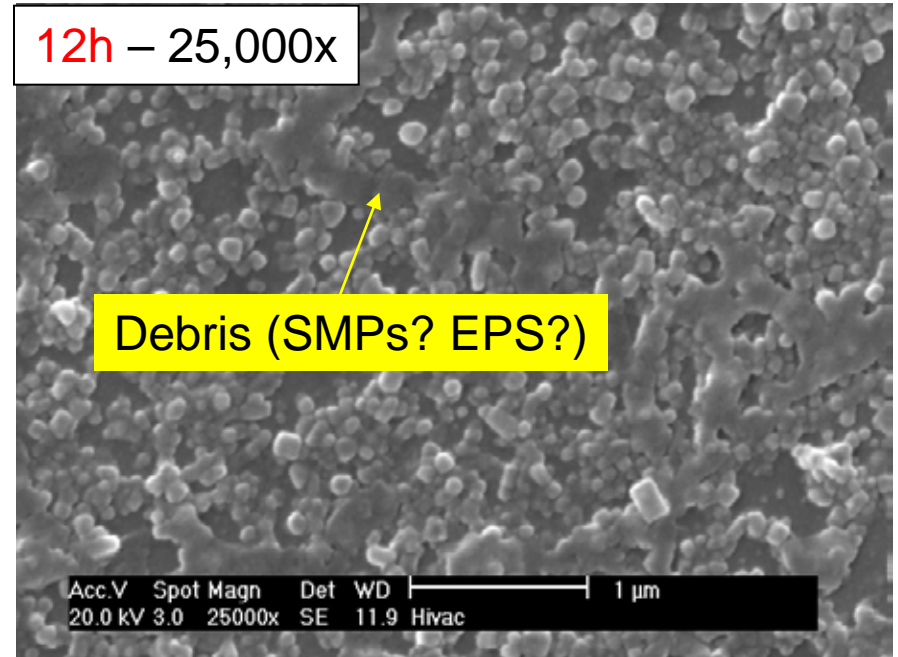
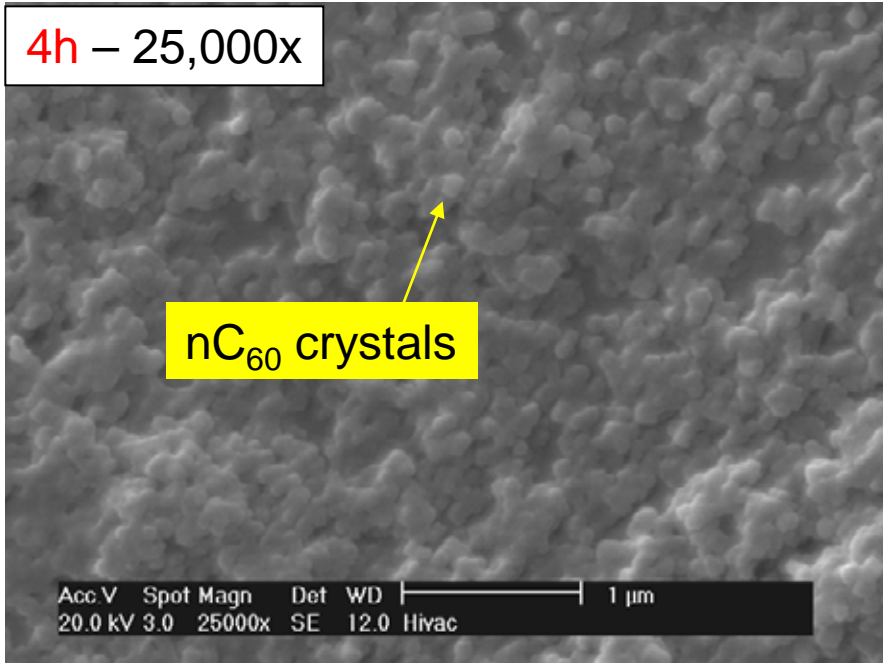
2) Measure amount of biofilm



nC₆₀ reduces biofilm formation?

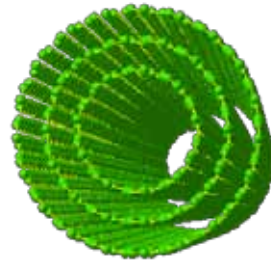
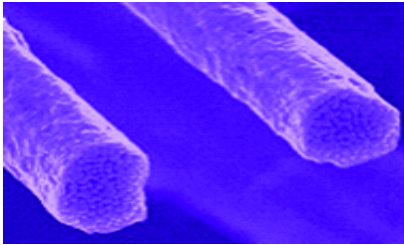


SEM images of biofilm formation on nC₆₀

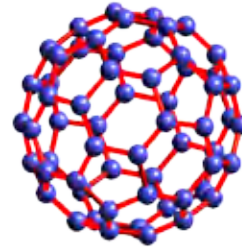


Which Types of Nanomaterials Should We Focus On?

Single and multi walled nanotubes



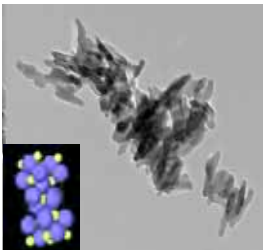
Fullerenes



Nanoshells



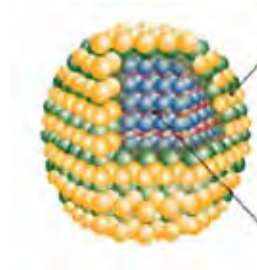
Metal oxides



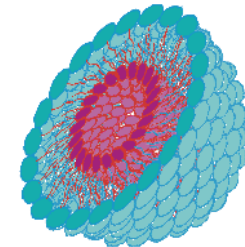
Dendrimers



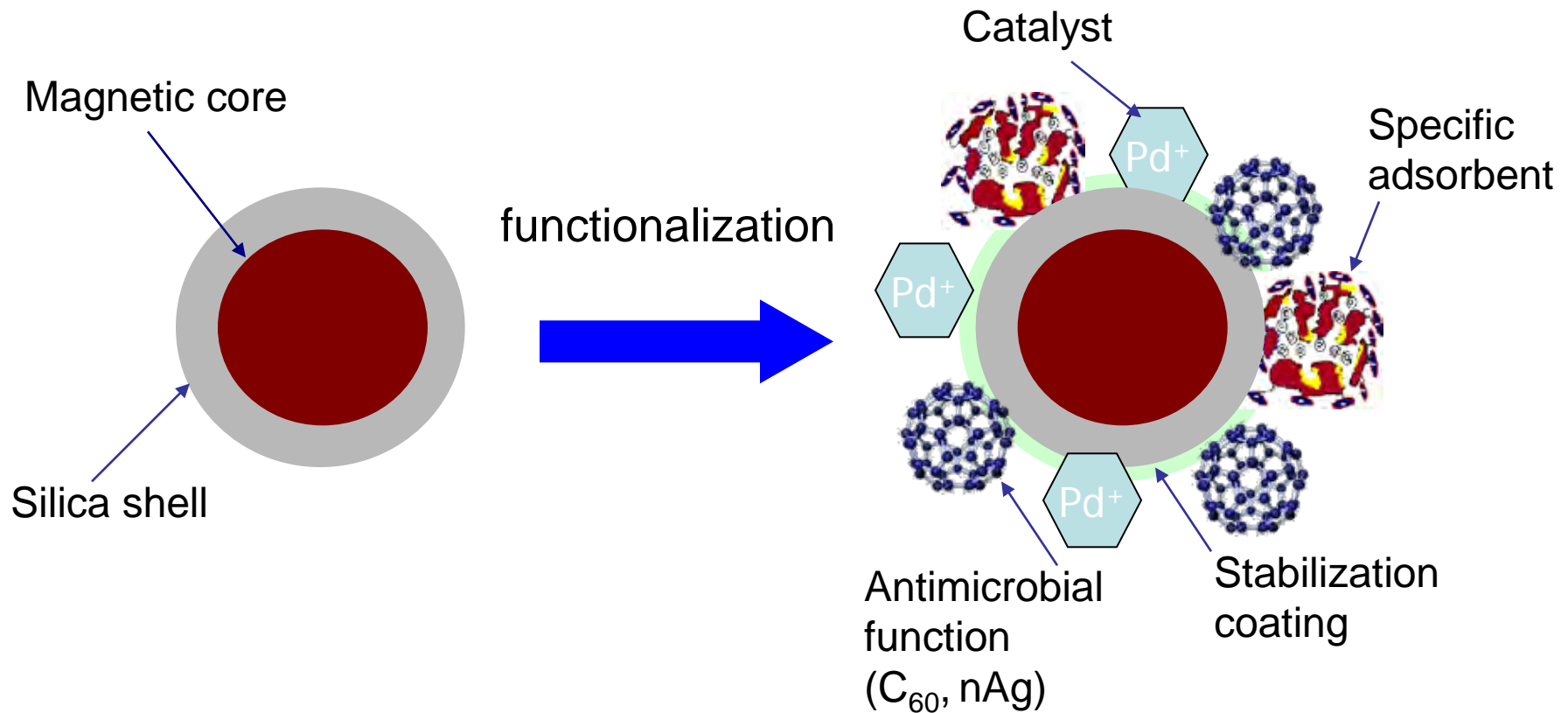
Quantum dots



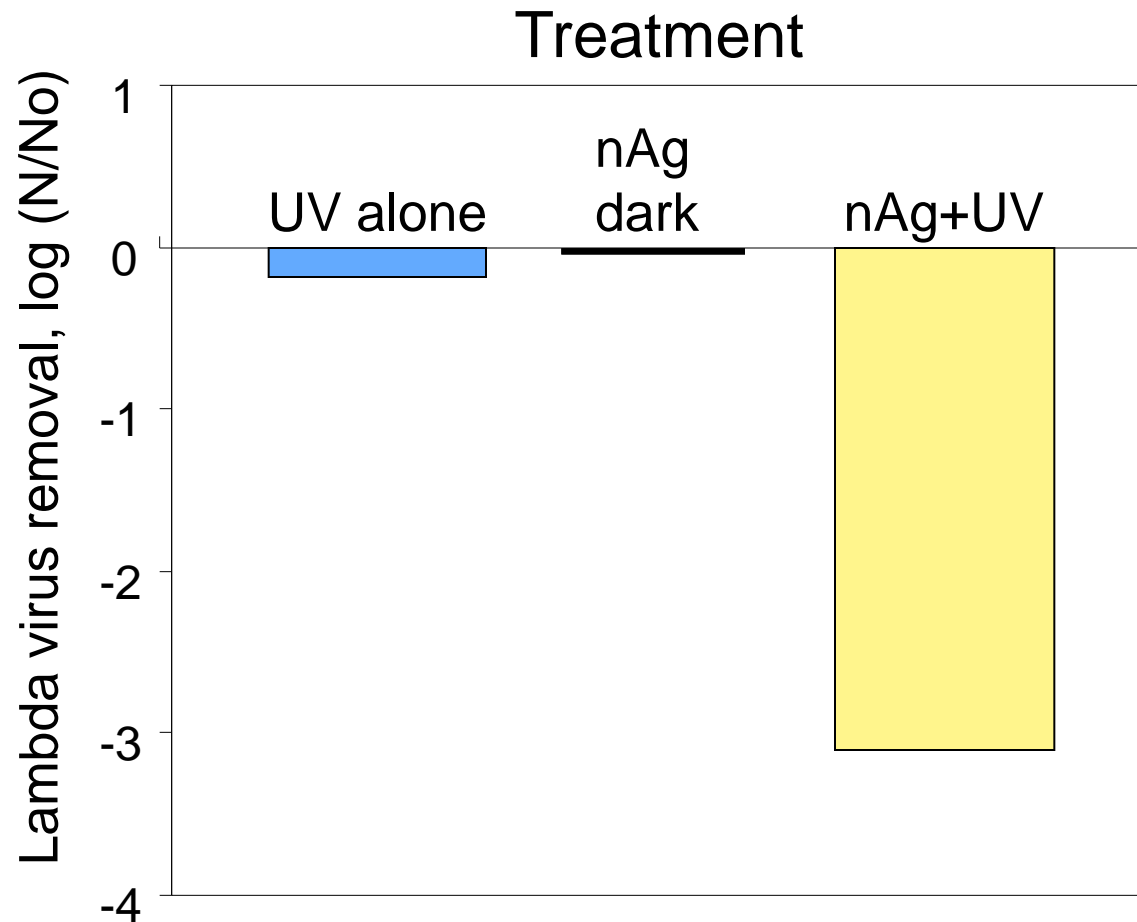
Nanosomes



Multi-functional Magnetic Nanoparticles



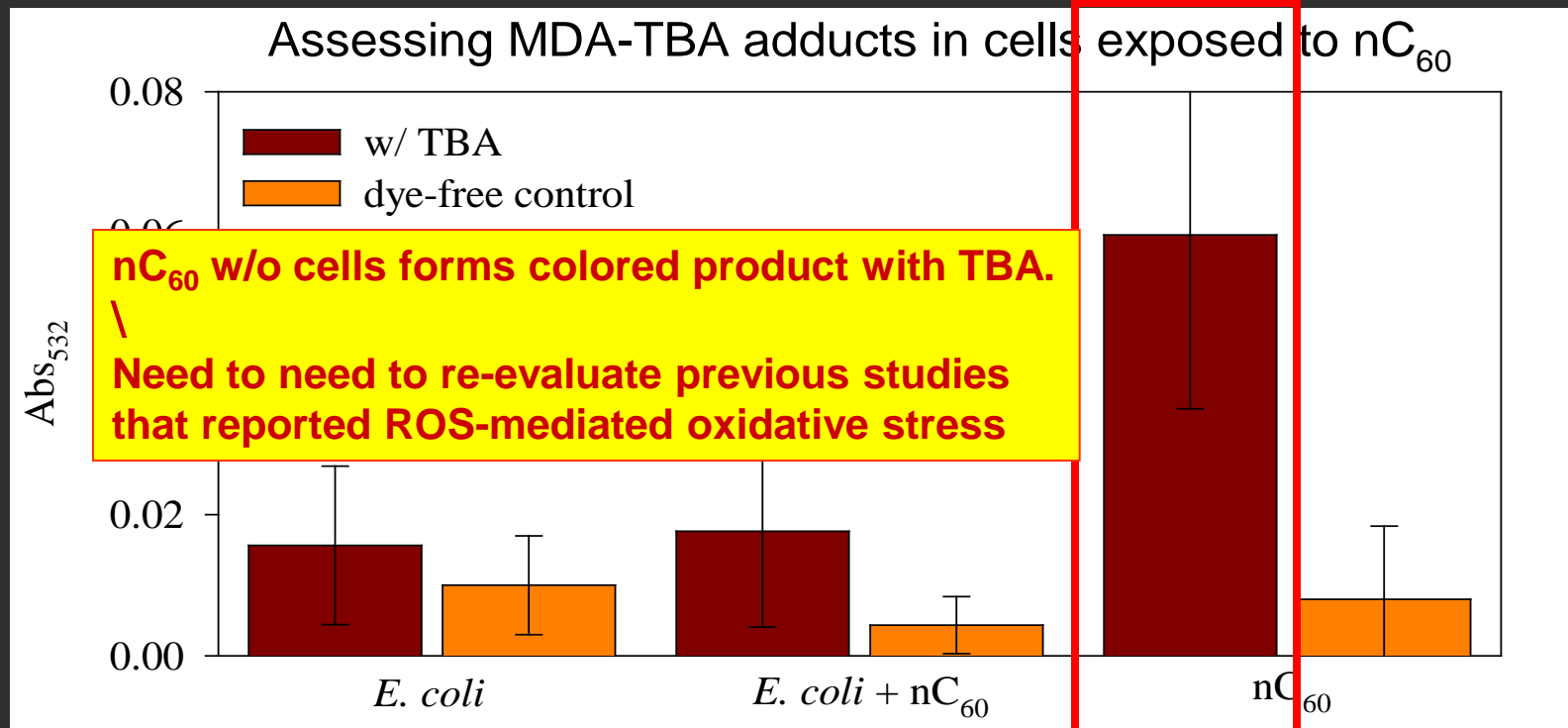
Synergistic Inactivation of Lambda virus with nano-Ag and UV illumination



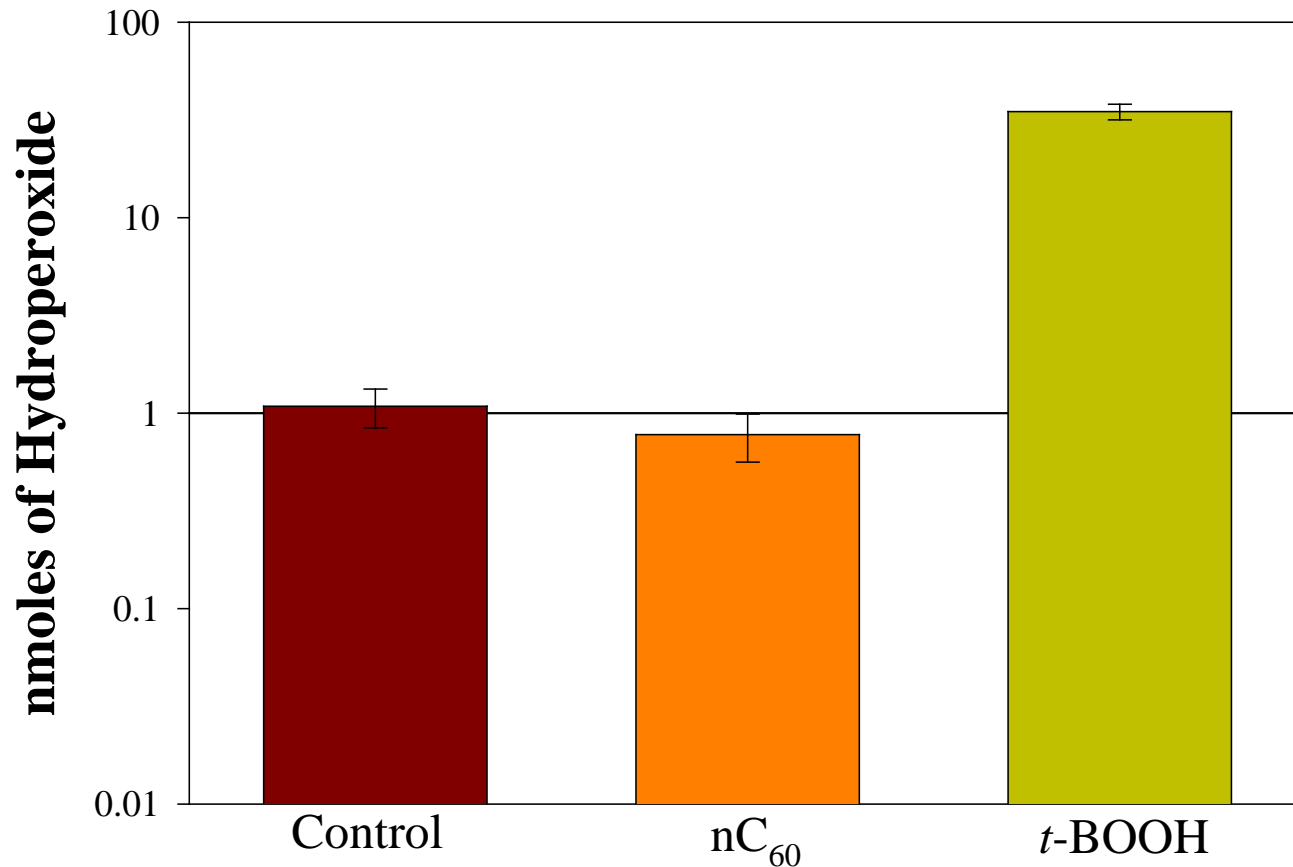
nAg mounted on nano-magnetite, 0.5 ppm, 1-h under UV illumination of 24.1 mW/m²

Looking for lipid peroxidation as evidence of ROS damage

- Hallmark of lipid peroxidation is malonedialdehyde (MDA)
- MDA forms colored adducts with thiobarbituric acid (TBA)



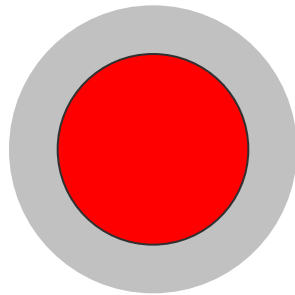
No Lipid Peroxidation



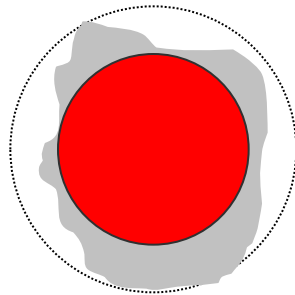
- Chromogenic assay based on hydroperoxide reaction with ferrous ions to make ferric ions
- no lipid peroxidation in nC₆₀-exposed samples (P=0.15)
- *Tert*-butylhydroperoxide is positive control

Quantum Dot Weathering

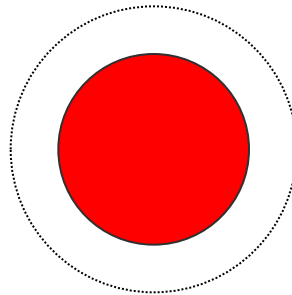
Hypothesis: Toxicity of quantum dots is primarily due to free metal, and environmental weathering of the coating will increase their toxicity to cells



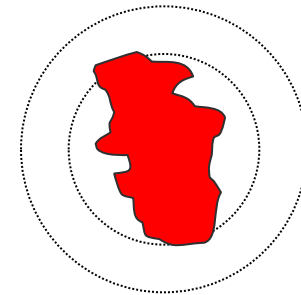
Capped QD



Degraded Coating



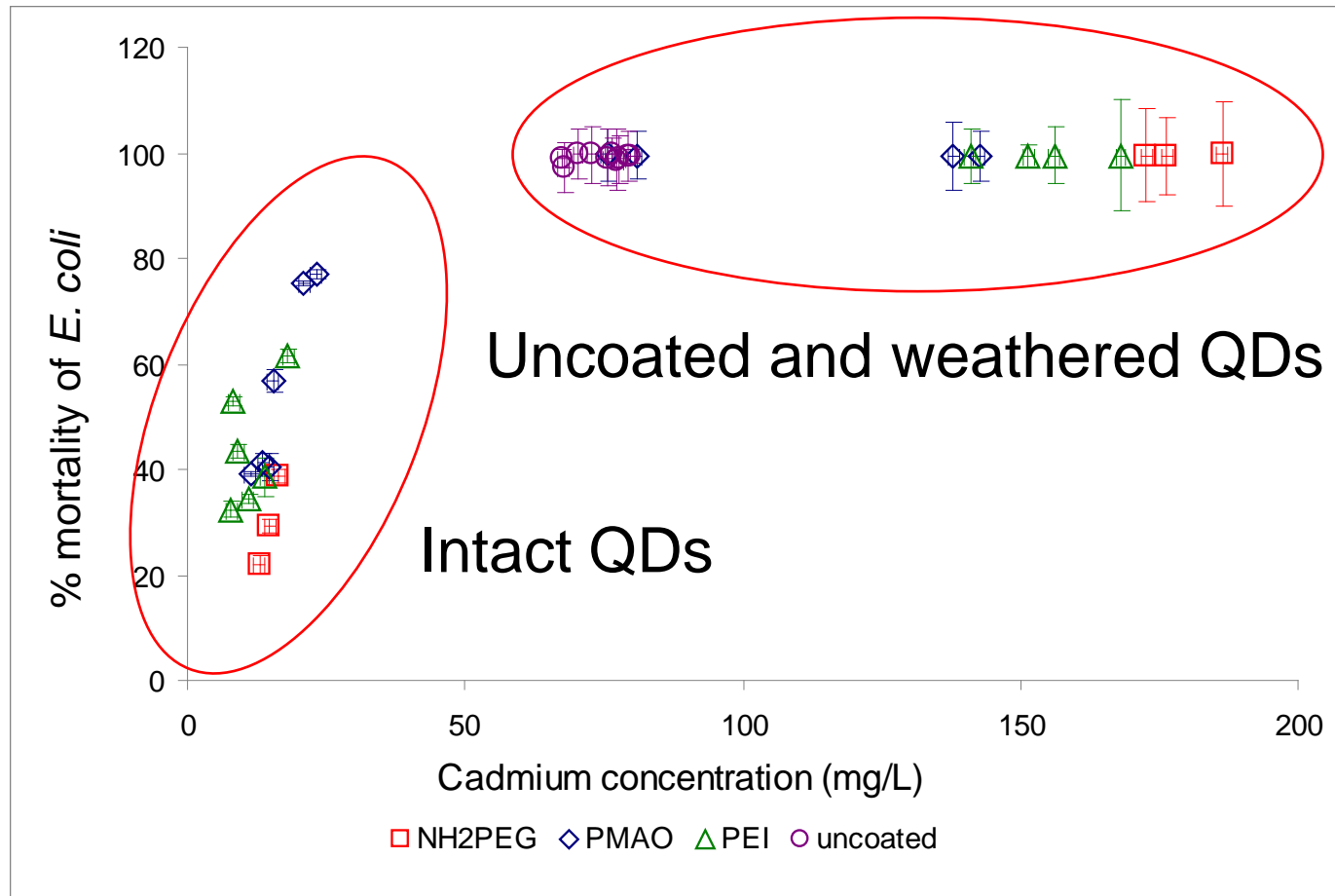
Exposed Core



Degraded Core

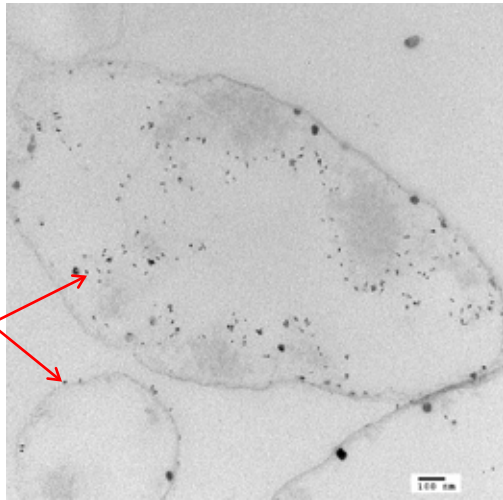


Cd from QDs is Toxic to Bacteria

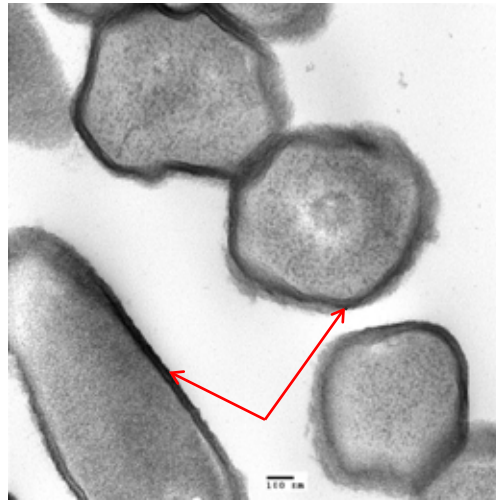


E. coli Takes Up Intact (Coated, Biocompatible) QDs

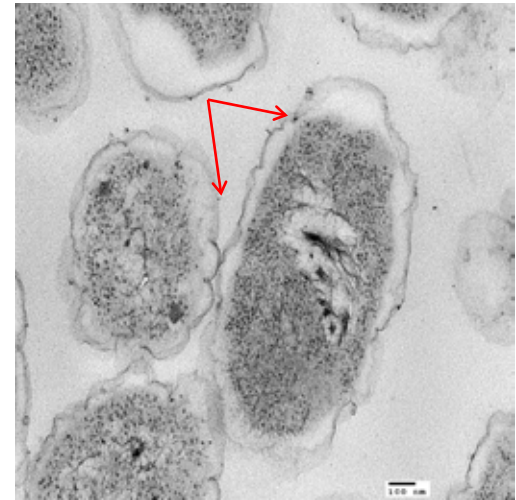
E. coli (G-)



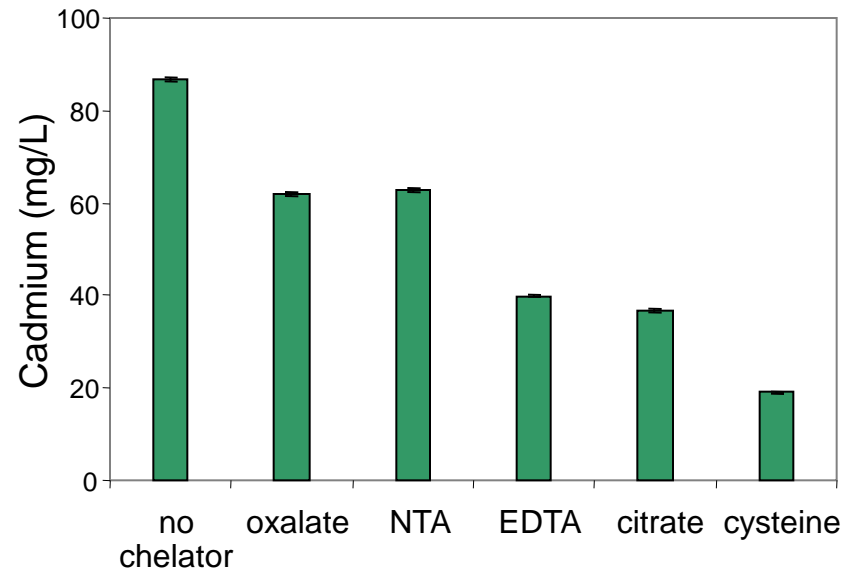
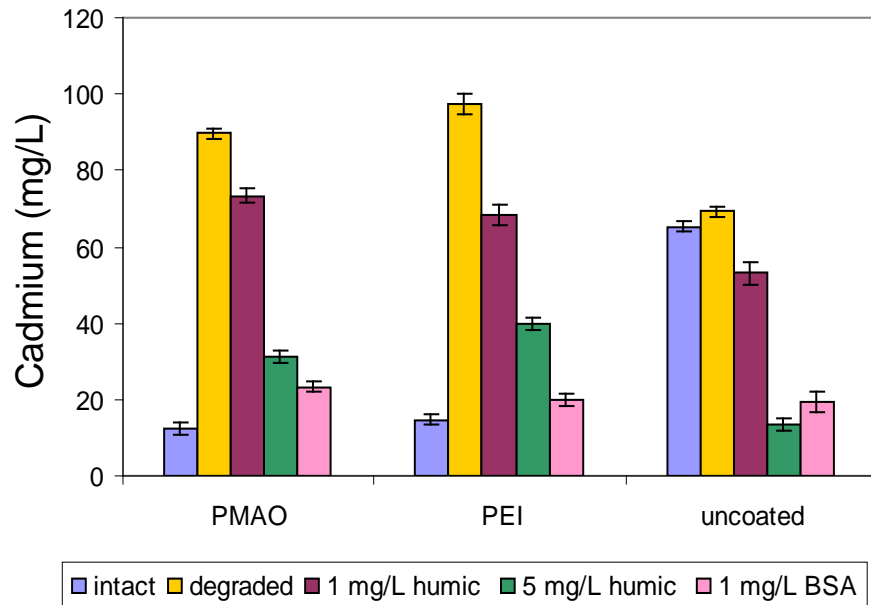
B. subtilis (G+)



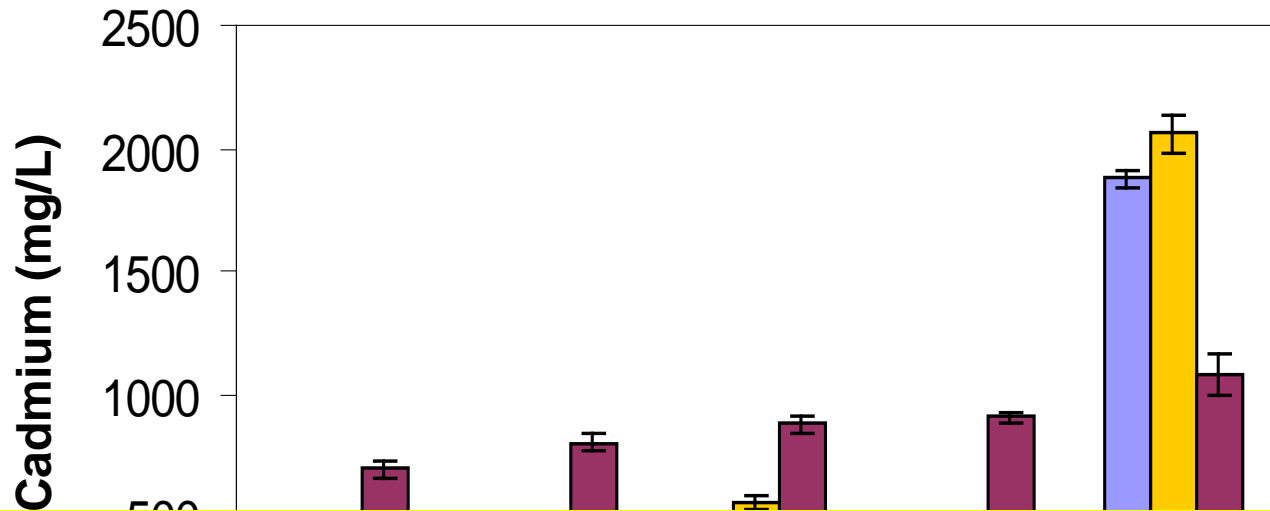
P. aeruginosa



Humic Acids, Proteins and Chelators Mitigate Cd Release and Toxicity



Salinity Increases QD Aggregation and Cadmium Release



Counter-Intuitive Trend:

Processes that promote QD aggregation
(changes in pH, increased salinity)

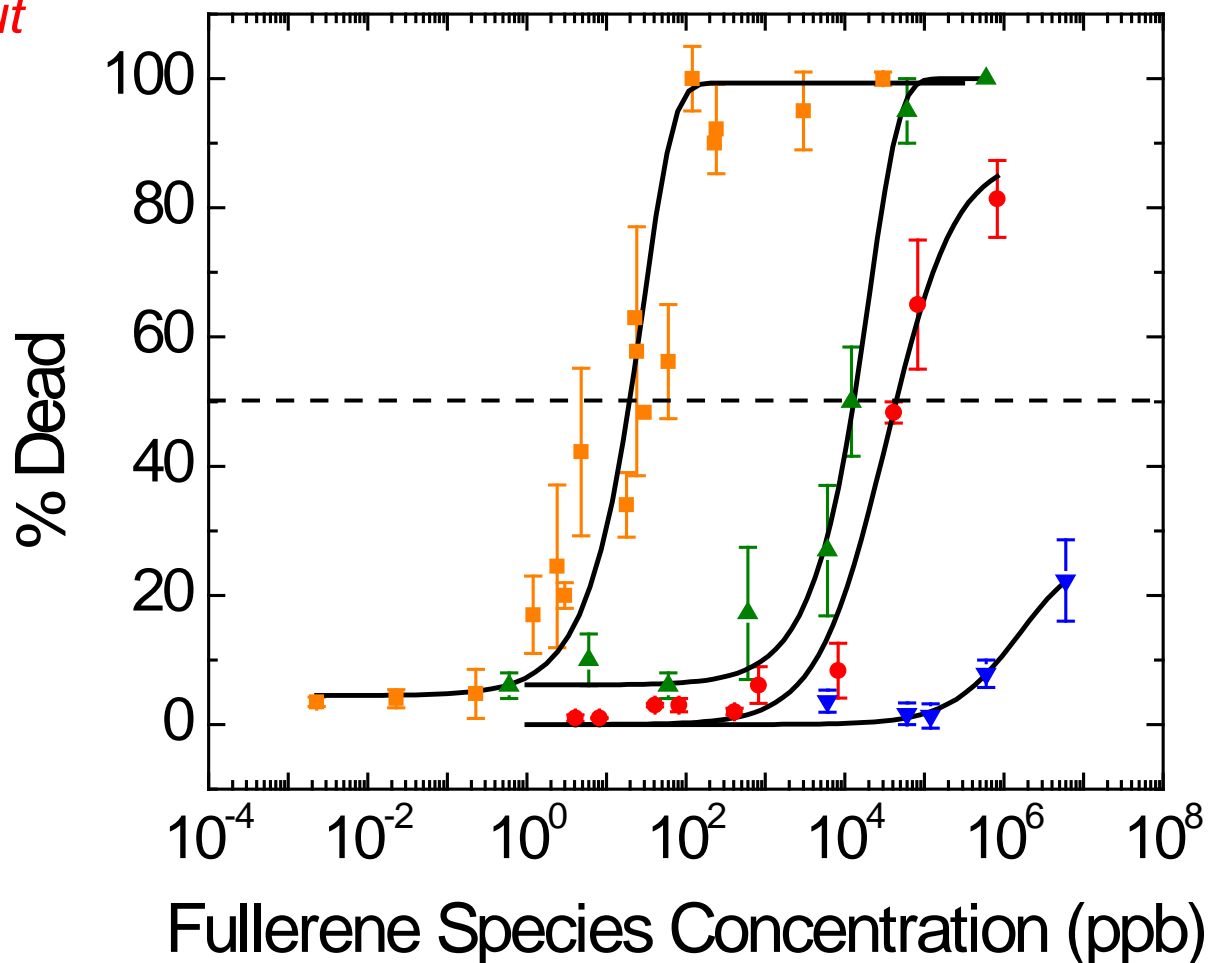
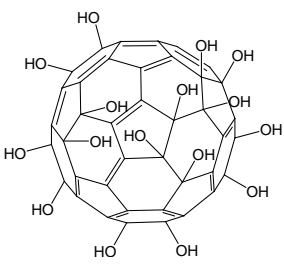
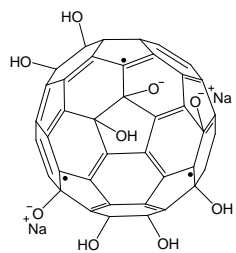
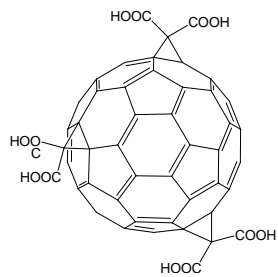
accelerated the degradation of QD coating,
resulting in increased metal release and toxicity.

Dose Response Curve for Fullerenes

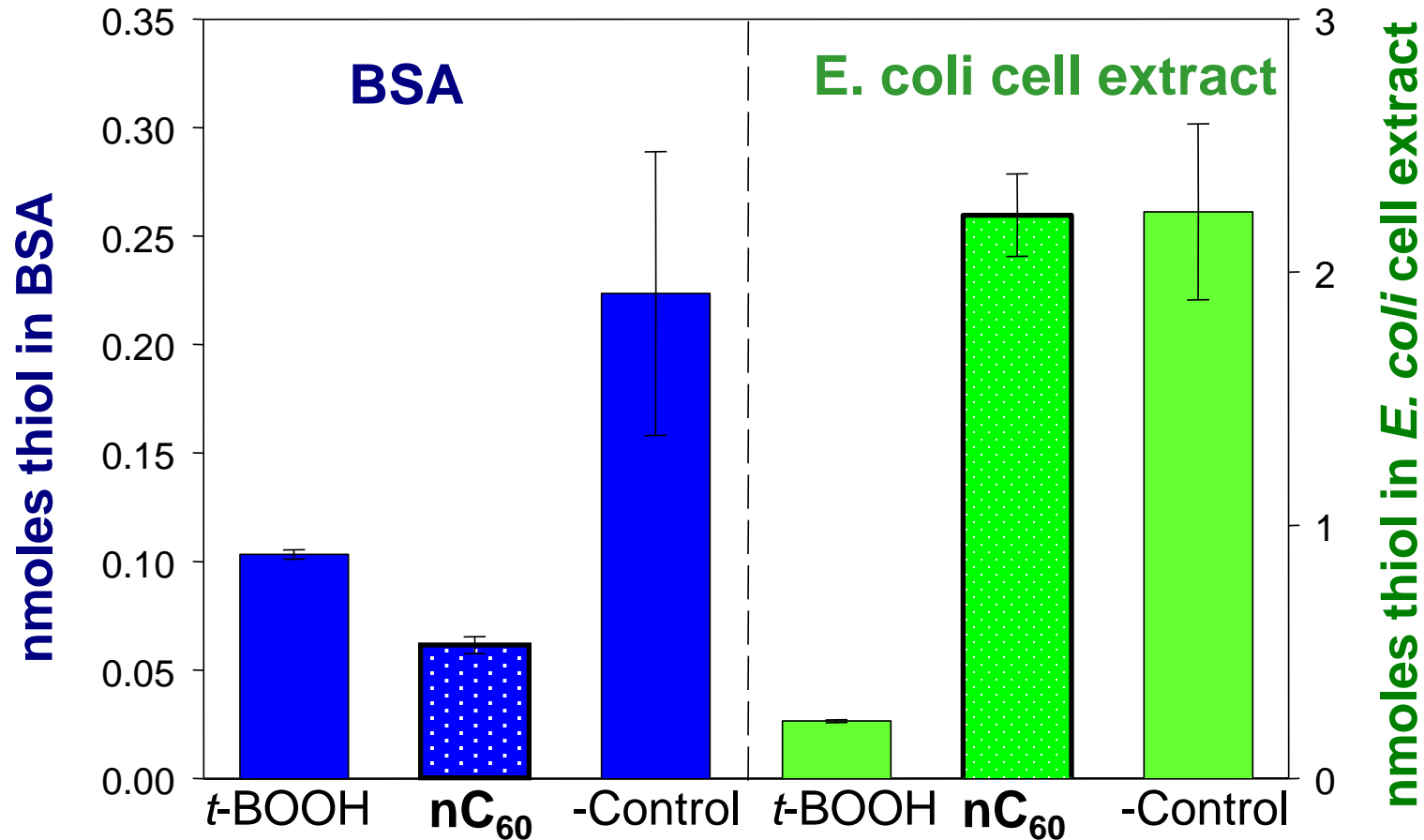
Colvin, West & co-workers, Rice University



*Less toxic but
more mobile*

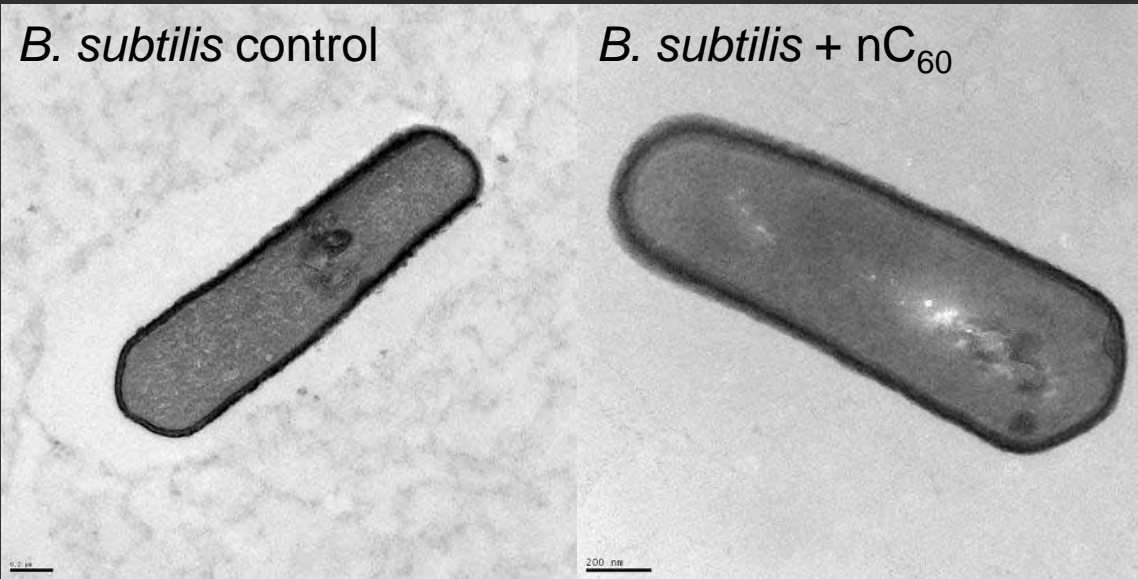


Protein Oxidation

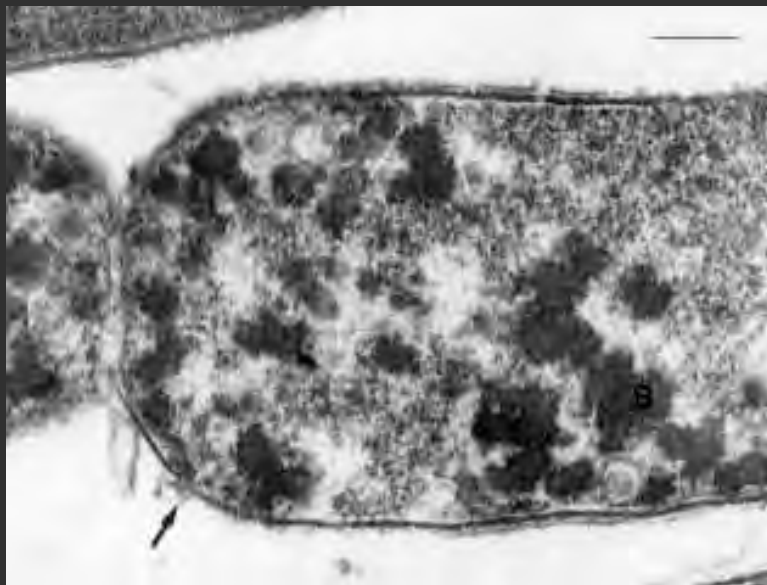


- Loss of thiol groups relative to control reflects oxidation
- *Tert*-butylhydroperoxide is positive (oxidizing) control
- No oxidation in cell extract (cytoplasmic proteins)
- Pure protein (bovine serum albumin) shows oxidation

TEM shows no membrane holes

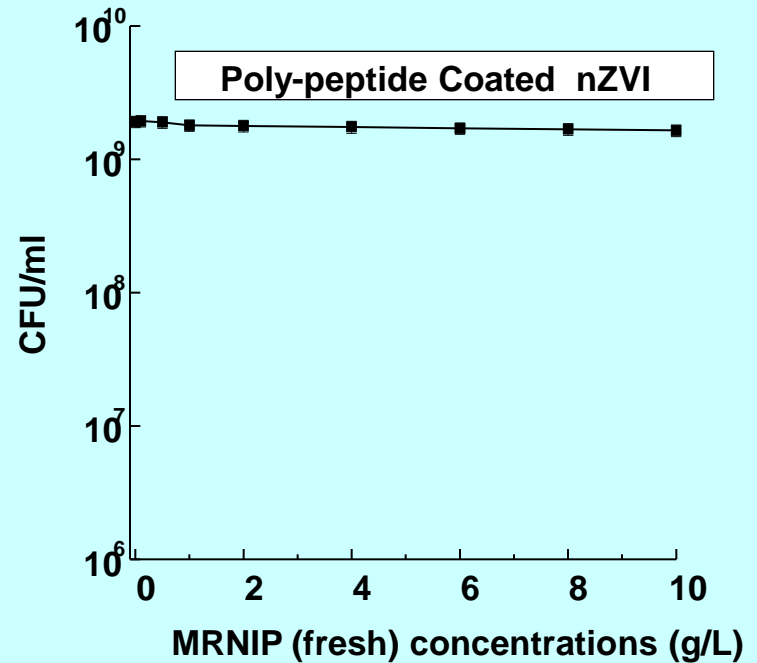
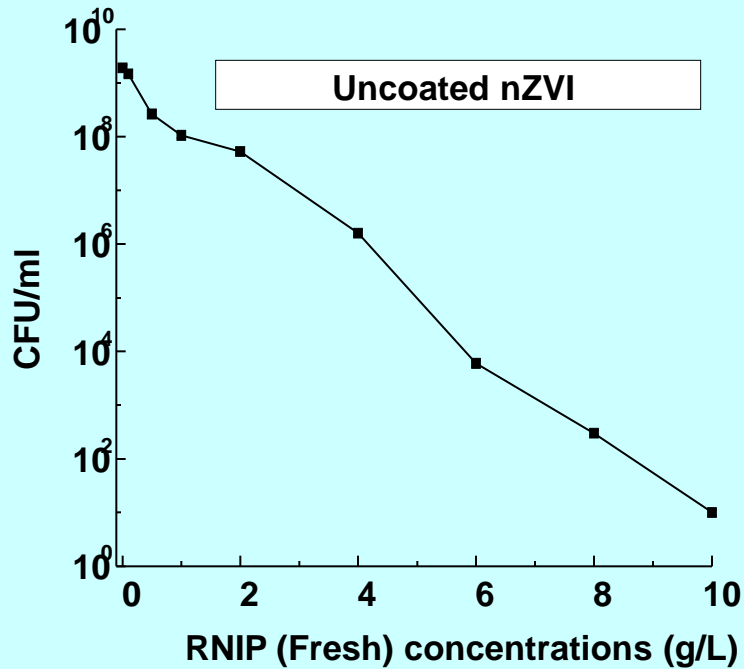


- Samples embedded in agarose and thinly sliced



Bacillus stearothermophilus cell treated with tamoxifen (10 μ /140 min). (from Luxo, C., et al. 2003, *Toxicol in Vitro* **17**: 623–628)

Dose response of *E. coli* exposed to nZVI





NMs to Improve Disinfection & Biofouling Control



Water-borne infectious diseases are the leading cause of death: 4 billion cases of diarrhea kill 2.2 million children/year

Biofouling = health hazard
+ corrosion + taste & odor
+ energy (head) loss



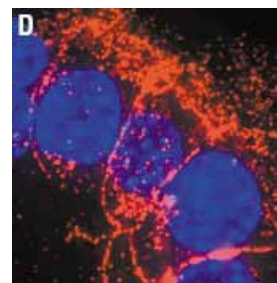
- Existing disinfectants (Cl_2 , O_3) have serious shortcomings (carcinogenic DBPs, fast decay, cannot eradicate biofilms)
- Nanomaterials with large surface to volume ratio, enhanced reactivity, and multifunctionality offer great opportunities for innovation in microbial control

Quantum Dots

- Fluorescent nanoparticles containing heavy metals
- Surface coatings increase solubility and bioavailability.

Biomedical Applications:

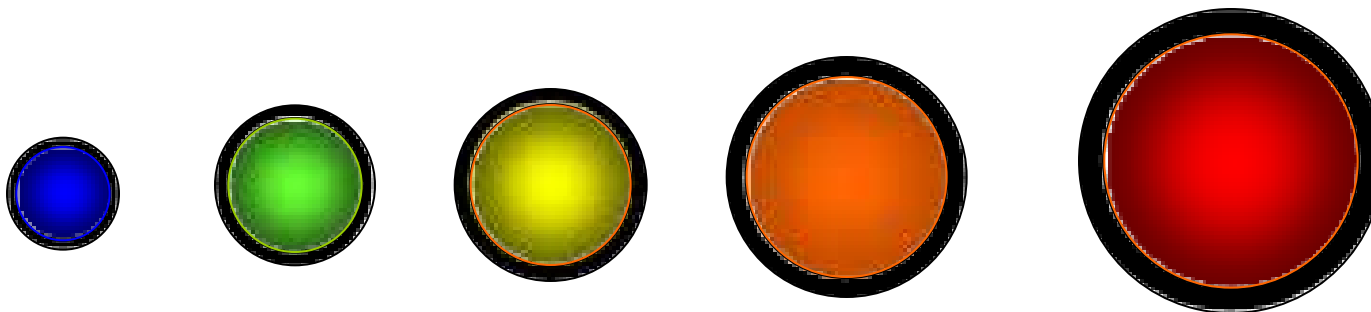
in-vivo imaging, immunoassays,
targeted gene and drug delivery



Wu et al., 2003



Electronics: solar cells, flat panel
LED displays, solid state lighting



Research Objectives

1. Characterize Weathering

To describe the kinetics of heavy metal release from quantum dots of variable composition and surface coating under realistic environmental conditions

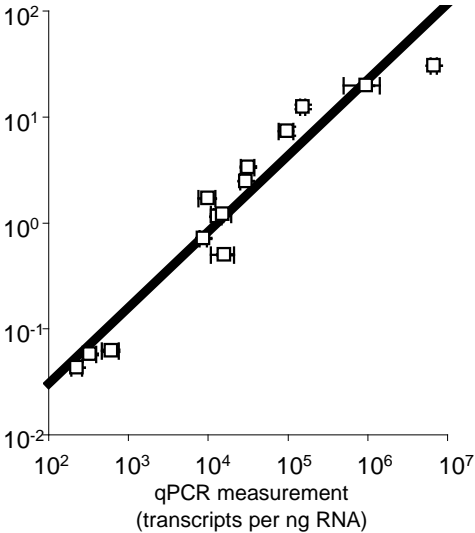
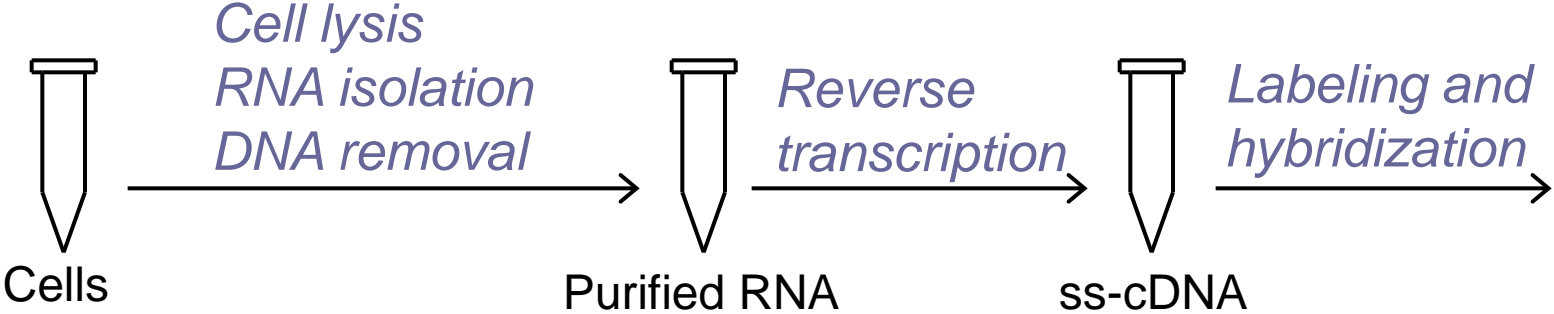
2. Interaction with Cells

To establish the concept of 'equivalent dose' for quantum dots by exposing laboratory bacteria to quantum dots and evaluating their effects relative to the better characterized heavy metal salts.

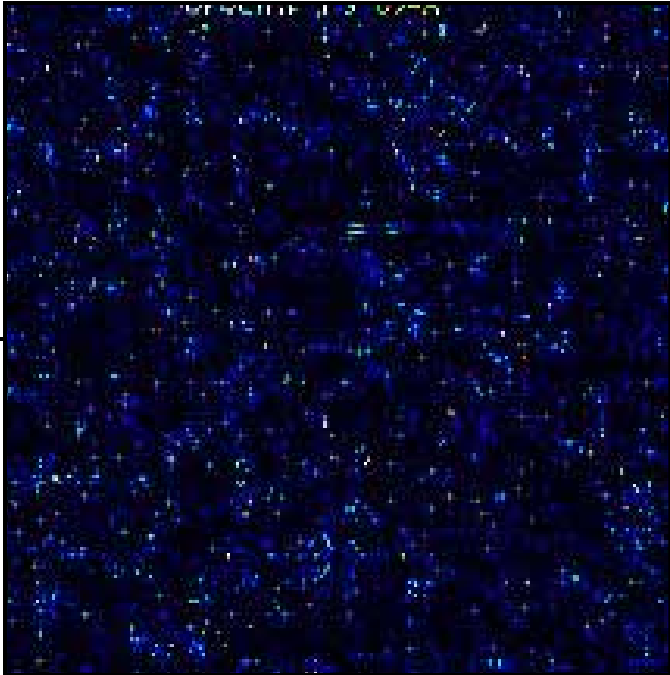
3. Impact on Microbial Communities

To describe the effects of quantum dots and their weathering products microbial community composition and function, specifically, antibiotic resistance, and global biogeochemical cycles.

Future Work



Data Analysis

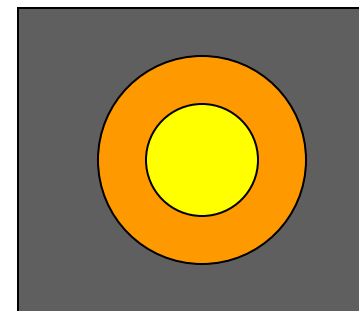
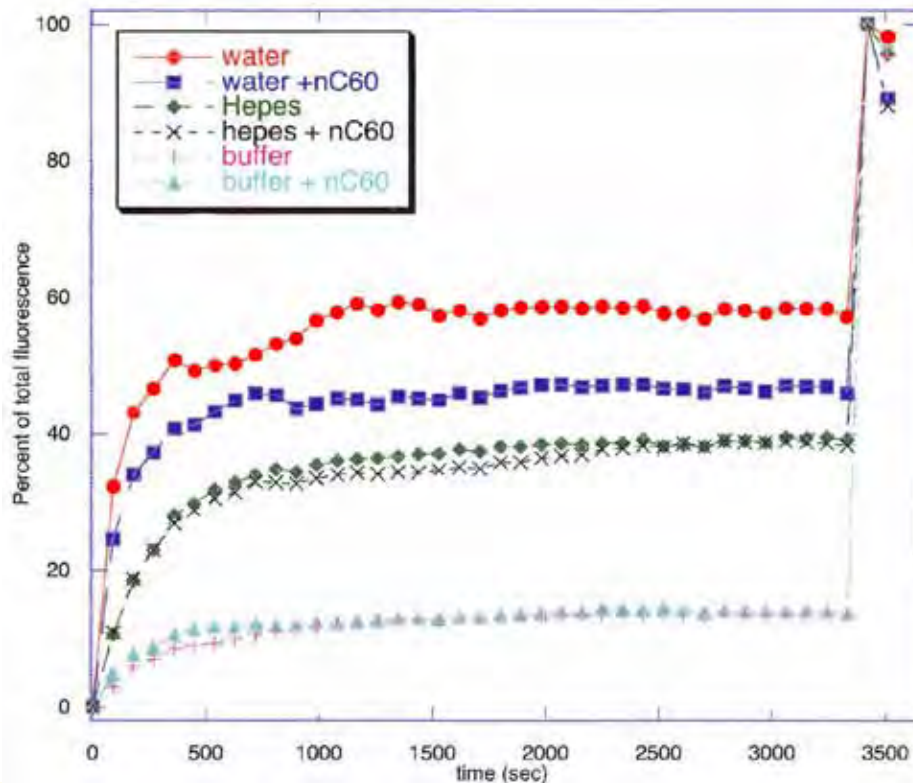


Scanning



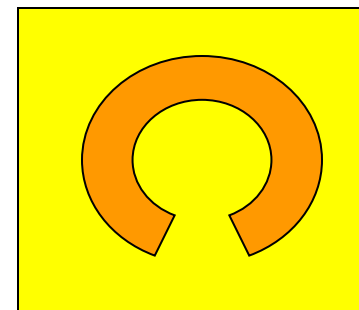
1. Does nC_{60} Punctures Membranes?

- Fluorescent liposome assay (phosphatidyl choline) for *rapid physical disruption*



Artificial vesicles (phosphatidylcholine)

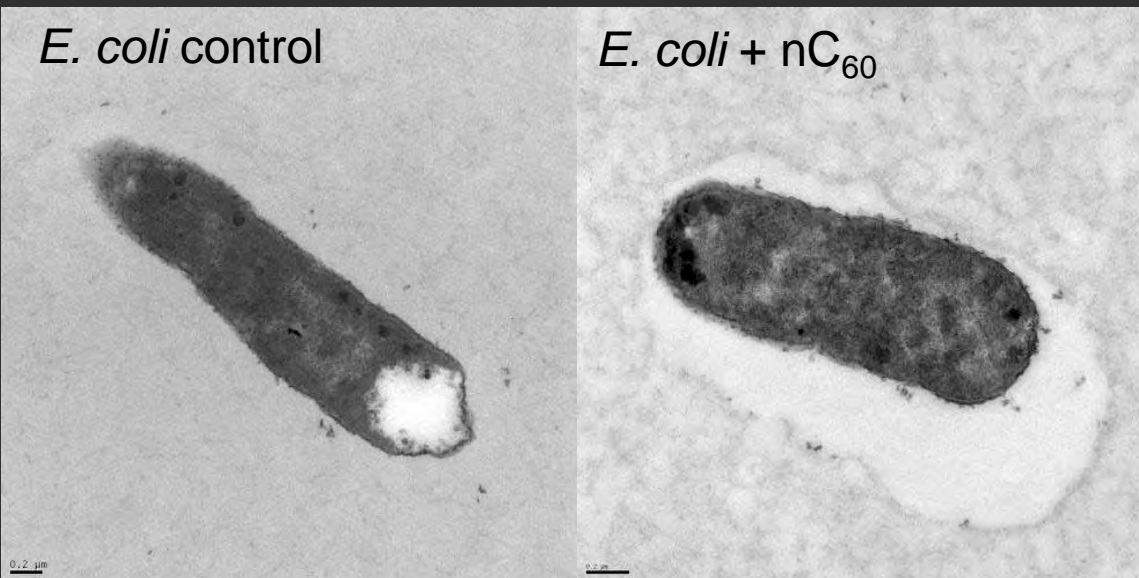
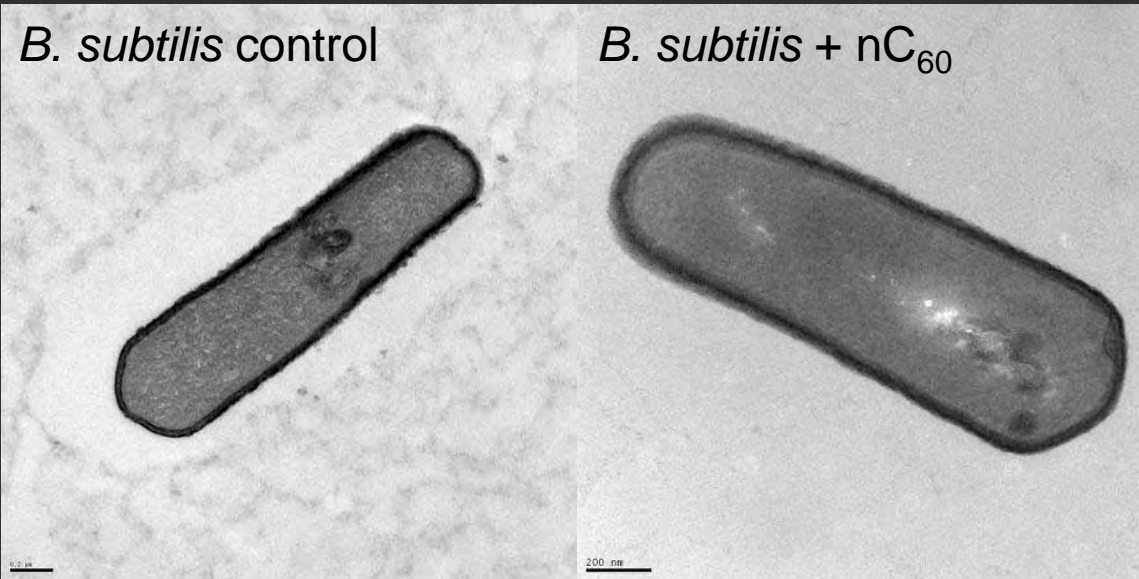
+ agent



Lysis increases media fluorescence

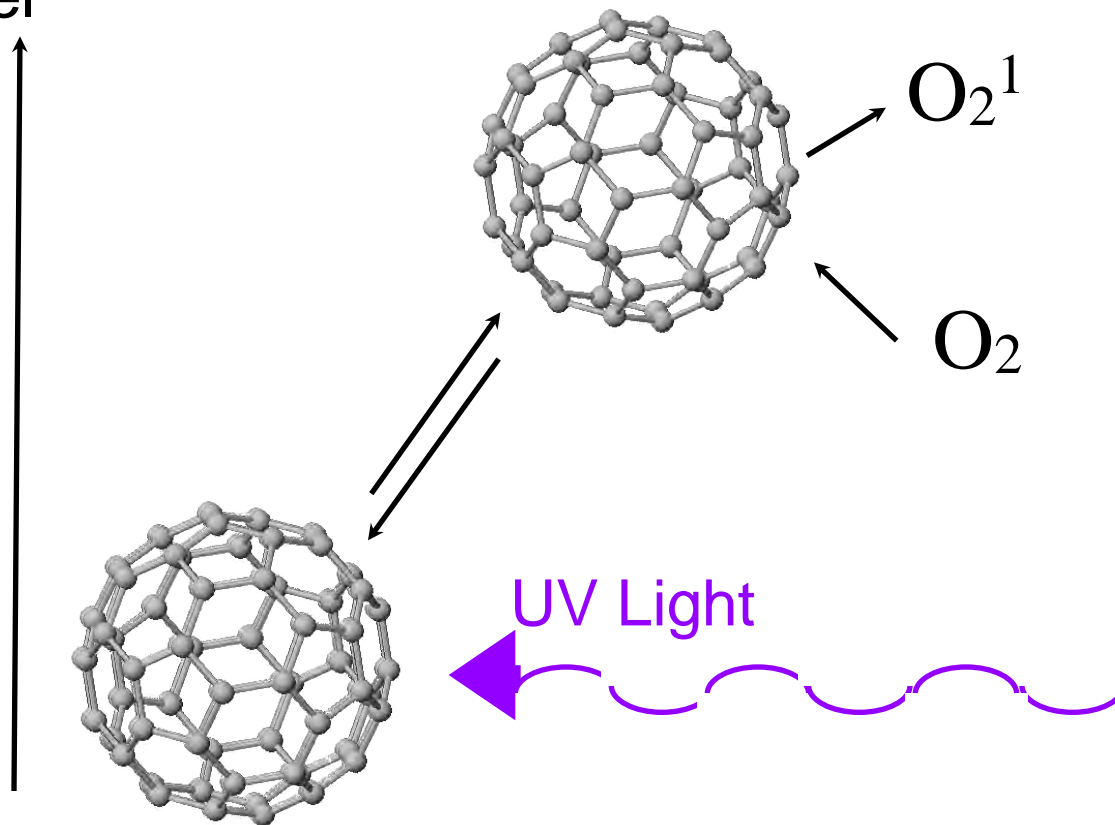
Vesicles + nC_{60} = **no lysis**

TEM shows no membrane holes



- Samples embedded in agarose and thinly sliced
- Could not differentiate nC₆₀ particles from background

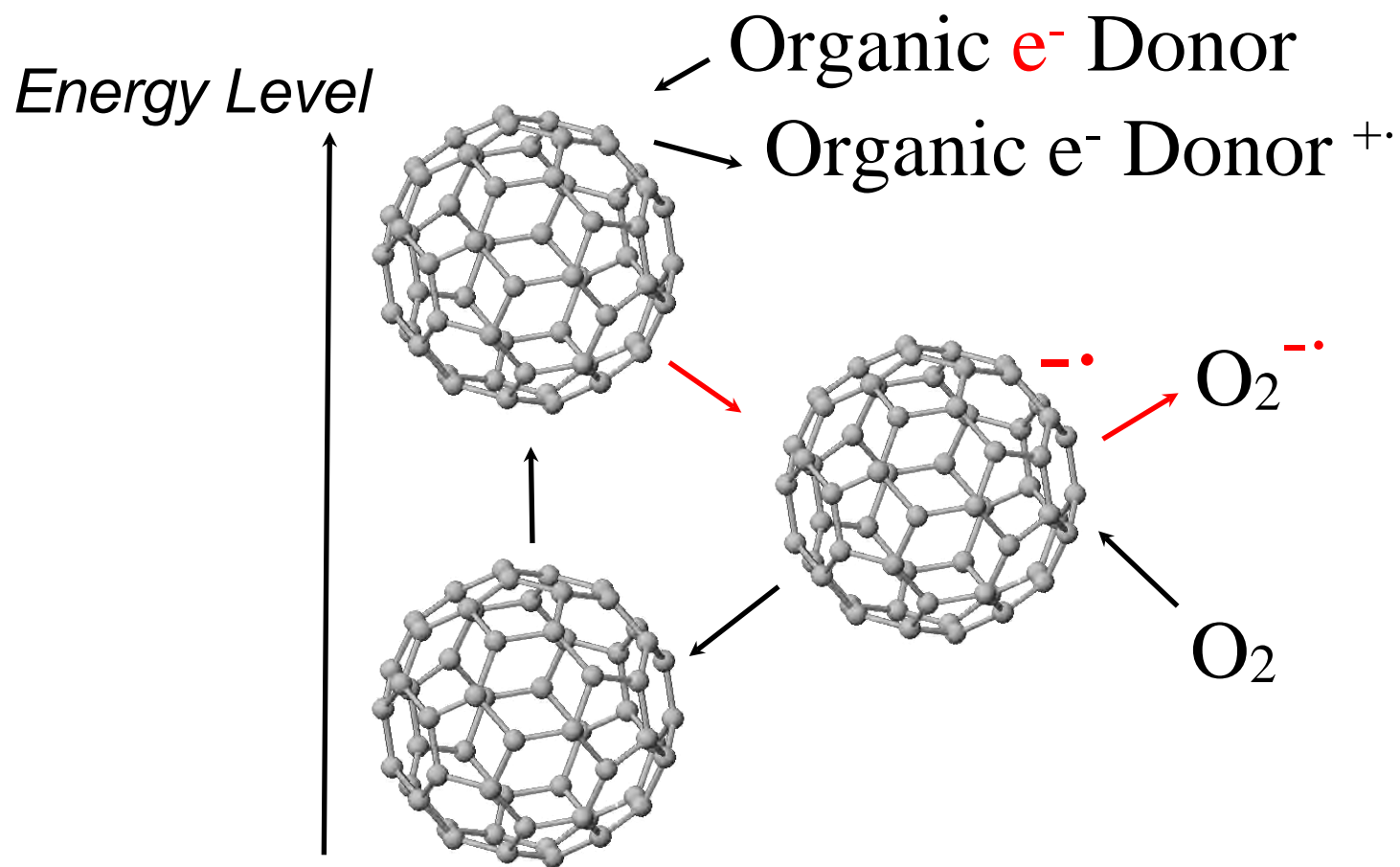
Energy Level



Type II Photosensitization :

deactivation without reaction

Produces singlet oxygen

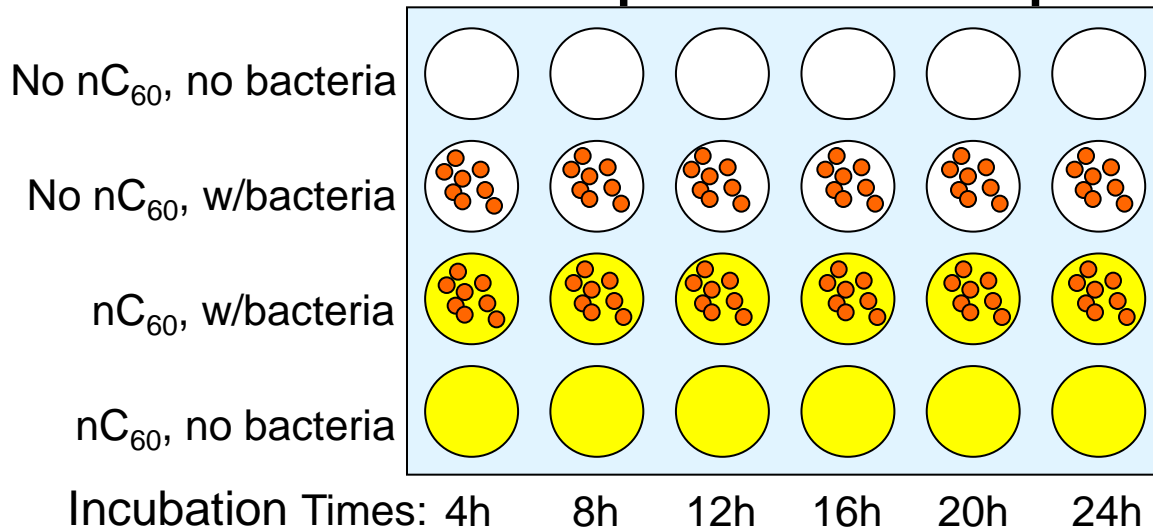


Hypothetical Type I mechanism:
electron transfer
Produces superoxide

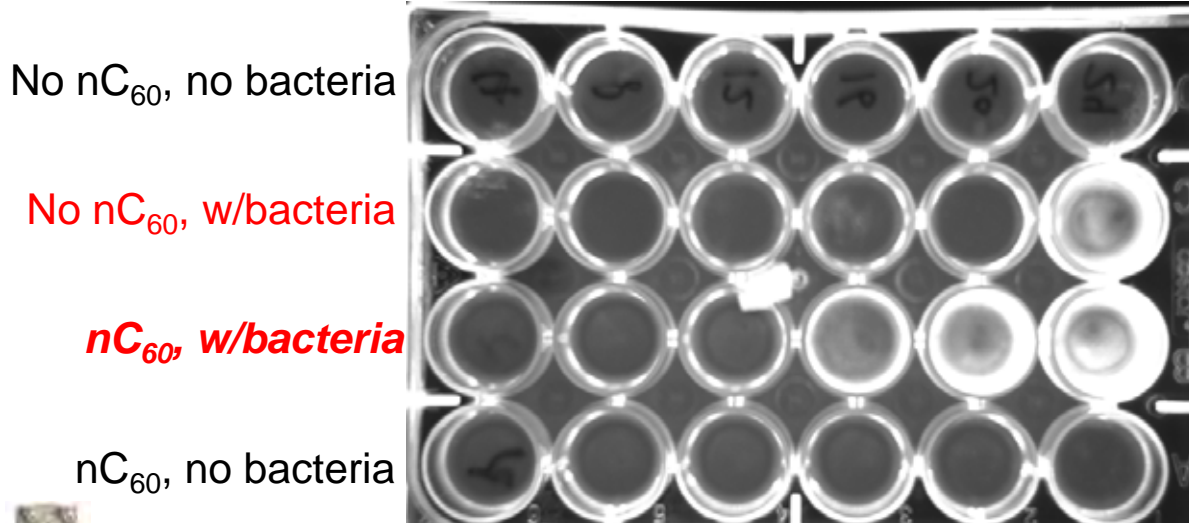


Further biofilm-inhibition tests

Experimental set-up

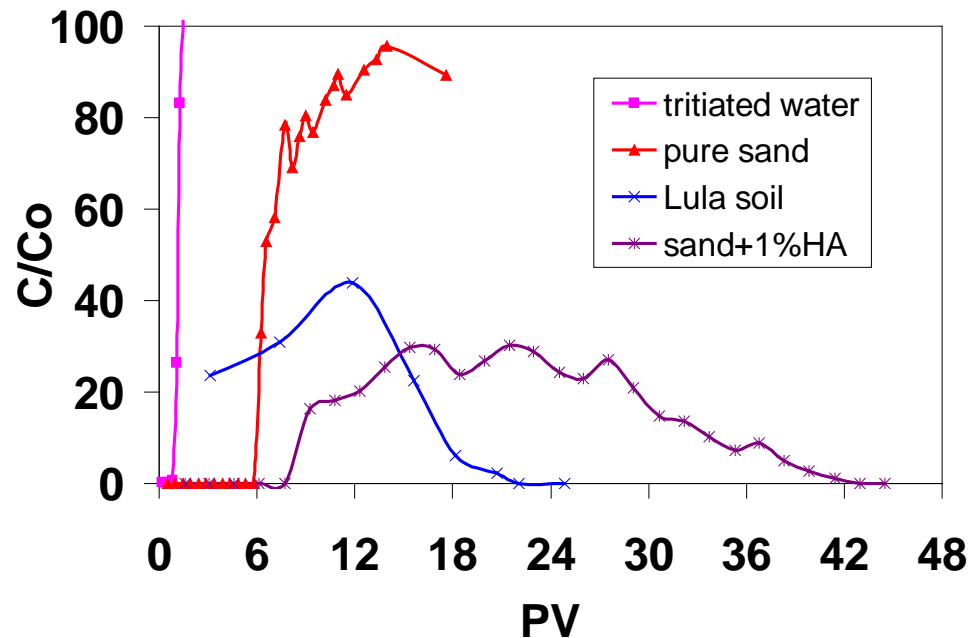
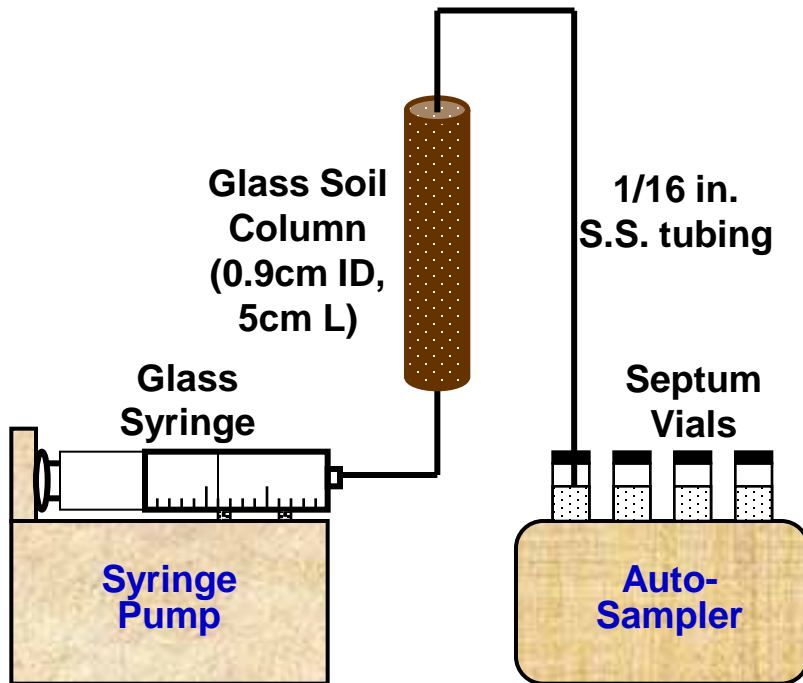


Grow *Pseudomonas mendocina* biofilm on plastic surface. Use ETBR fluorescence to assess biofilm formation



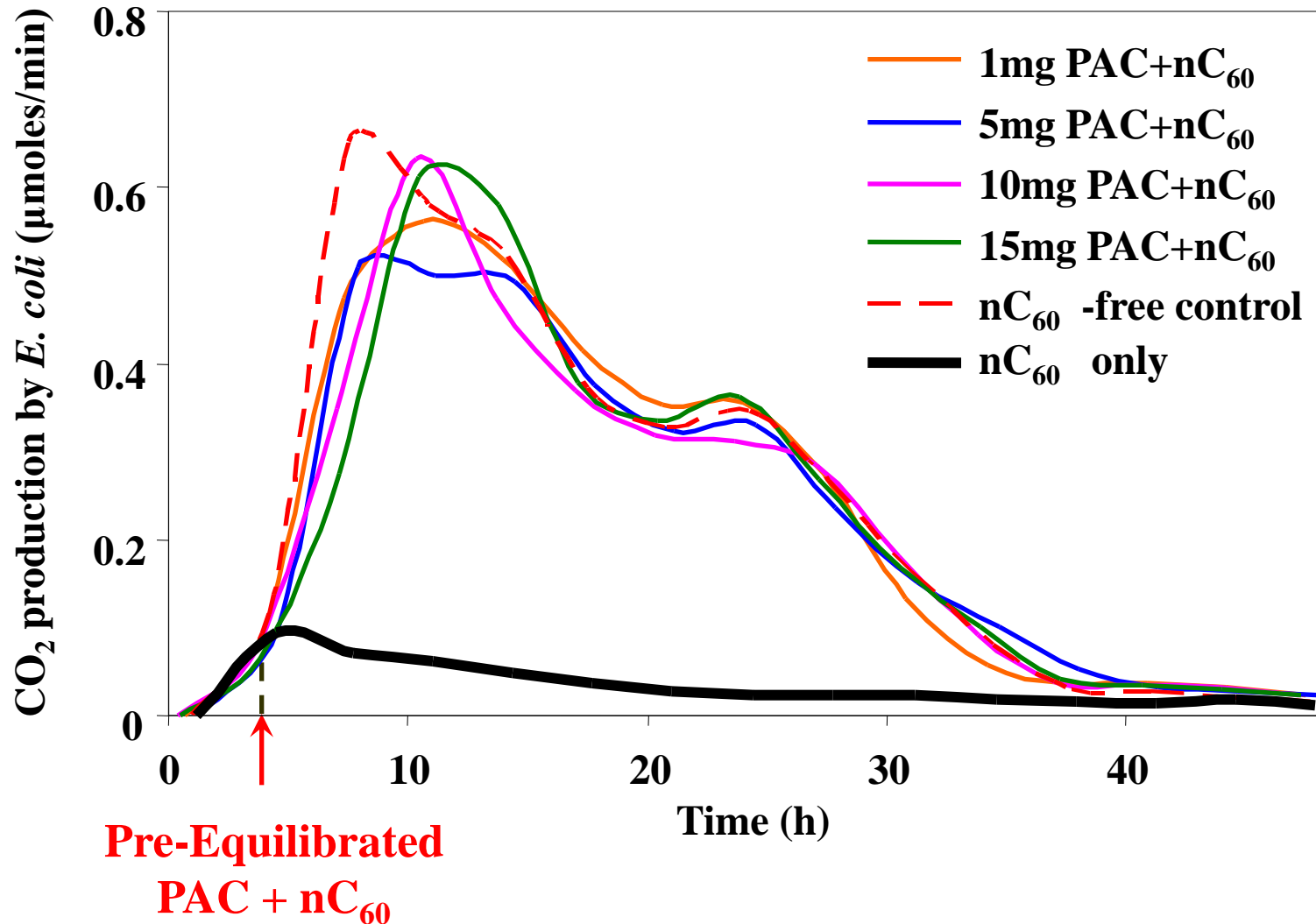
nC₆₀ did not retard biofilm formation; it may have even encouraged it.

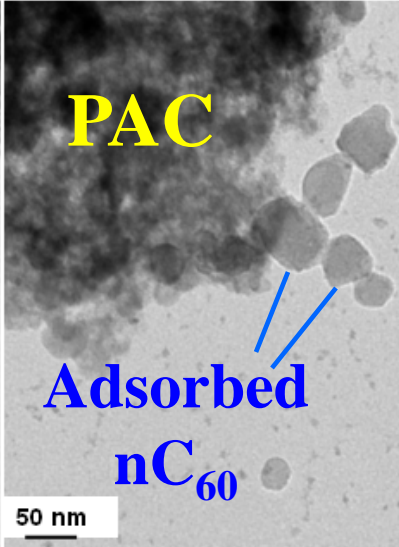
Filtration of nC_{60}



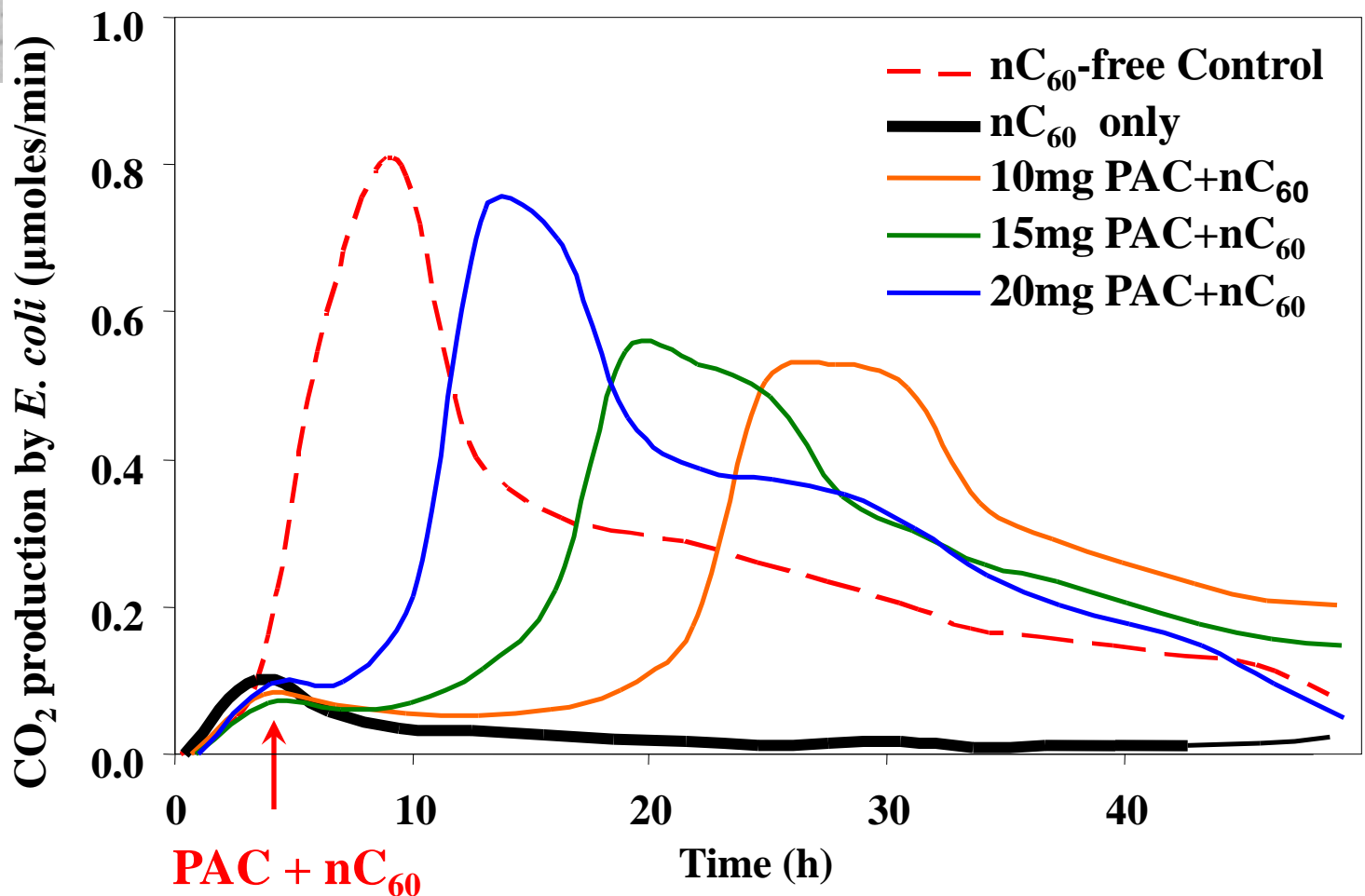
Transport of nC_{60} in soil shows limited but highly unusual mobility (filter ripening?)

Sorption kinetics effect not observed when PAC and nC₆₀ were equilibrated for 2 d prior to exposure





Sorption of nC_{60} onto PAC reduced its bioavailability and toxicity when added concurrently at the time of exposure (more PAC added = more attenuation).





Environmental Nanotechnology Vision

Use nanotechnology research to:

...Help clean up **past** environmental damage

...Correct **present** environmental problems

...Prevent **future** environmental impacts (no surprises)

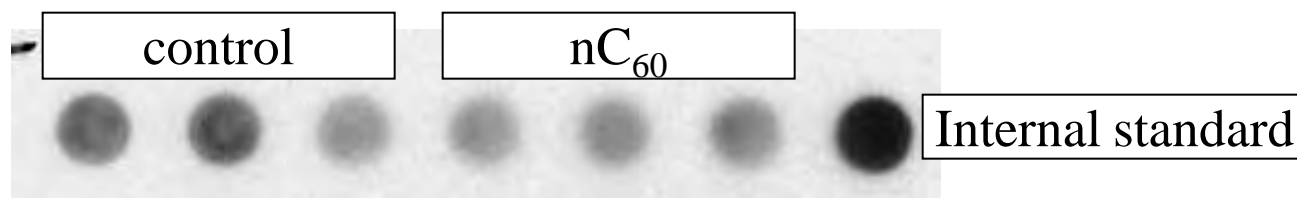
...Help **sustain** the planet for future generations



No Evidence of Oxidative Damage of Cytoplasmic Proteins



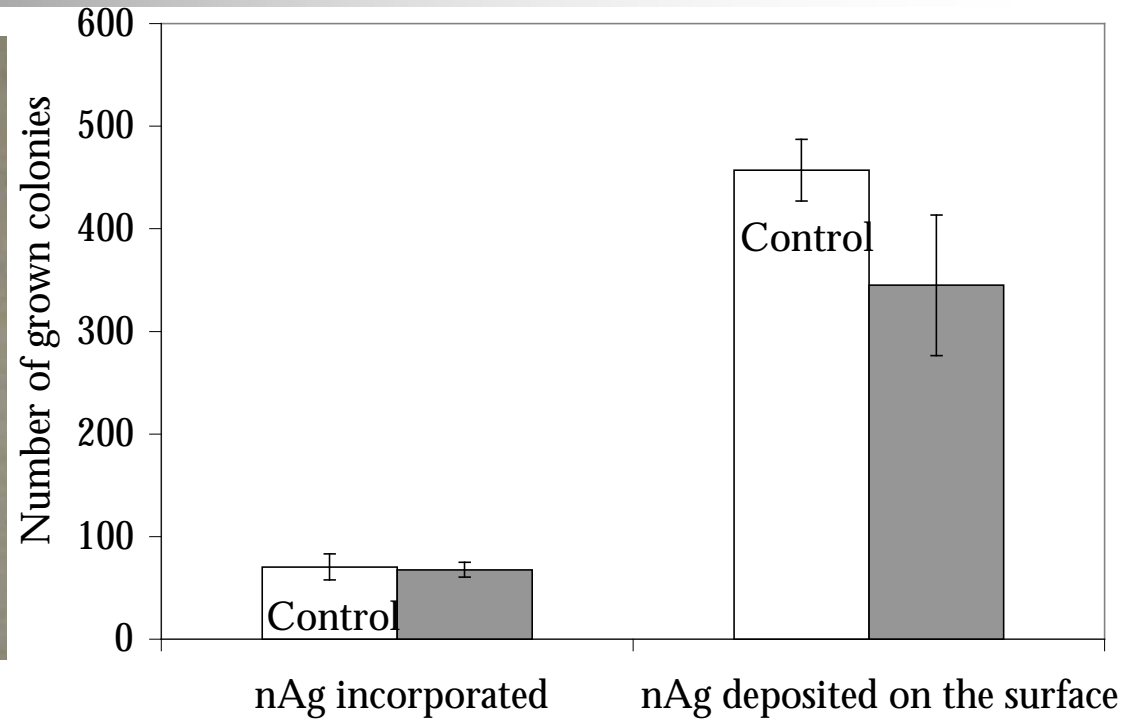
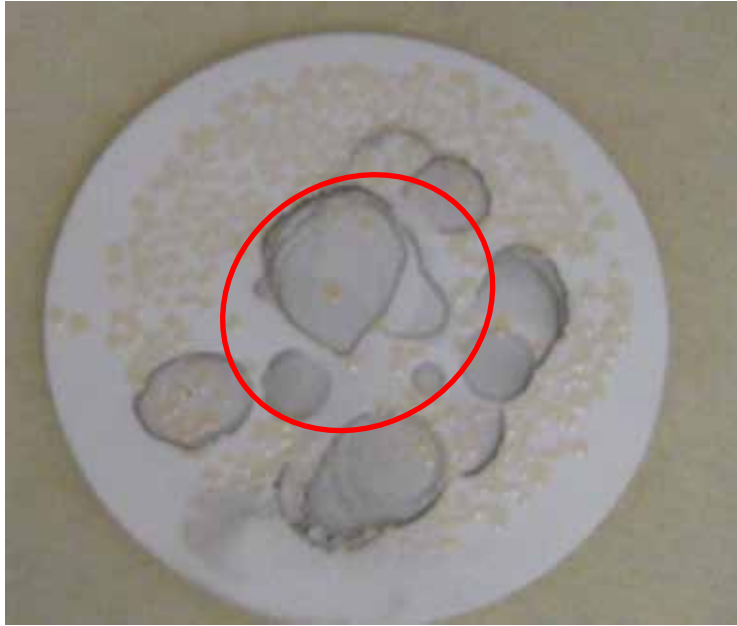
- n An immunoassay was used to detect carbonyl groups (evidence of oxidative damage) in cytoplasmic proteins.
- n nC₆₀ did not cause oxidative damage as compared to the control.



	control	nC ₆₀
Density of blot	1925 400	1319 92



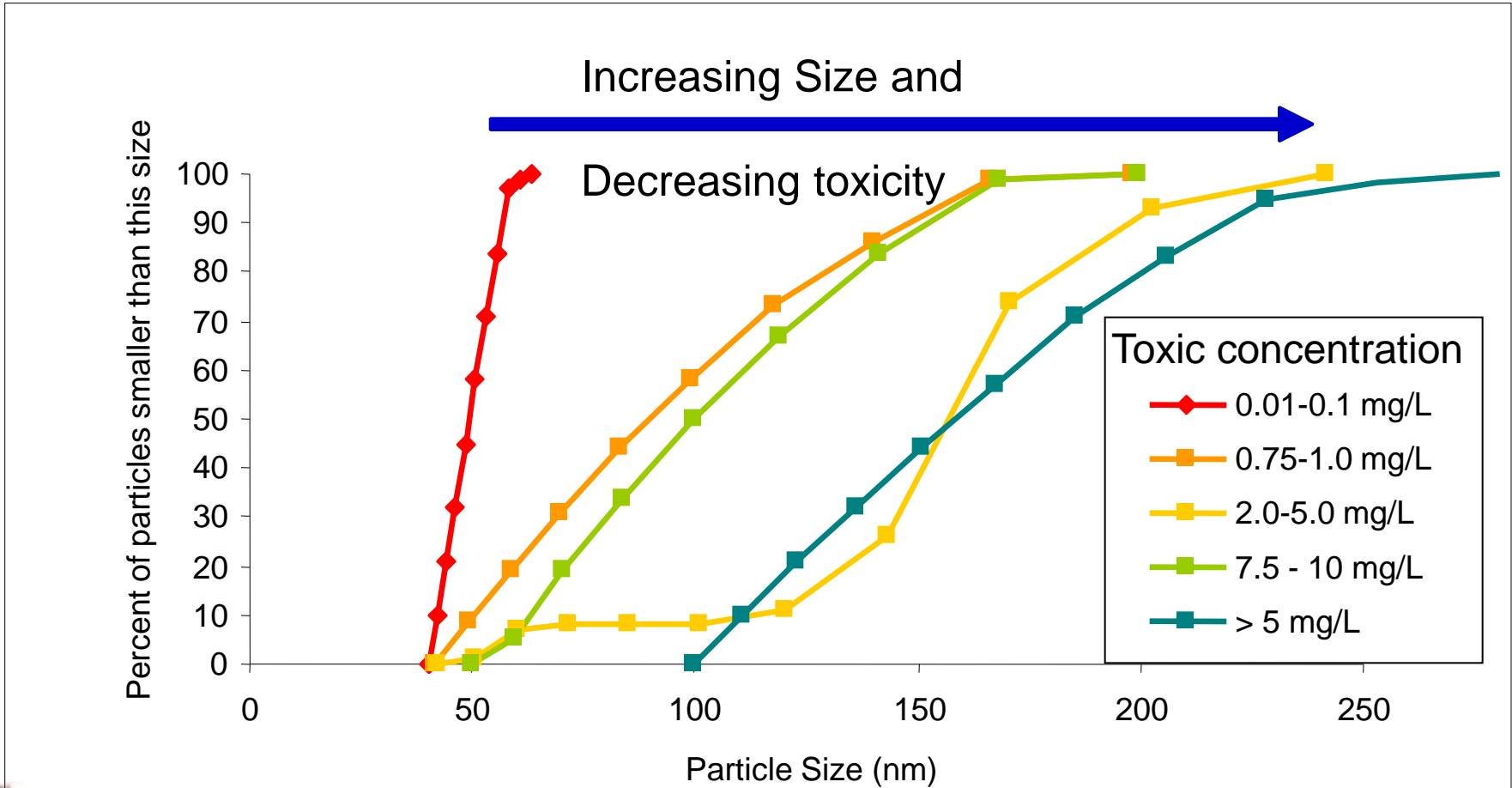
Nano-silver reduces membrane biofouling



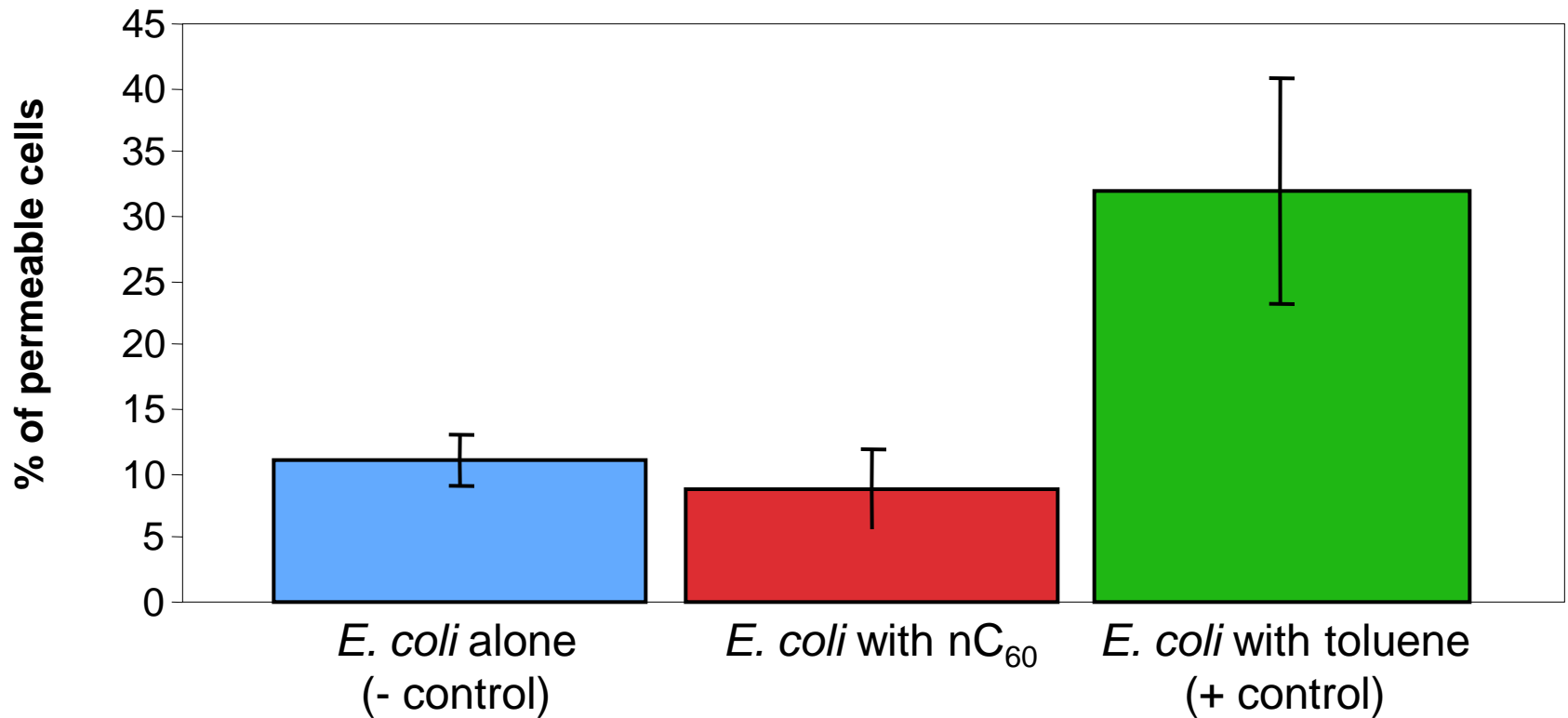
- n Membranes with nAg incorporated display almost no antimicrobial properties as nAg is not bioavailable
- n When nAg is directly deposited on the surface of the membrane, patches of no growth can be observed
- n Polymer coated with nAg (nAg bioavailable but anchored) could reduce biofouling



THF/nC₆₀ Particle Size Affects Toxicity



E. coli permeability using flow cytometry



- Dye (propidium iodide) uptake indicates permeability (the dye fluoresces when bound to the DNA within the cell)
- Toluene makes cells permeable, but nC₆₀ does not

Anti-fouling membranes: summary & future work

nAg and nC60 are antibacterial when inserted in polymeric membranes in sufficient quantities

But, need to improve incorporation protocol:

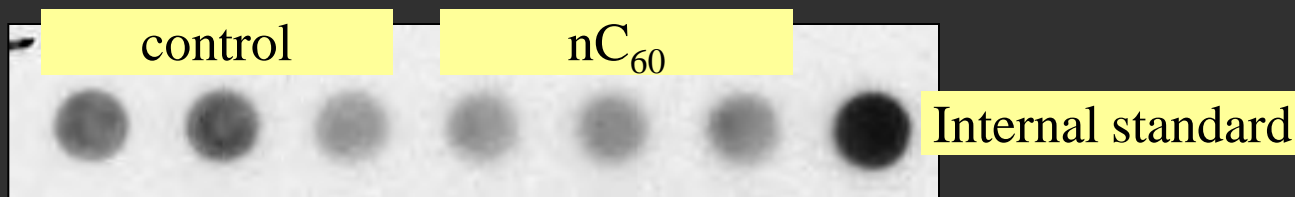
- To concentrate NPs in the (top) selective layer
- To anchor NPs and avoid leakage

Ongoing experiments :

- Assess proliferation of cells after adhesion
- Assess anti-fouling effect in dead-end filtration cell (decreasing flux assay)

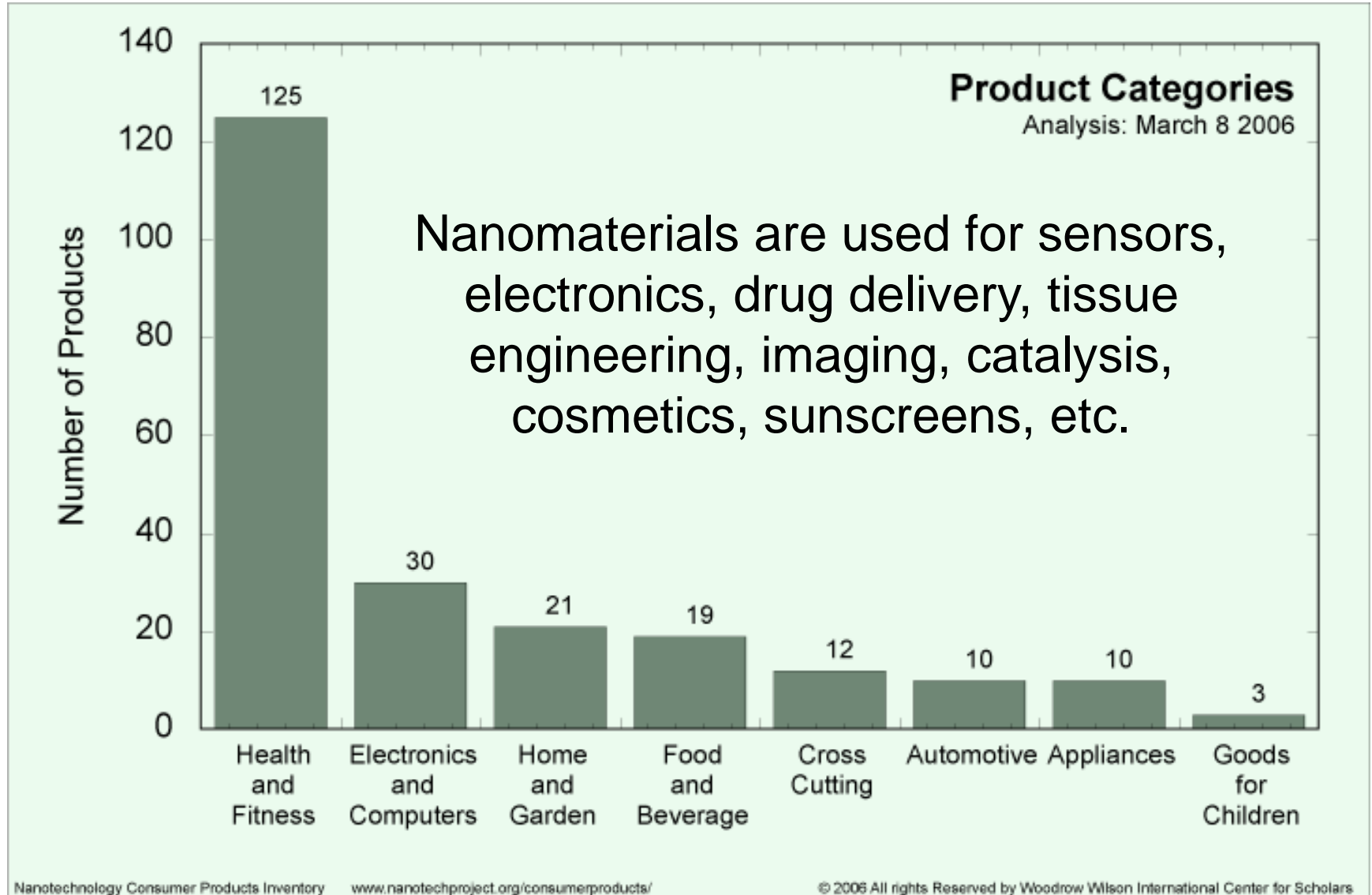
No Evidence of Oxidative Damage of Cytoplasmic Proteins

- An immunoassay was used to detect carbonyl groups (evidence of ROS damage) in cytoplasmic proteins.
- nC₆₀ did not cause oxidative damage as compared to the control.

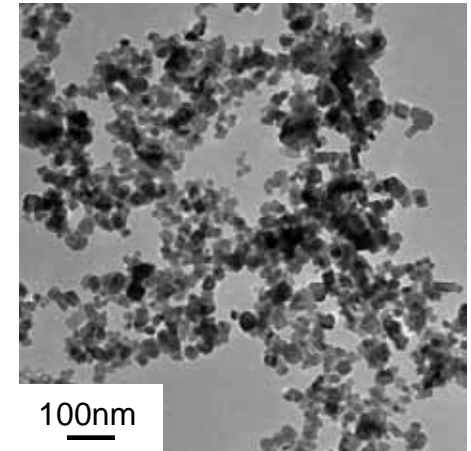
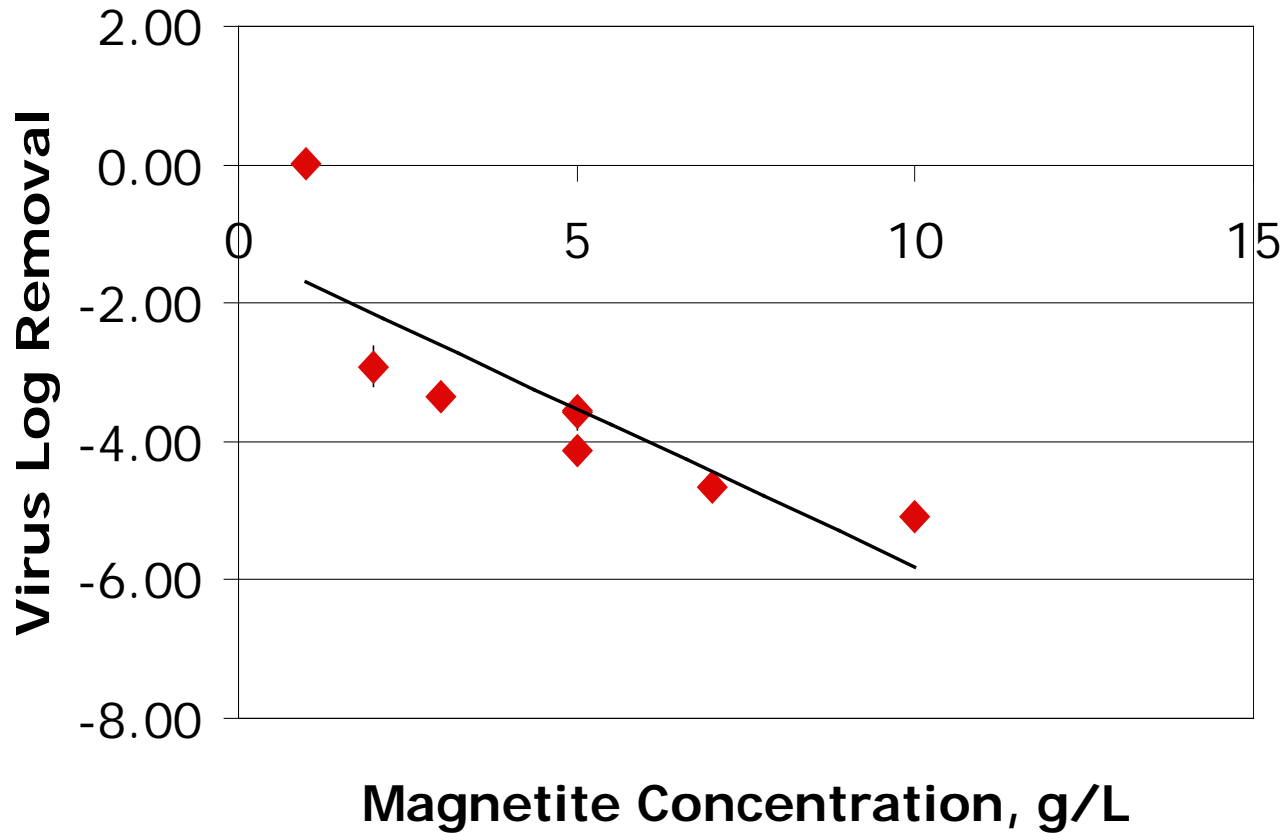


No conclusive evidence of ROS production or ROS-mediated damage

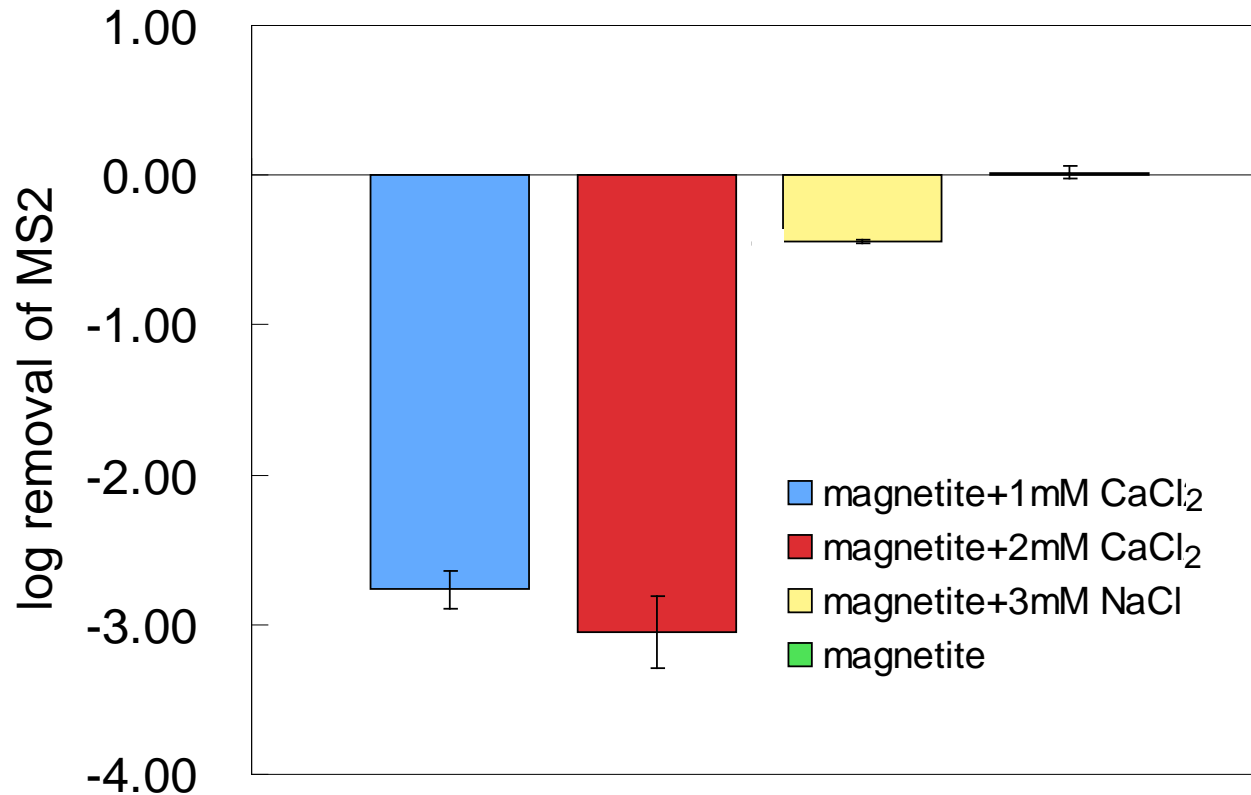
> 475 Products Use of Nanomaterials



Viral removal by magnetite nanoparticles at different concentrations after 1 hour of exposure.



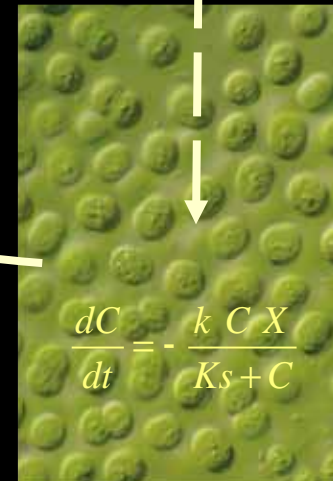
TEM image of magnetite nanoparticles.



Effect of Ca²⁺ and Na⁺ on the adsorption of MS2 by magnetite (1 g/L, 1 hour contact time).

Environmental Biotechnology and Bioremediation

Harnessing natural biogeochemical cycles for sustainability



$$\frac{dC}{dt} = \frac{k C X}{K_s + C}$$

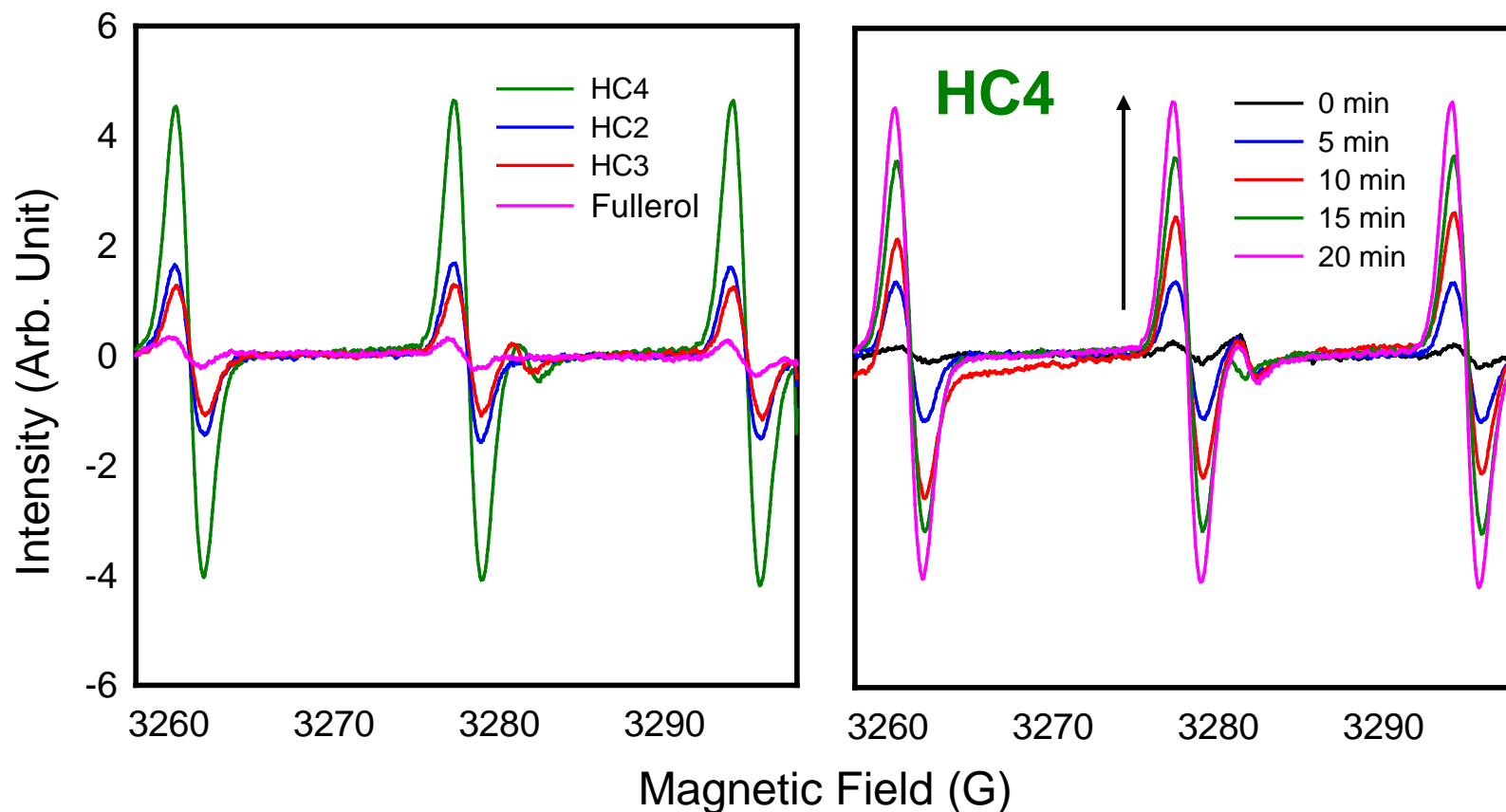
Selected Research Areas

- Water footprint of biofuels
- Contaminant hydrogeology: modeling substrate interactions BTEX-ethanol (plume dynamics);
- Phytoremediation and rhizoremediation;
- Biogeochemical interactions in permeable iron barriers;
- Fate and transport of antibiotic resistance vectors and amplification and attenuation of resistance reservoirs
- Biomarkers to support of bioremediation forensics and natural attenuation performance assessment.
- Bioaugmentation to degrade recalcitrant pollutants (TCE, DX)
- Medical bioremediation of age-related diseases
- Environmental applications & implications of nanotechnology



Photosensitized Singlet Oxygen Production

Larger Electron Paramagnetic Resonance (EPR) Spectra Peaks Correspond to Higher $^1\text{O}_2$ Generation (**All Outperform Fullerol**)



Nanosecond Laser Flash Photolysis (LFP) confirms long-lived triplet state, conducive to efficient ROS production (**HC4** > HC3 > HC1 > HC2 > fullerol)

