

Exposure to engineered nanoparticles emitted from laser printers

Sandra V. Pirela

P. Demokritou, V. Castranova, Y. Qian, T. Thomas

Session B | Exposure Scenario: Consumer Exposure (General Products)

QEEN II

October 9th, 2018



HARVARD T.H. CHAN
SCHOOL OF PUBLIC HEALTH

CENTER FOR NANOTECHNOLOGY
AND NANOTOXICOLOGY
<http://hsph.harvard.edu/nano>



Presentation Outline

❖ Background

- Nanotechnology
- Case study: laser printers

❖ Project design and Research objectives

❖ Results

- Physicochemical, morphological and toxicological properties of laser printer-emitted particles (PEPs)

❖ Concluding remarks

Background: Knowledge gap

NANOTECHNOLOGY

- ❖ Superior physical, chemical and optical performance of nanoparticles in comparison to micron-sized components
- ❖ Thousands of nano-enabled products (NEPs) introduced to the market (textiles, paints, cosmetics, pharmaceutical, personal care products)

Exposure at the consumer level is inevitable

RESEARCH GAPS

- ❖ Risk assessment requires both exposure data as well as toxicological data
 - Exposure evidence is critical to understand adverse health effects from exposures across the life cycle of NEP
- ❖ No standardized methodology for the systematic investigation of real world exposures of particulate matter released across life cycle of NEPs (LCPM)
 - No link from LCPM exposure during consumer use or end-of-life to toxicology
 - Limited exposure data beyond manufacturing stage
 - Life cycle perspective toxicology



Case Study: Laser printer-emitted particles

Exposure studies

- ❖ Release both particulate matter (PM) and gaseous pollutants during their use

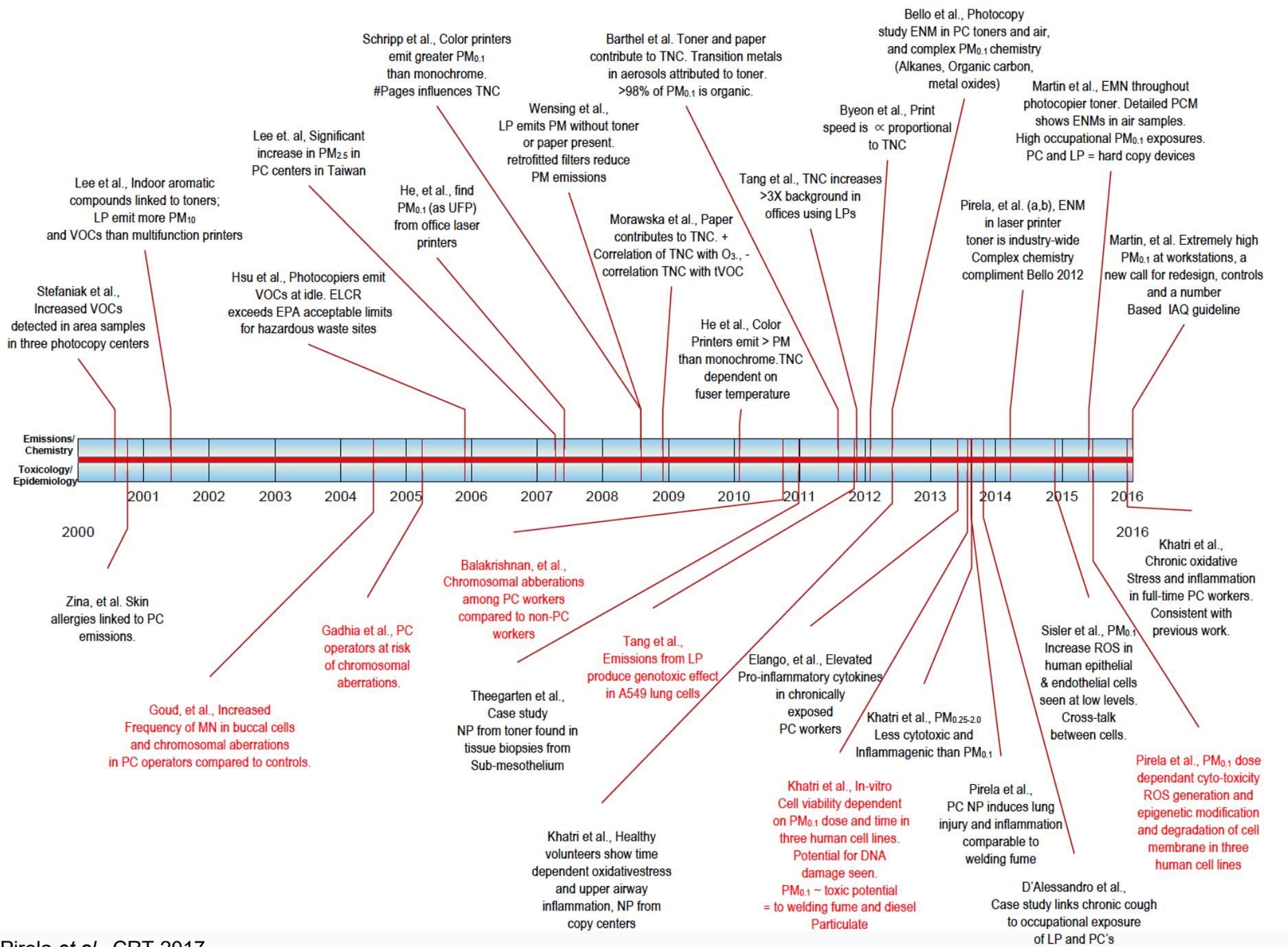
Has the laser-based printing industry incorporated ENMs in toners? If yes, are those ENMs released during printing? What are the properties (PCM) of the LCPM particle.

Toxicology studies

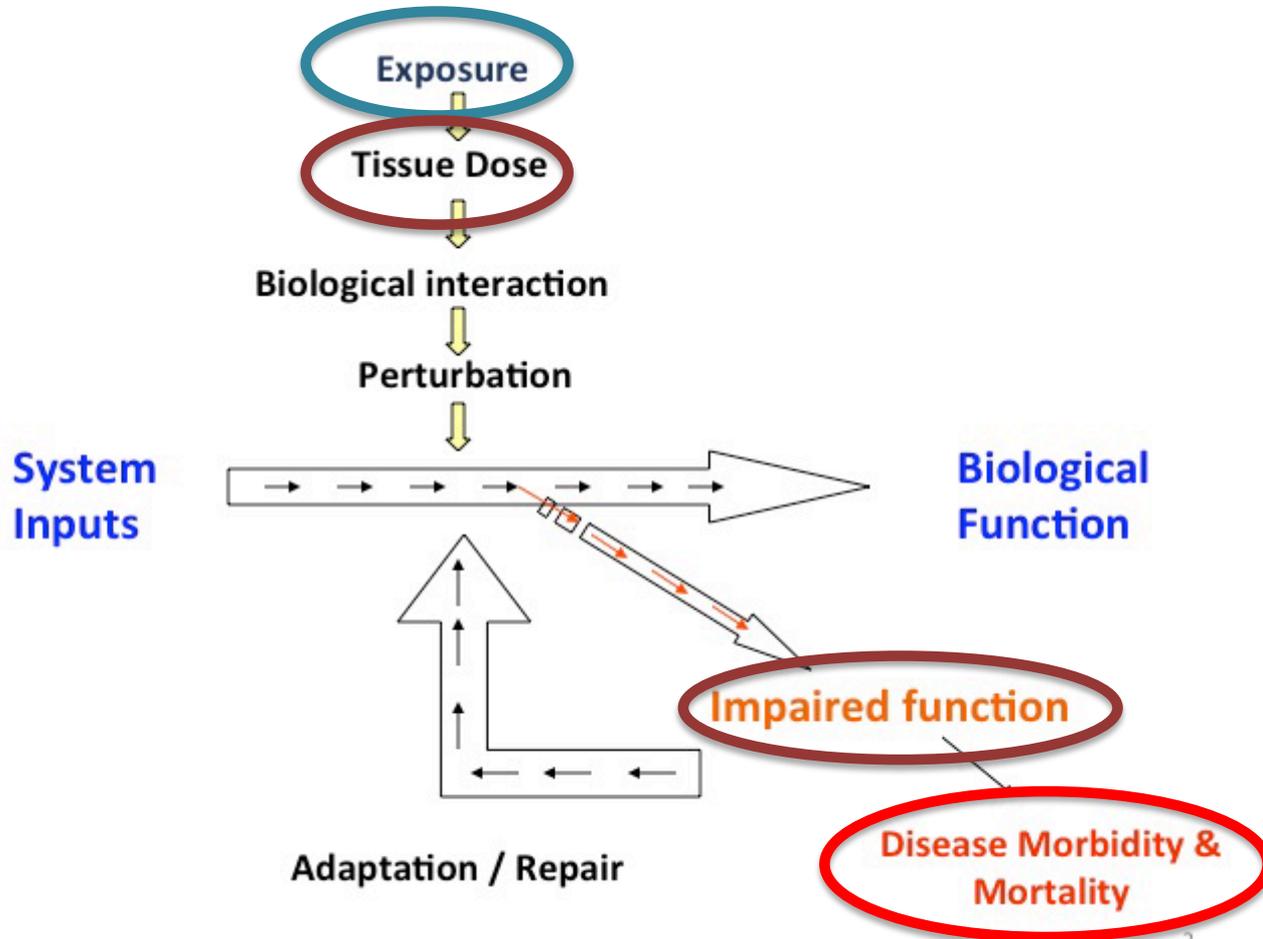
- ❖ Using toner powder as the test material instead of printer-emitted particles (PEPs)
- ❖ Intratracheally instilling toner powder to mice at unrealistic doses (e.g., 40 mg/kg)
- ❖ No inhalation studies evaluating biological responses post PEPs exposure

Not enough data for adequate science-based risk assessment of consumer exposure scenarios and no link between real word exposure to toxicology





Conceptual Framework



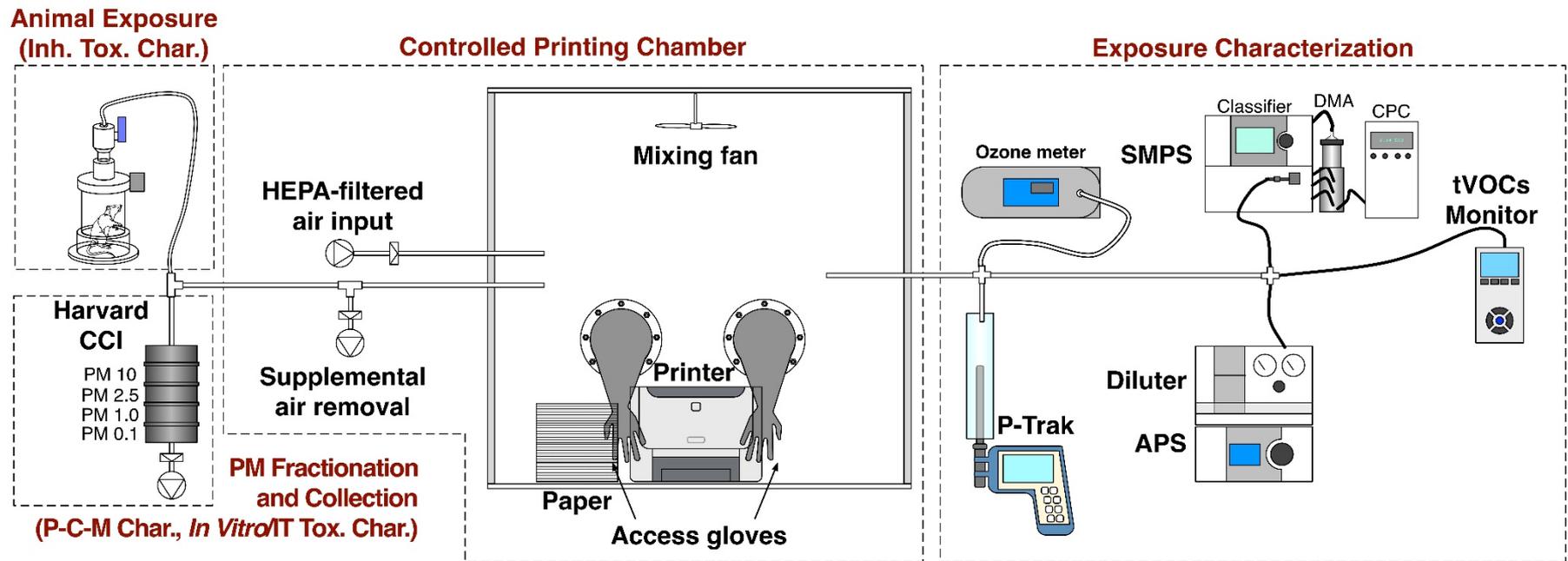
Andersen et al, Trends in Biotech, 2005,23,3, 122-127

2

Research Objectives

- ❖ Develop lab-based exposure platform to generate real-world PEPs
- ❖ Utilization of developed platform to evaluate PEPs and gaseous co-pollutants released by laser printers currently in the market
 - Is the toner a nano-enabled product (NEP)? Physico-chemical and morphological characterization of toner powders and PEPs
 - Are ENMs emitted during a print job? Assess emission profile of laser printers (*i.e.*, PM and gaseous co-pollutants)
 - Are there operational parameters that affect the emission profile of laser printers?
- ❖ Toxicological evaluation of PEPs
 - *In vitro*: mono- and co-culture systems
 - *In vivo*: whole-body inhalation and intratracheal instillation of PEPs

Development of Printer Exposure Generation System (PEGS)

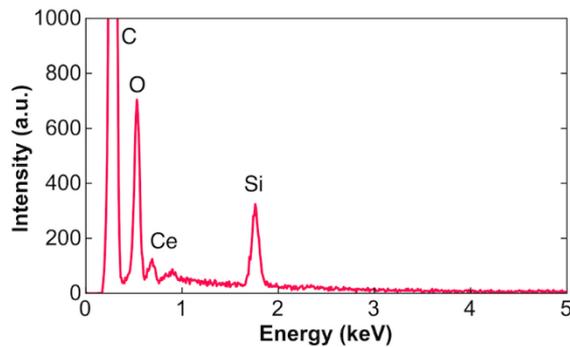
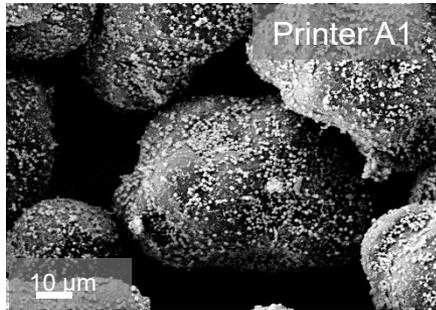


Features

- ❖ Uninterrupted operation
- ❖ Real time aerosol and gaseous emission monitoring
- ❖ Particle generation and collection
- ❖ Animal exposures
- ❖ Simulation of different exposure scenarios (ACH)
- ❖ Versatile: can be used for characterization of particle released from various NEPs

Physicochemical and morphological assessment of toner powder and PEPs

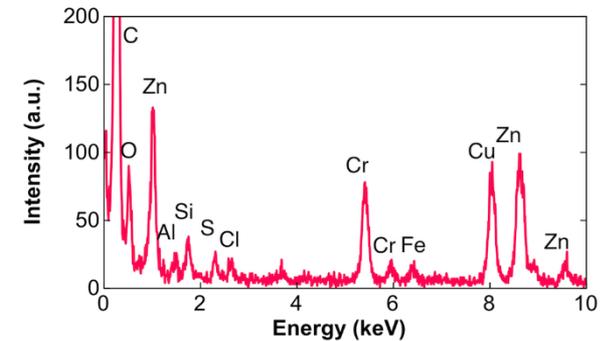
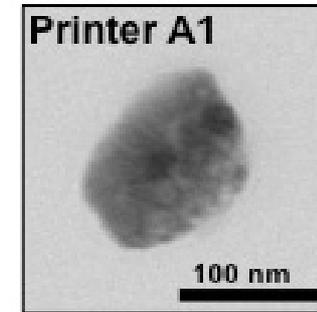
Toner powder



- ❖ Diameter 10-15 μm
- ❖ ENMs on the surface and embedded in the toner particle
- ❖ *EDX*: traces of carbon, oxygen, aluminum, silicon, cerium, iron, among others

Toner formulations are nano-enabled products

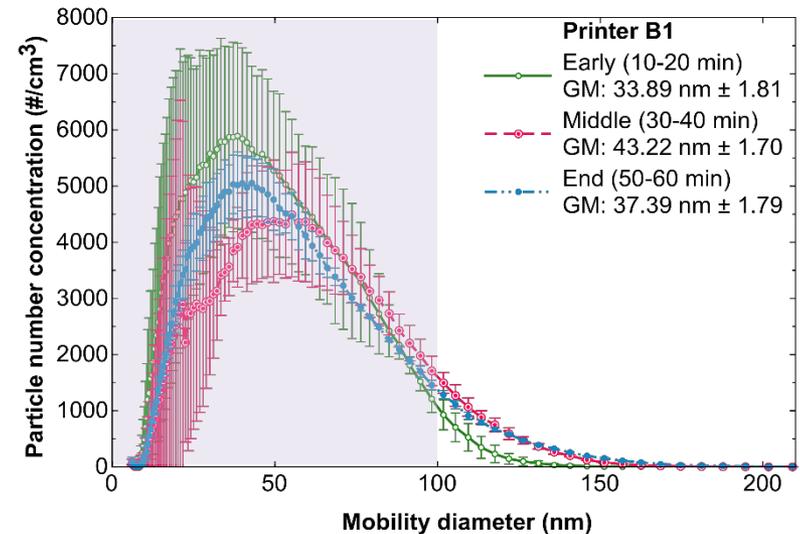
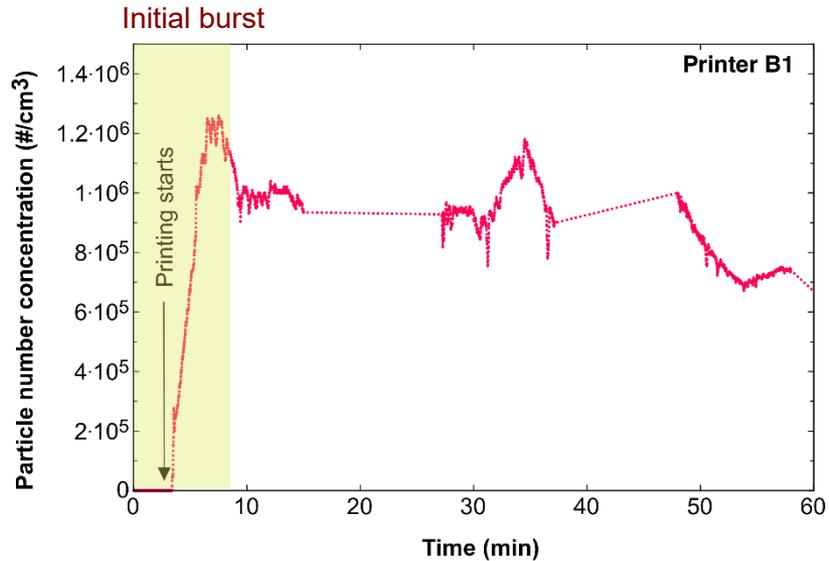
PEPs



- ❖ Different aggregate shapes/sizes of $\sim 20 - 200$ nm
 - Consistent with RT monitoring data
- ❖ *EDX*: traces of carbon, oxygen, aluminum, silicon, zinc, iron, cerium, copper, tellerium, titanium, sulfur, among others

ENMs become airborne during consumer use of laser printer

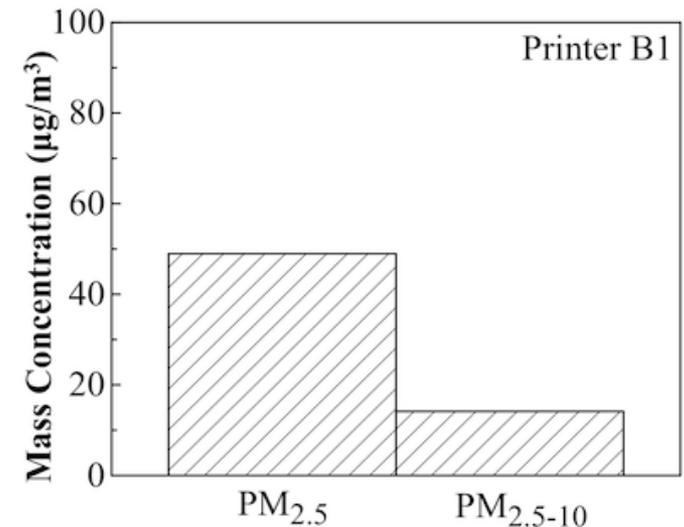
Assessment of laser printer emission profiles: Size distribution and number concentration of PEPs



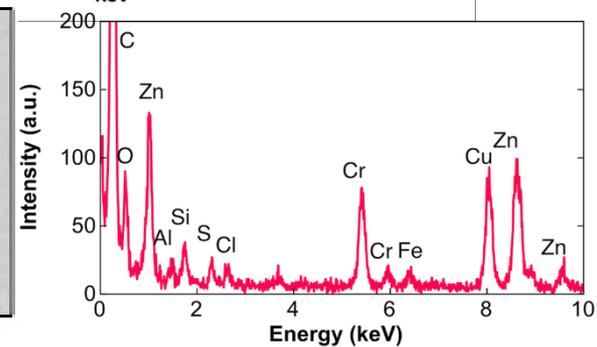
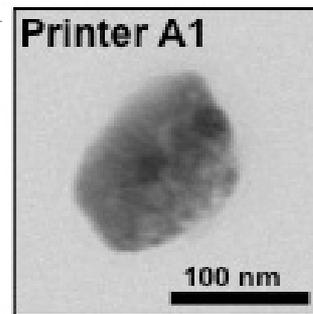
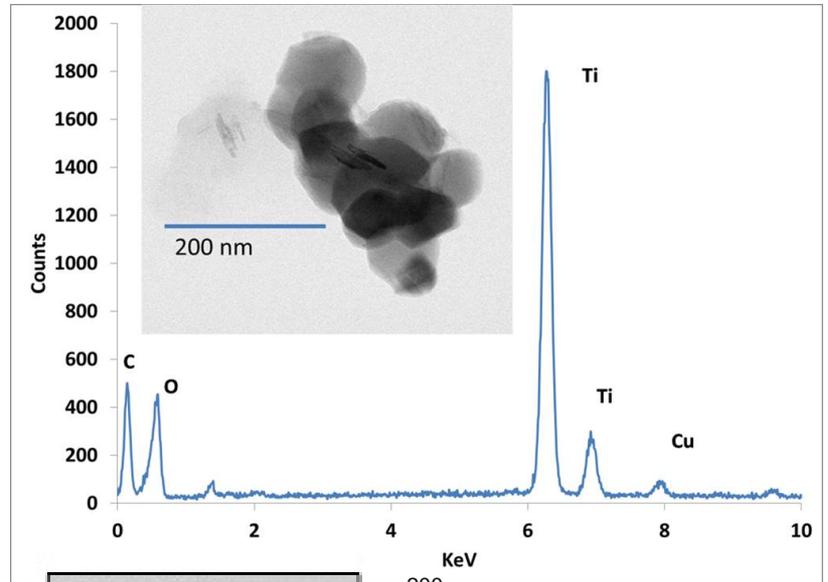
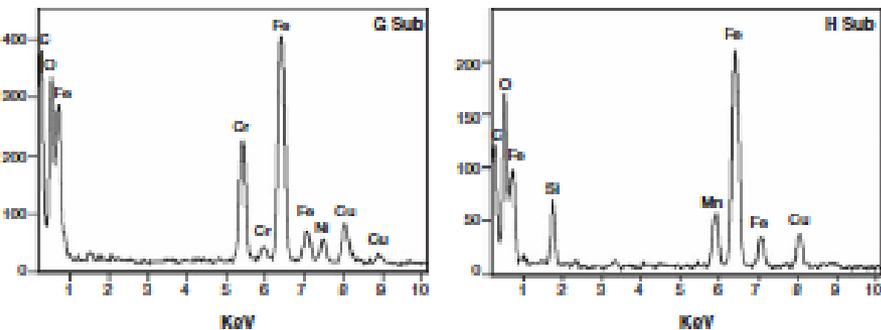
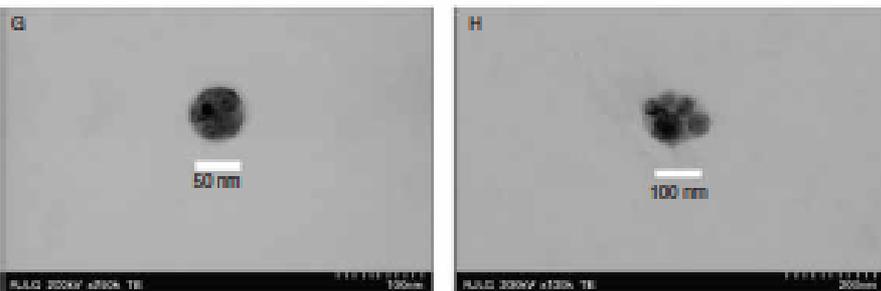
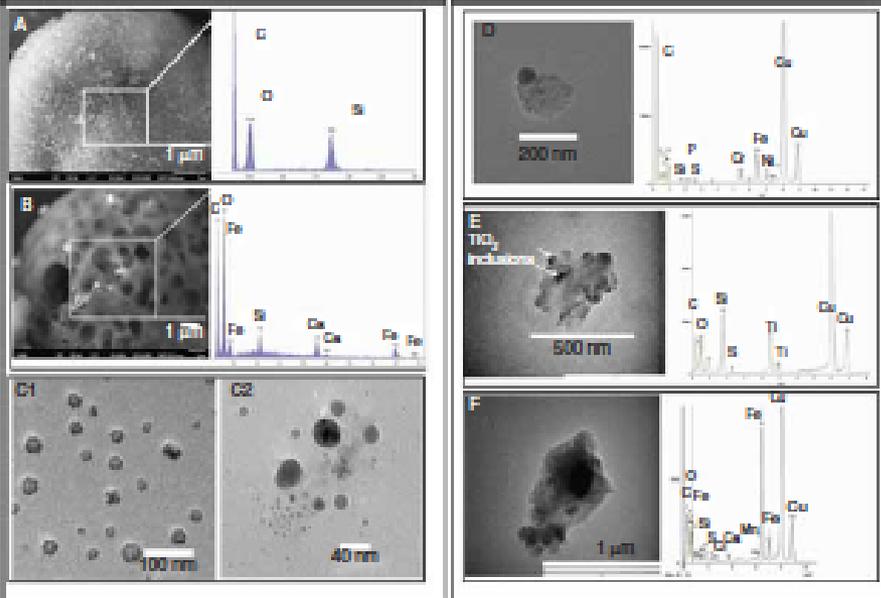
❖ Emission profiles of 11 laser printers (4 manufacturers)

- No association between emission profile and brand/model
- Peak emissions: 2,990 - 1.27 million particles/cm³
- Initial burst within 10-12 min
- Mean diameters: 39 - 122 nm, majority < 100 nm
- Mass concentrations of up to 100 µg/m³

❖ Emission profiles identified for printers → rank them based on maximum particle released

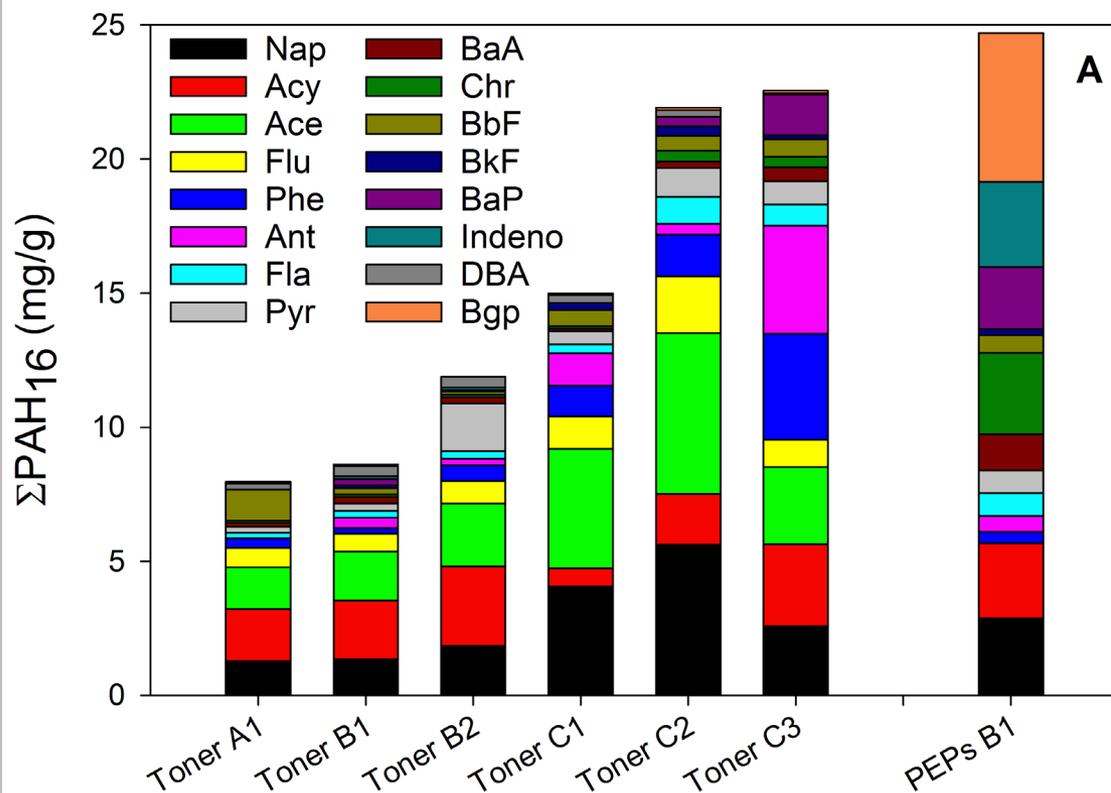


ENM in the breathing zone



Fe, Mn, Cu, Si, Cr, Ti, Al, C, Zn, Fe, Ce, Te, S, Ni, & others

Chemical speciation of PAHs in toner powder and PEPs



PEPs (B1)

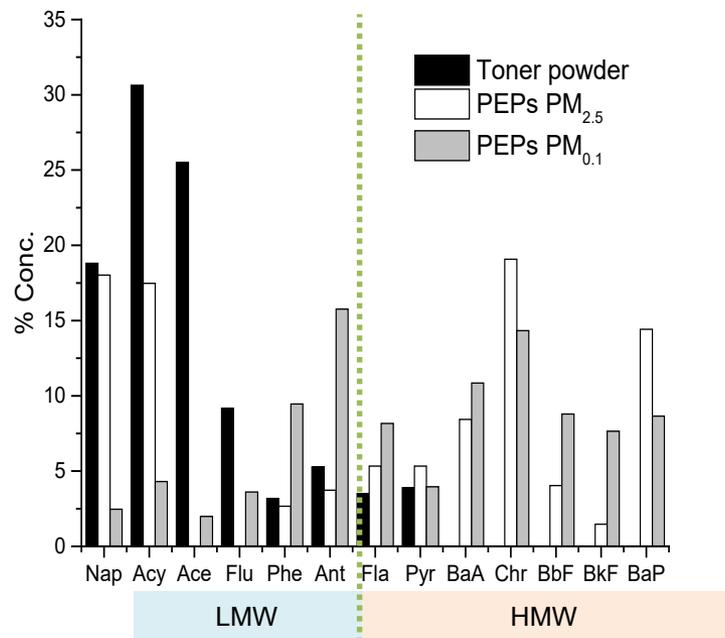
- ❖ Concentration of PAHs: 24.71 ng/mg
- ❖ Major contribution to the PAHs were high molecular weights

Toner powders (A1, B1, B2, C1, C2, C3)

- ❖ Concentration of PAHs: 22.5, 21.93, 14.99, 11.88, 8.62 and 7.97 ng/mg.
- ❖ Relatively high fraction of low molecular weight PAH compounds that made up 73-85% of the sample
- ❖ 1.86 fold increase of PAHs concentration in PEPs compared to toner (B1)

Chemical speciation of PAHs in toner powder and PEPs

Relative distribution of PAHs



Mean PAHs and BaP-equivalent concentrations estimated using cancer potency-equivalent factor (PEF)

| Compound | Concentration (ng/g) | | | |
|-------------------------------------|----------------------|--------------|------------------------|------------------------|
| | PEF | Toner powder | PEPs PM _{0.1} | PEPs PM _{2.5} |
| Naphthalene | 0.001 | 1.3 | 2.9 | 1.7 |
| Acenaphthylene | 0.001 | 2.2 | 2.8 | 2.9 |
| Acenaphthene | 0.001 | 1.8 | 0.0 | 1.3 |
| Fluorene | 0.001 | 0.7 | 0.0 | 2.4 |
| Phenanthrene | 0.001 | 0.2 | 0.4 | 6.3 |
| Anthracene | 0.001 | 0.4 | 0.6 | 10.6 |
| Fluoranthene | 0.001 | 0.3 | 0.9 | 5.5 |
| Pyrene | 0.001 | 0.3 | 0.9 | 2.6 |
| Benzo[a]anthracene | 0.1 | 0.0 | 1.3 | 7.3 |
| Chrysene | 0.01 | 0.0 | 3.0 | 9.6 |
| Benzo[b/j]Fluoranthene | 0.1 | 0.0 | 0.6 | 5.9 |
| Benzo[k]Fluoranthene | 0.1 | 0.0 | 0.2 | 5.1 |
| Benzo[a]pyrene | 1 | 0.0 | 2.3 | 5.8 |
| Total PAHs conc. | | 7.2 | 16.0 | 67.0 |
| Total PEF-equivalent conc. | | 0.0 | 2.6 | 7.8 |
| % PEF-equivalent/total conc. | | 0% | 16% | 12% |

- ❖ Relative distribution of PAHs changes from low to high molecular weight PAHs from toner to high molecular weight in PEPs
- ❖ PEPs PM_{0.1} appears to have a higher concentration of high molecular weight PAHs than PEPs PM_{2.5}
- ❖ Higher PEF associated with high molecular weight PAHs found mainly in the PEPs rather than the toner → toxicological implications?

Substantial Deposition and Retention in the Lungs

MPPD2 Lung Deposition Model

| Center ID | CMD (nm) | σ_g | MMD (nm) | PM _{0.1} Mass Conc. ^b $\mu\text{g}/\text{m}^3$ | % Deposition (number) | | | |
|-----------|----------|------------|----------|--------------------------------------------------------------------|-----------------------|------|----------|----------|
| | | | | | Total ^a | Head | Thoracic | Alveolar |
| 1 | 35.1 | 1.9 | 123.9 | 4.5 | 33.7 | 5.7 | 11.1 | 17.0 |
| 2 | 23.1 | 2.1 | 113.2 | 2.2 | 35.9 | 6.2 | 12.1 | 17.9 |
| 3 | 28.0 | 2.01 | 121.1 | 1.9 | 32.1 | 6.1 | 8.6 | 17.4 |
| 4 | 38.3 | 1.7 | 86.48 | 2.2 | 39.8 | 6.4 | 13.2 | 20.2 |
| 5 | 32.4 | 2.04 | 148.7 | 3.6 | 29.4 | 5.9 | 7.7 | 15.7 |
| 6 | 36.2 | 2.07 | 177.7 | 4.6 | 28.2 | 6.7 | 7.1 | 14.5 |
| 7 | 28.2 | 1.96 | 109.5 | 1.8 | 33.4 | 6.1 | 9.0 | 18.2 |
| 8 | 34.9 | 1.75 | 89.7 | 6.4 | 36.4 | 6.4 | 9.9 | 20.1 |

Human Model

Functional Residual Capacity: 3300.0 mL

Head Volume: 50 mL

Breathing Route: Nasal

Breathing Parameters

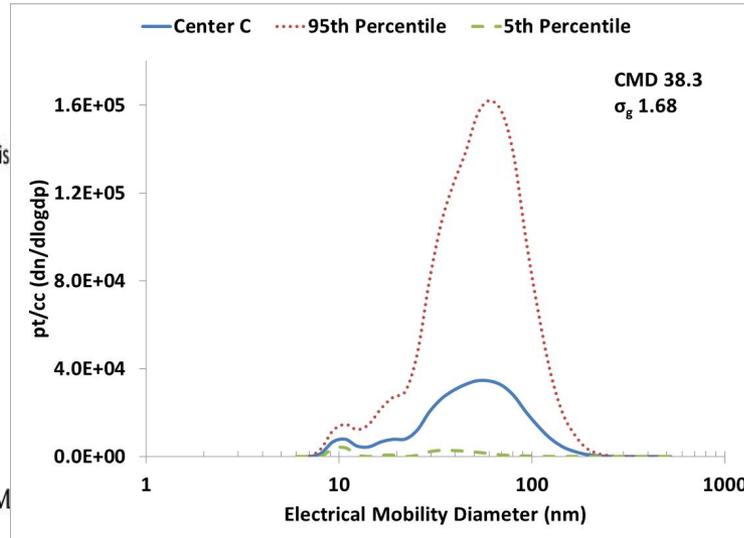
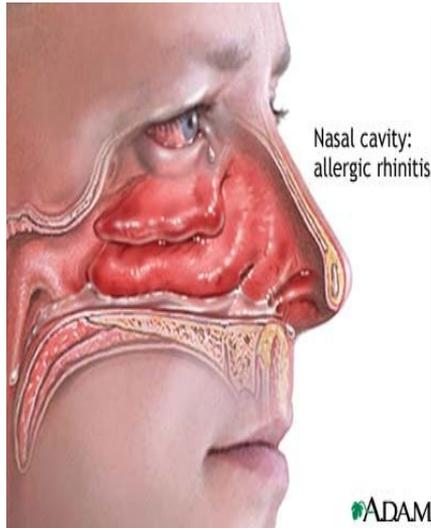
Tidal Volume: 625 ml

Breathing Frequency: 12 breaths/ min

Inspiratory Fraction: 0.5

Pause Fraction: 0.0

High Dose and Dose Rate in the Nasal Cavities



Mass Flux $0.072 \mu\text{g}/(\text{m}^2\text{min})$
 Exposure time of 480 min (8 hr)

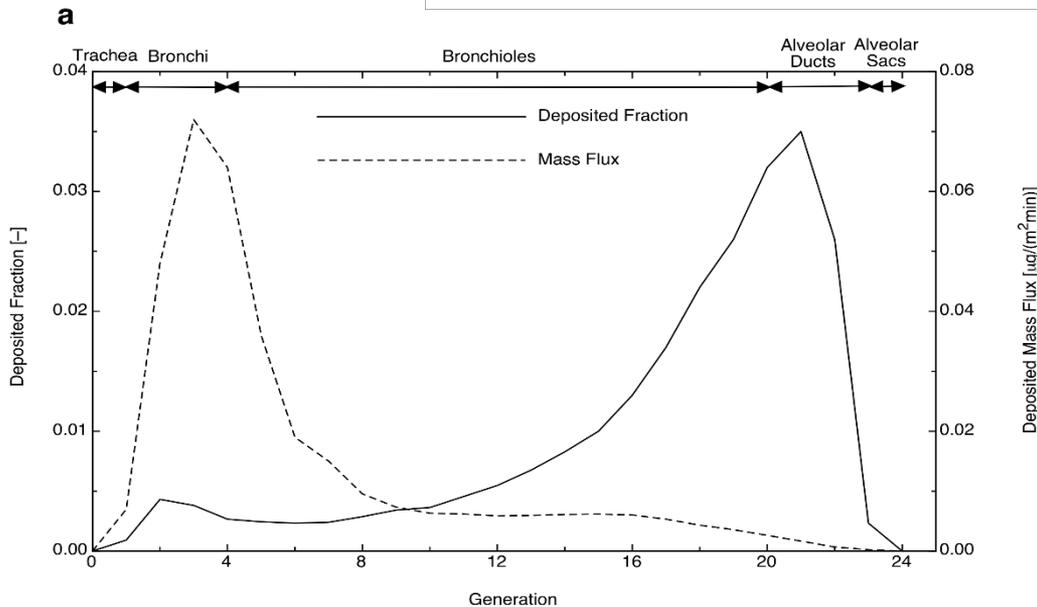
Estimated lung surface dose of $34.6 \mu\text{g}/\text{m}^2$



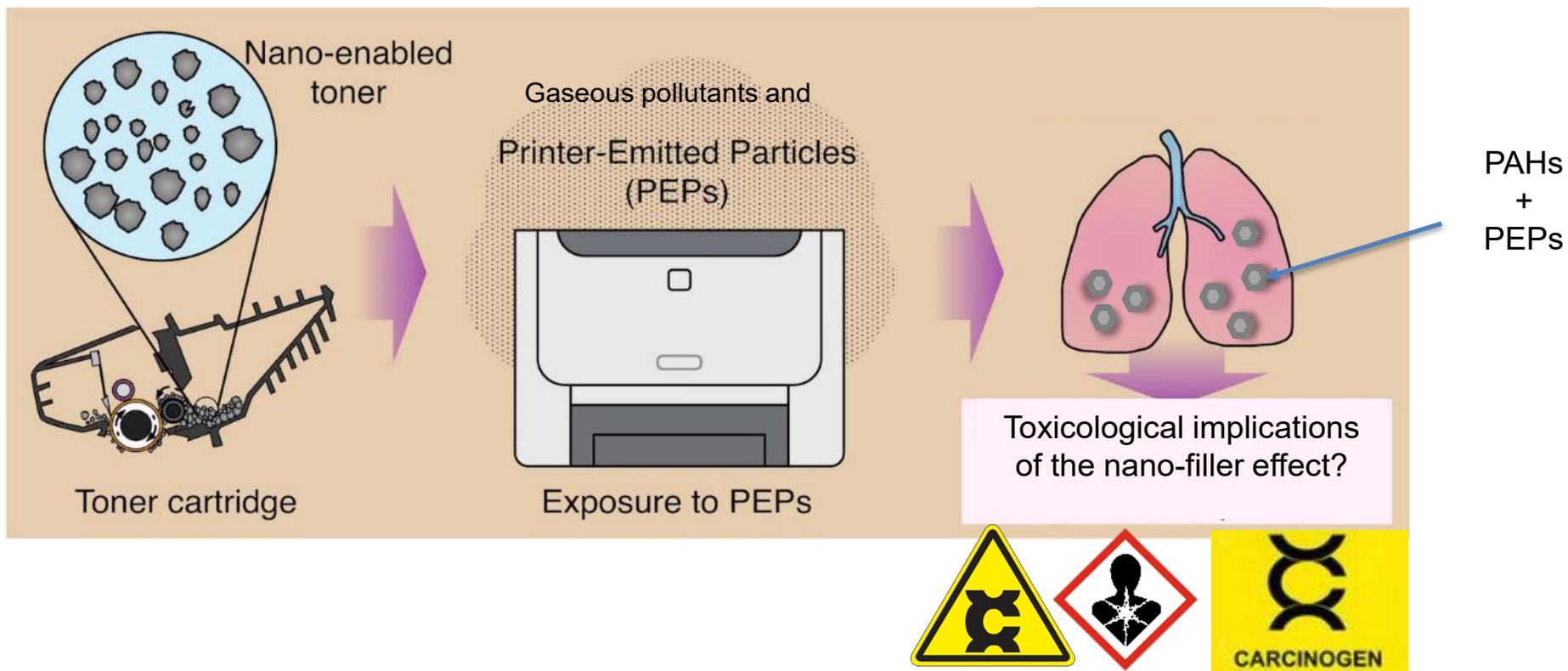
Nasal Cavity: 150 cm^2
 Deep Lungs: 120 m^2

Lungs/Nasal SA Ratio = ~ 8000
 Deposited Fraction $\sim 5x$

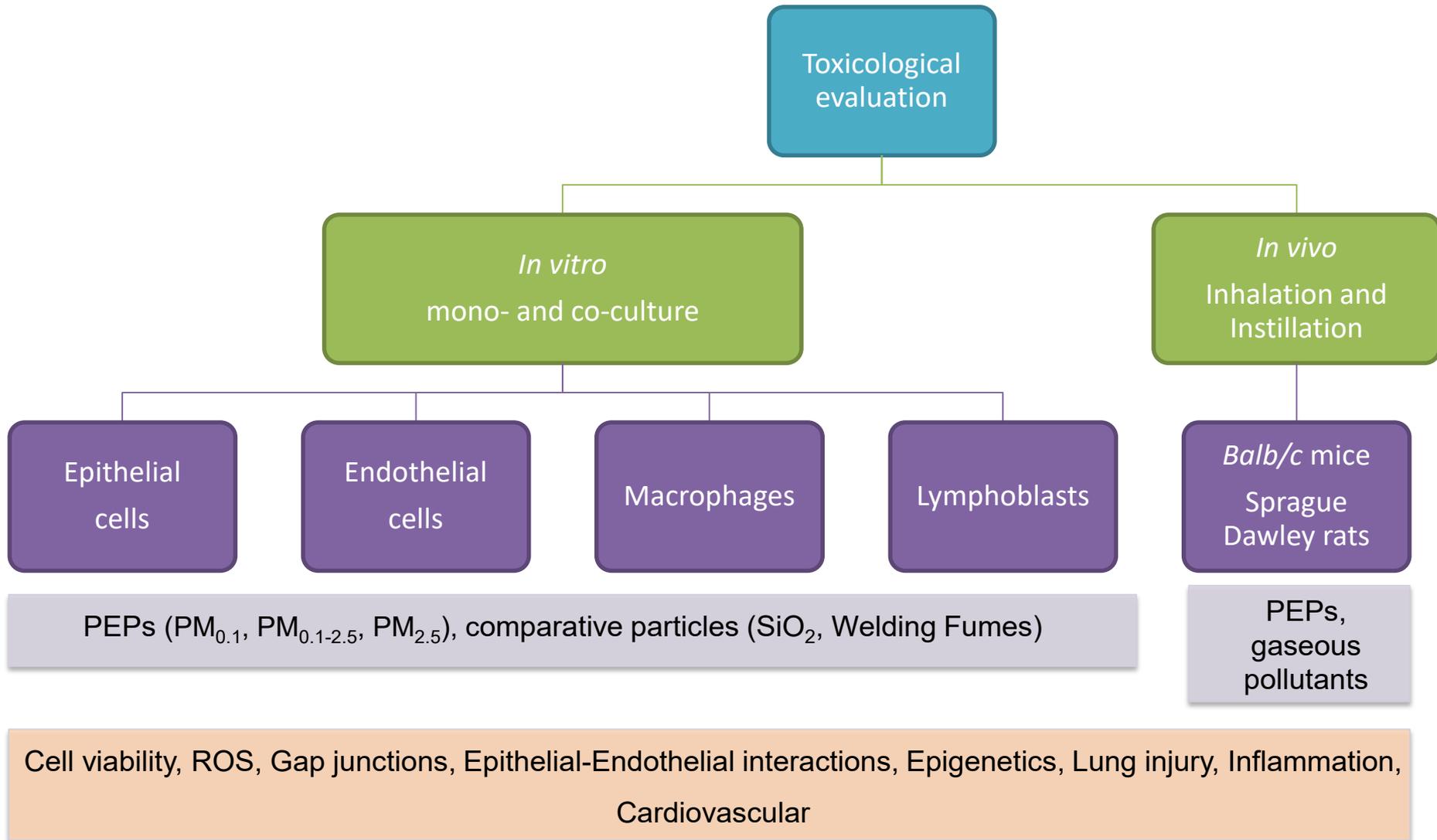
Nose/Alveolar Dose (cm^{-2}) $\sim 2,500x$



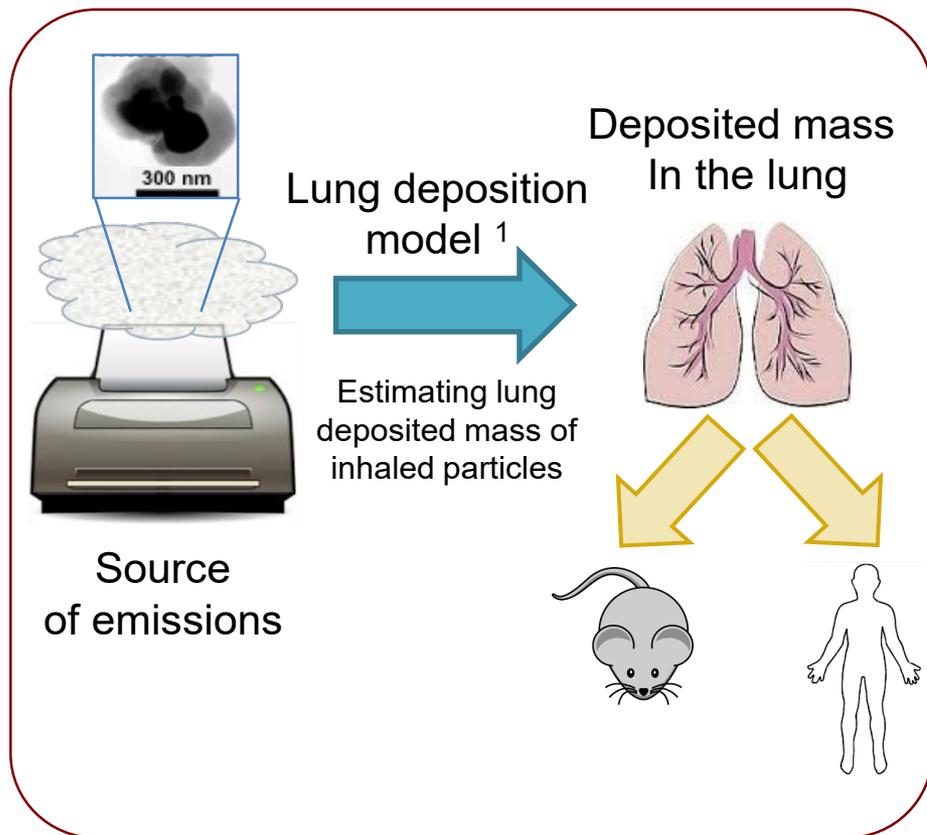
Chemical speciation of tVOCs present in toners and PEPs



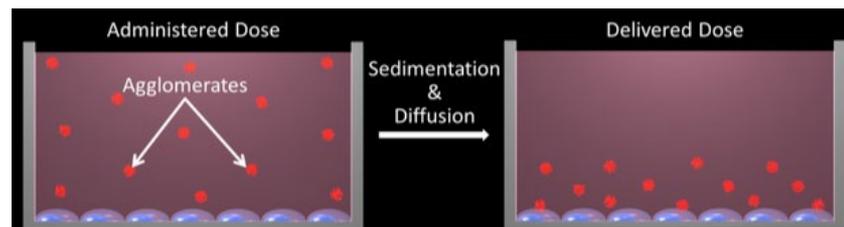
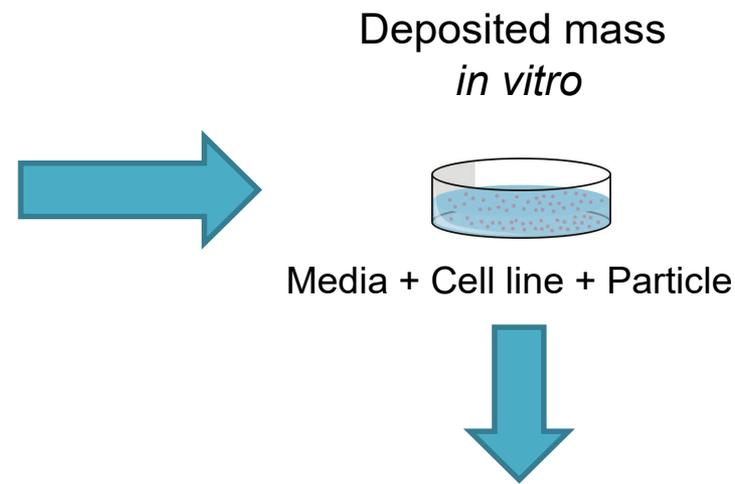
Toxicological assessment of PEPs – Study design



Dosimetric considerations for toxicological assessment



Breathing parameters + Airborne PEPs properties



Summary of results from *in vitro* toxicological assessment

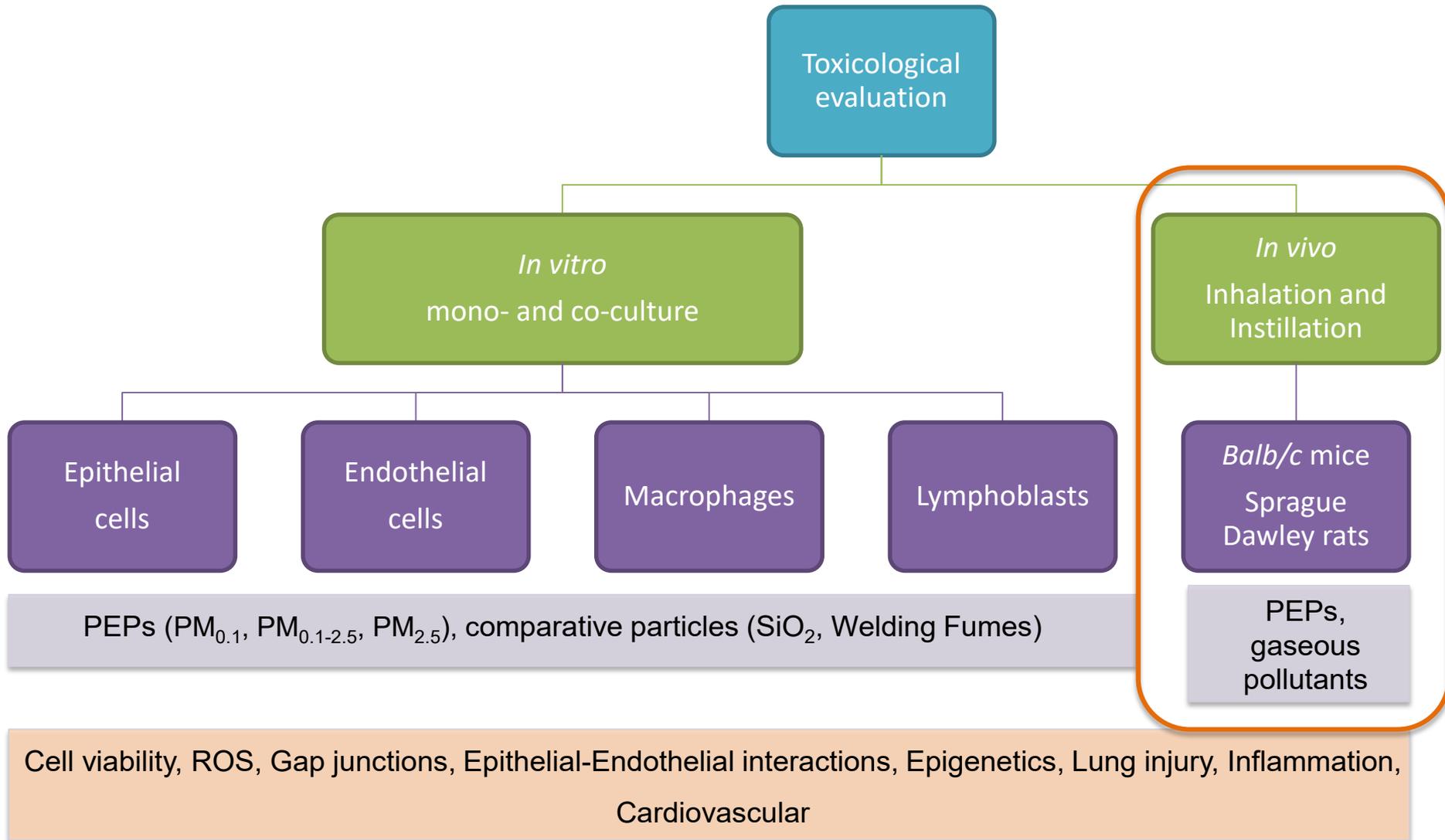
Mono-culture system

- ❖ PEPs led to significant cell death in epithelial cells (at highest delivered mass) and in macrophages in a dose-dependent pattern
- ❖ PEPs led to a dose dependent increase in ROS production in epithelial cells and in macrophages
- ❖ PEPs affect cytokines associated with cell division and immune responses
 - Recruitment of leukocytes to injury site, immune response stimulation, neutrophil production
- ❖ PEPs decreased expression levels of DNA methyltransferases (DNMTs) and TET in a dose-response pattern
 - Possible change in methylation patterns affecting overall gene expression

Co-culture system

- ❖ Co-culture system allows for investigation of alveolar-capillary interaction
- ❖ Following epithelial cell treatment with PEPs, endothelial cells exhibited:
 - Increased reactive oxygen species
 - Actin filament remodeling (stress fibers, filopodia, lamellipodia)
 - Angiogenesis
 - Substantial gap formation
 - Elevated cytokines levels: IL-1 β , IL-8, IP-10, FGF-basic, IL-1RA, IL-6, MCP-1, MIP-1b, RANTES

Toxicological assessment of PEPs – Study design



Experimental Design

- ❖ Animals: male Balb/c mice
- ❖ Exposure by intratracheal instillation
 - PM_{0.1} (sampled/extracted from CCI)
 - Control group: DI H₂O
- ❖ Doses: 0.5, 2.5 and 5.0 mg/kg bw
- ❖ Assessment done 24-hrs post exposure
- ❖ Samples collected: blood, heart, liver, spleen, lungs, bronchoalveolar lavage
- ❖ Parameters examined: lung injury and inflammation, epigenetics, oxidative damage



Summary of results from *in vivo* toxicological assessment

Intratracheal instillation

- ❖ No effect observed on pulmonary membrane integrity and neutrophil degranulation.
- ❖ Significant differences in white blood cell population (neutrophils, macrophages and lymphocytes) after PEPs exposure (5 mg/kg).
- ❖ Expression of a number of genes (*Nos1*, *Ccl5* and *Ucp2*) involved in inflammatory and oxidative damage responses was elevated after PEPs exposure.
- ❖ Leukemia inhibitory factor (LIF) was considerably upregulated by exposure to PEPs.
- ❖ Significant loss of DNA methyltransferase Dnmt3a and an elevated expression of TE LINE-1 observed in the whole lung tissue of mice instilled with PEPs.

Inhalation study design (1/2)

Study repeated 2016 and 2017

9 weeks old Sprague-Dawley rats



PEPs exposure



HEPA exposure (Control)

Exposed for 5 hours a day

Exposure Days

1

5

9

13

17

21

Animals Sacrificed

Real-time exposure measurement

Nasal lavage fluid collection

Bronchoalveolar lavage fluid collection

Pulmonary and cardiac tissues analysis

Blood serum collection

- LDH release
- Peroxidase activity
- GSH levels
- Multiplex cytokine and chemokine analysis

- LDH release
- Peroxidase activity
- GSH levels
- Albumin levels
- Hemoglobin levels
- Total and differential WBC analysis
- Multiplex cytokine and chemokine analysis

- Histopathological analysis
- In situ chemiluminescence based oxidative stress analysis

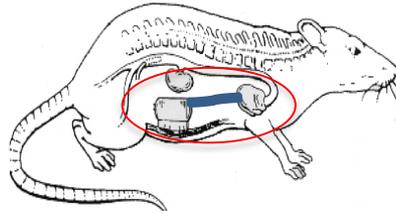
- Oxidative stress and inflammation markers.
- Metabolomics analysis.

Multiple pathway particle dosimetry modelling

Multivariate statistical analysis

Determination of dosimetry: NOAEL and LOAEL

Inhalation study design (2/3)



Animal assignment:
HR and Contractility

PEPs (n= 4)
HEPA filtered air (n= 4)

| Baseline | | | | Exposure (21d) | | | | | | | | | | | Post-Exposure Days (*: cold-water stress) | | | | | Sac |
|----------|---|---|---|----------------|-----|---|-----|---|-------|----|-------|----|-------|----|----------------------------------------------|--------|-----|--------|-----|-----|
| 1 | 2 | 3 | 4 | 1 | 2-4 | 5 | 6-8 | 9 | 10-12 | 13 | 14-16 | 17 | 18-20 | 21 | 23* | 50, 57 | 58* | 65, 86 | 91* | 93 |

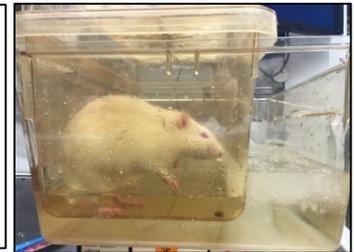
HEPA filtered air: All

5 hrs exposure to PEPs and HEPA filtered air (Control)



1h Monitoring

- 20 min pre
- 20 min Stress
- 20 min post

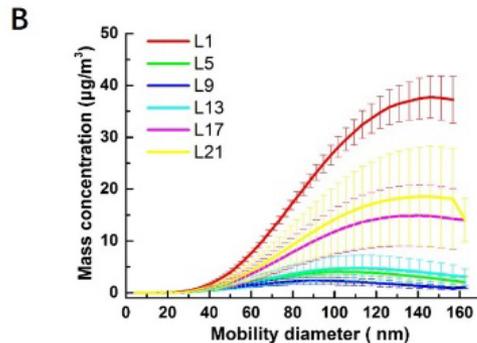
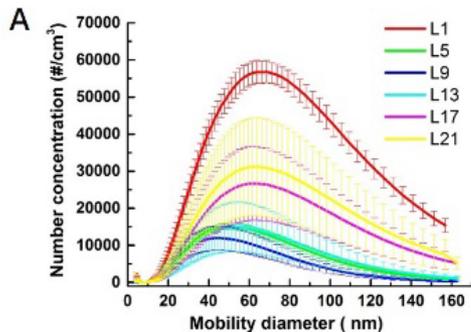


Effects of PEPs on Cardiac & Autonomic Responses to Stress

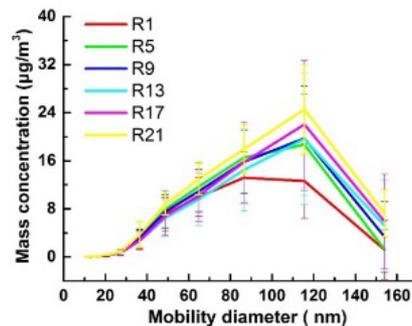
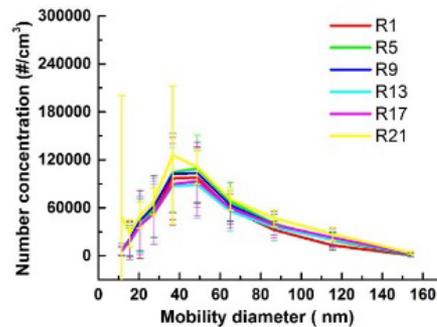
Detection of stress related metabolites in urine

Real-time exposure measurement

2016



2017



- ❖ Real-time mean particle diameter: ~45 nm
- ❖ Total particle number concentration: ~4-5 x10⁵ #/cm³
- ❖ Highest mean particle diameter: 67.62 nm
- ❖ Particle mass 737.90 µg/m³
- ❖ Variation between exposure days was detected in the 2016 study
 - This was due to use of different printers, wear and tear.

Real-time exposure analysis

| | Group | Mean particle diameter (nm) | Count median diameter (nm) | Geometric standard deviation | Particle number concentration ($10^5/\text{cm}^3$) | Particle mass concentration ($\mu\text{g}/\text{m}^3$) | VOCs |
|------|-------|-----------------------------|----------------------------|------------------------------|------------------------------------------------------|----------------------------------------------------------|-------------|
| 2016 | L1 | 67.62±6.31 | 61.94±7.41 | 1.68±0.05 | 21.67±3.89 | 737.90±137.56 | n/a |
| | L5 | 55.68±6.05 | 50.55±6.59 | 1.65±0.05 | 5.48±1.61 | 107.25±29.21 | 262.8±134.8 |
| | L9 | 50.62±6.83 | 45.95±7.29 | 1.62±0.07 | 4.04±1.98 | 58.97±30.01 | 363.2±161.7 |
| | L13 | 57.34±8.33 | 52.39±8.41 | 1.66±0.07 | 5.62±2.75 | 127.52±66.40 | 248.6±197.1 |
| | L17 | 63.63±9.15 | 58.15±9.90 | 1.69±0.06 | 10.66±5.14 | 331.35±155.74 | 244.8±164.2 |
| | L21 | 64.93±9.88 | 59.63±10.20 | 1.68±0.07 | 11.10±6.07 | 363.63±209.30 | 257.8±165.6 |
| 2017 | R1 | 46.44±6.89 | 43.76±7.77 | 1.65±0.06 | 4.21±1.73 | 48.10±9.02 | |
| | R5 | 47.69±6.20 | 44.78±6.95 | 1.66±0.07 | 4.63±1.76 | 60.31±18.17 | |
| | R9 | 47.49±6.39 | 44.13±7.12 | 1.68±0.08 | 4.64±1.78 | 61.60±17.56 | |
| | R13 | 48.25±7.02 | 44.64±7.93 | 1.70±1.10 | 4.06±1.85 | 58.96±24.77 | |
| | R17 | 49.35±7.82 | 45.75±8.90 | 1.70±0.09 | 4.22±1.94 | 64.41±24.20 | |
| | R21 | 48.96±8.18 | 44.00±9.05 | 1.71±0.13 | 5.84±6.79 | 76.43±34.08 | |

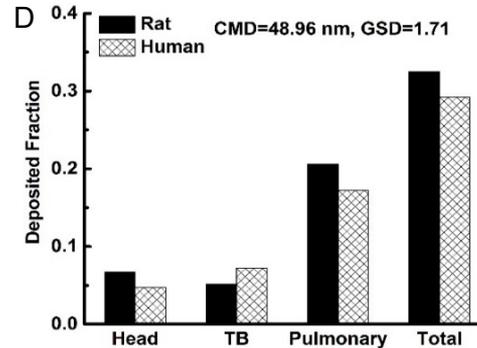
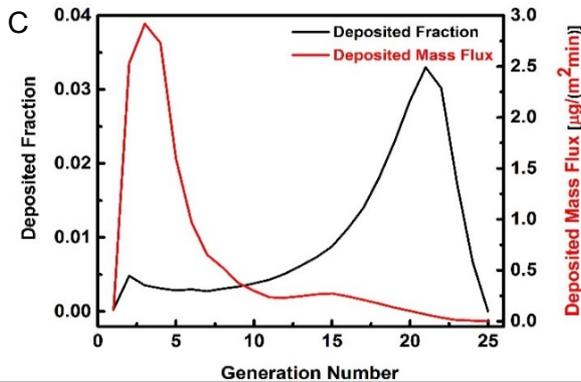
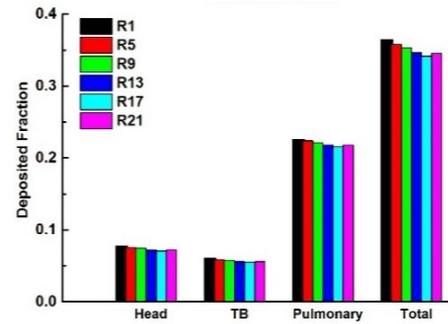
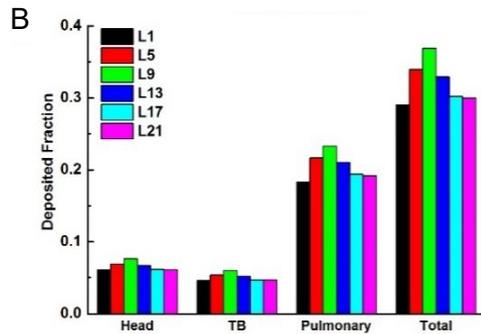
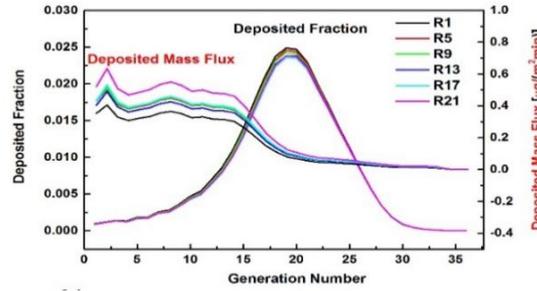
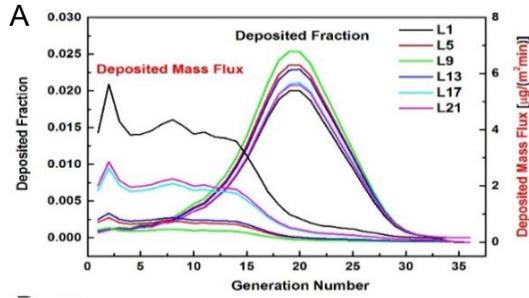


Multiple particle pathway analysis (1/2)

Rats

L = 2016 study

R = 2017 study



Deposition:

~ 7%: head

~6%: TB region

21%: alveolar region

Human

Multiple particle pathway analysis (2/2)

| | Group | Retained dose ($\mu\text{g}/\text{m}^2$) | Deposition rate ($\mu\text{g}/\text{hour}$) | Deposited mass (μg) | | | Retained mass (μg) | | | |
|------|--------------------|--------------------------------------------|-----------------------------------------------|----------------------------------|-------|-----------|---------------------------------|------|----------|-------------|
| | | | | Total | TB | Pulmonary | Total | TB | Alveolar | Lymph nodes |
| 2016 | L1 | 1.06 | 2.34 | 11.71 | 2.36 | 9.35 | 0.31 | 0.00 | 0.30 | 0.01 |
| | L5 | 28.2 | 0.43 | 10.83 | 2.15 | 8.68 | 8.18 | 0.05 | 8.11 | 0.03 |
| | L9 | 28.24 | 0.25 | 11.45 | 2.33 | 9.12 | 8.19 | 0.04 | 8.10 | 0.04 |
| | L13 | 79.31 | 0.50 | 32.74 | 6.46 | 26.27 | 23.00 | 0.15 | 22.70 | 0.17 |
| | L17 | 252.06 | 1.23 | 104.51 | 20.35 | 84.15 | 73.10 | 0.45 | 71.90 | 0.71 |
| | L21 | 322.75 | 1.31 | 137.25 | 26.90 | 110.35 | 93.60 | 0.53 | 91.90 | 1.13 |
| 2017 | R1 | 2.51 | 0.19 | 0.93 | 0.20 | 0.74 | 0.73 | 0.00 | 0.73 | 0.00 |
| | R5 | 15.27 | 0.24 | 5.95 | 1.23 | 4.72 | 4.43 | 0.03 | 4.39 | 0.01 |
| | R9 | 26.55 | 0.24 | 10.80 | 2.24 | 8.56 | 7.70 | 0.05 | 7.61 | 0.04 |
| | R13 | 35.86 | 0.23 | 15.24 | 3.10 | 12.14 | 10.40 | 0.07 | 10.30 | 0.08 |
| | R17 | 49.31 | 0.25 | 21.67 | 4.39 | 17.29 | 14.30 | 0.09 | 14.10 | 0.14 |
| | R21 | 70 | 0.30 | 31.66 | 6.47 | 25.19 | 20.30 | 0.11 | 19.90 | 0.25 |
| | Human ^b | 36.33 | 0.383 | 2760 | 810 | 1950 | 2278.36 | 8.36 | 1810 | 460 |

Rats: 0.29 m² alveolar surface area in rat

Human: 62.7 m² alveolar surface area in human

Pulmonary Region: Inflammatory response

| | | Nasal Lavage | | | | Bronchoalveolar Lavage | | | | | | | | | |
|-----------------------------|--------------|-------------------------------|-------------------------------|--------------------------------|---------------------------------|-------------------------------|-------------------------------|--------------------------------|--------------------------------|-------------------------------|--------------------------------|--------------------------------|-----------------------------|--------------------------------|---------------------------------|
| | | 1.06 $\mu\text{g}/\text{m}^2$ | 28.2 $\mu\text{g}/\text{m}^2$ | 79.31 $\mu\text{g}/\text{m}^2$ | 322.75 $\mu\text{g}/\text{m}^2$ | 1.06 $\mu\text{g}/\text{m}^2$ | 2.51 $\mu\text{g}/\text{m}^2$ | 15.27 $\mu\text{g}/\text{m}^2$ | 26.55 $\mu\text{g}/\text{m}^2$ | 28.2 $\mu\text{g}/\text{m}^2$ | 35.86 $\mu\text{g}/\text{m}^2$ | 49.31 $\mu\text{g}/\text{m}^2$ | 70 $\mu\text{g}/\text{m}^2$ | 79.31 $\mu\text{g}/\text{m}^2$ | 322.75 $\mu\text{g}/\text{m}^2$ |
| Pro-inflammatory cytokines | IL-1B | 0.43 | 0.18 | 0.53 | 0.47 | 4.78 | 1.11 | 3.77 | 2.7 | 6.8 | 1.16 | 0.96 | 1.24 | 4.61 | 1.81 |
| | IL-5 | 1.07 | 1.03 | 0.89 | 1.16 | 1 | 1.44 | 1.31 | 0.75 | 0.9 | 2.02 | 0.97 | 1.14 | 0.9 | 0.87 |
| | IL-12 | 1.01 | 1.15 | 1 | 1.18 | 0.89 | 0.48 | 0.4 | 0.58 | 1.2 | 1.51 | 0.73 | 1.15 | 1.13 | 0.57 |
| | IL-17A | 1 | 0.23 | 1 | 3.48 | 0.89 | 0.97 | 1.86 | 1.11 | 0.76 | 1 | 1.53 | 1 | 10.93 | 2.5 |
| | IL-18 | 1.57 | 2.96 | 0.57 | 1.05 | 1.96 | 1.09 | 1.85 | 1.31 | 0.83 | 0.92 | 0.58 | 1.06 | 1.51 | 0.84 |
| Anti-inflammatory cytokines | IFN γ | 1 | 2.28 | 1 | 1 | 1 | 0.95 | 3.02 | 0.65 | 0.15 | 1.91 | 2.09 | 1.08 | 20.25 | 1 |
| | Leptin | 1 | 0.92 | 1.71 | 1.2 | 2.99 | 1.17 | 2.25 | 1.17 | 0.74 | 0.95 | 1.06 | 1.08 | 20.16 | 1.45 |
| | IL-13 | 0.91 | 0.73 | 1.13 | 0.58 | 0.75 | 1.99 | 1.95 | 0.92 | 1.67 | 1.13 | 0.78 | 0.87 | 0.76 | 1.51 |
| Chemokines | MIP-1a | 1 | 1 | 1 | 1 | 1 | 1.2 | 1.05 | 0.73 | 0.91 | 1.09 | 1.22 | 1.44 | 3.44 | 2.65 |
| | MIP-2 | 0.55 | 2.59 | 0.3 | 0.93 | 0.67 | 1.36 | 1.06 | 1.17 | 1.05 | 1.14 | 1.14 | 1.17 | 1.41 | 0.48 |
| | Eotaxin | 1.39 | 0.17 | 1.51 | 1.54 | 0.98 | 0.9 | 0.79 | 0.45 | 0.98 | 1.78 | 0.54 | 1.74 | 0.67 | 0.9 |
| | GRO/KC | 1 | 7.14 | 1 | 1 | 1 | 1.19 | 0.71 | 0.94 | 0.38 | 1.39 | 0.88 | 1.24 | 0.2 | 1 |
| | Fractalkine | 1.21 | 0.95 | 0.93 | 0.66 | 1.52 | 1.11 | 1.16 | 1.1 | 1.16 | 1.27 | 1.31 | 0.99 | 1.56 | 1.34 |
| Growth factors | EGF | 0.95 | 0.98 | 0.86 | 0.86 | 0.71 | 1.19 | 1.29 | 1.32 | 1.85 | 1.78 | 0.83 | 1.83 | 16.74 | 65.88 |
| | VEGF | 1.09 | 1.19 | 0.98 | 1.39 | 1.32 | 0.62 | 0.57 | 0.72 | 1.14 | 1 | 0.61 | 1.91 | 0.86 | 0.73 |

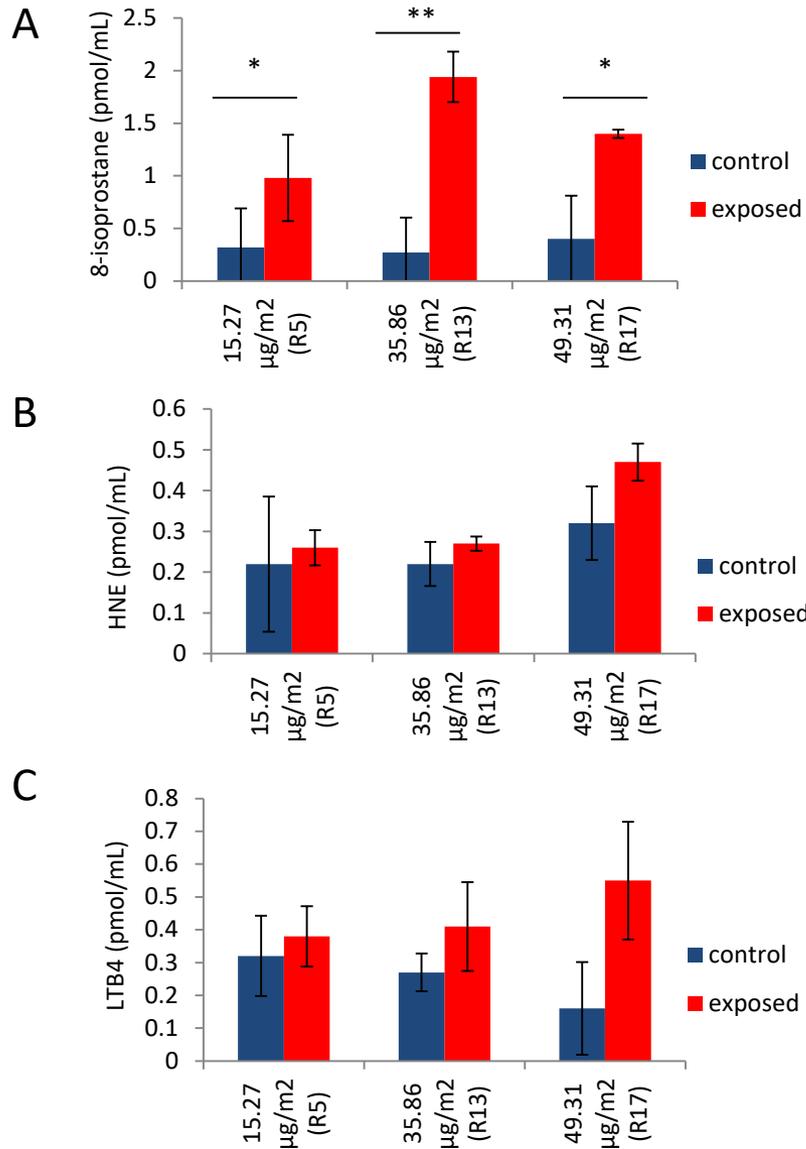
Fold changes (PEPs/Control)

| |
|----|
| >1 |
| <1 |
| # |

Pvalue ≤ 0.05

❖ Only IL-18 up-regulation was found to be statistically significant in the BALF at the retention dose of 28.2 $\mu\text{g}/\text{m}^2$ (L5).

Blood serum biomarker analysis



❖ 8-Isoprostane and 4-HNE are well established markers of oxidative stress originating from free radical oxidation of arachidonic acid *in vivo*.

❖ Leukotriene B4 (LTB4) is an important, well-established inflammatory mediator generated from activated innate immune cells such as neutrophils and macrophages, and mast cells.

❖ Serum markers for oxidative stress and inflammation showed upregulation in response to PEPs exposure.

HNE= 4-hydroxynonemal

LTB4= Leukotriene B4

Rats dose-response analysis relationship

| Exposure day | Deposition rate (µg/hour) | Retained mass dose (µg/m ²) | Biological outcomes for control versus PEPs exposed Sprague-Dawley rats (p value ≤0.05) |
|--------------|---------------------------|-----------------------------------------|-----------------------------------------------------------------------------------------|
| L1 | 2.34 | 1.06 | ---- |
| R1 | 0.19 | 2.51 | ---- |
| R5 | 0.24 | 15.27 | ---- |
| R9 | 0.24 | 26.55 | ---- |
| L5 | 0.43 | 28.2 | BALF LDH ↑ |
| L9 | 0.25 | 28.24 | ---- |
| R13 | 0.23 | 35.86 | ---- |
| R17 | 0.25 | 49.31 | IL-18 ↓ |
| R21 | 0.30 | 70 | BALF Hemoglobin ↑; BALF IL-2 ↑ |
| L13 | 0.50 | 79.31 | BALF LDH ↑ |
| L17 | 1.23 | 252.06 | ---- |
| L21 | 1.31 | 322.75 | ---- |

NOAEL

LOAEL

NOAEL= No adverse effect levels

LOAEL= Low adverse effects levels

0.29 m² alveolar surface area in rat

Extrapolated human dose-response analysis relationship

| Exposure day | Retention mass dose |
|----------------------------------------------|------------------------|
| NOAEL | 4.71 mg/m ² |
| LOAEL | 7.53 mg/m ² |
| Worst case scenario (Martin et al., 2015) | 3.14 mg/m ² |

- ❖ Exposure NOAEL, LOAEL and Worst case scenario to PEPs exposure for 8 hrs/day, 5 days a week for 21 days.
- ❖ Human: 62.7 m² alveolar surface area in human (Oller and Oberdorster, 2010, Regulatory Toxicol Pharma.)
- ❖ Worst case scenario based on measurements at Boston, MA photocopier center 8 printing >11,000 copies per day (Martin et al., 2015. J Hazard Mater.).



Summary of results from *in vivo* toxicological assessment

Inhalation – *Work in progress*

- ❖ PEPs induced mild cytotoxicity, inflammation and oxidative stress in the respiratory region of the Sprague-Dawley rats.
- ❖ These responses were in the form of modest release of pro-inflammatory cytokines and chemokines, influx of immune cells and modest increase in peroxidase activity and glutathione levels both in the NLF and BALF of the exposed animals.
- ❖ Histological and in situ ROS studies demonstrated no negative and pathological effects from PEPs exposure to both pulmonary and cardiac region of the exposed animals.
- ❖ Serum samples analysis indicated upregulation of oxidative stress and inflammatory metabolic biomarkers.
- ❖ Repeated PEPs exposure causes hypertension and sympathetic excitation.

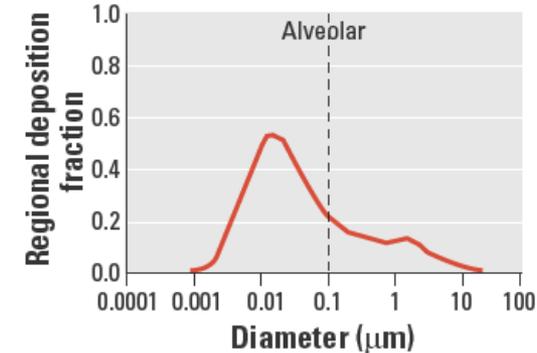
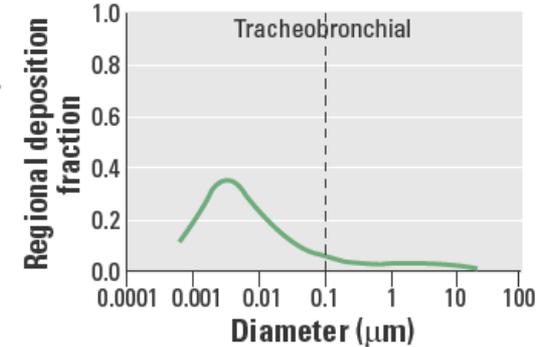
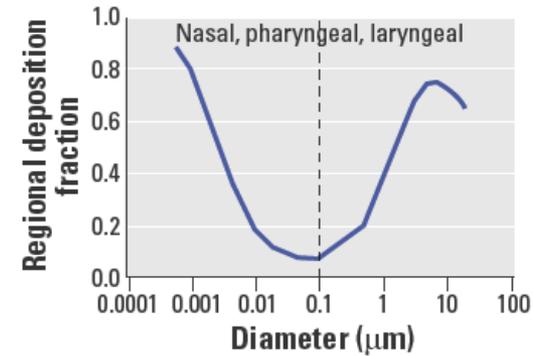
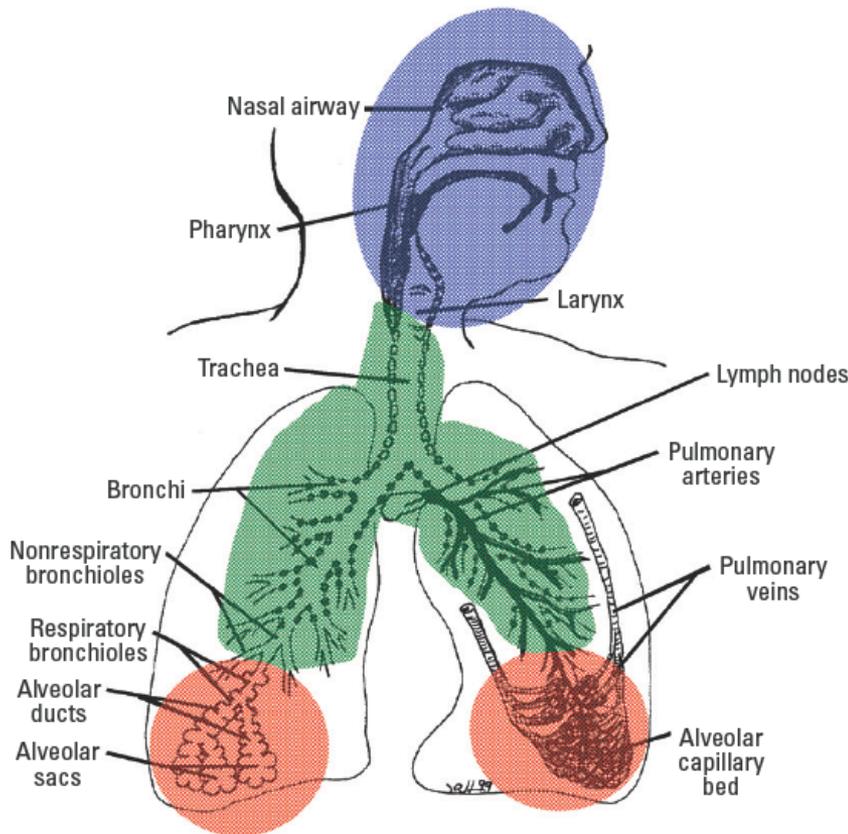
- ❖ Based on the measured biological responses the PEPs concentration of 28.2 $\mu\text{g}/\text{m}^2$ was found to be the transition point from NOAEL to LOAEL.
- ❖ Extrapolating the obtained results to human exposure to PEPs for 8 hrs/day, 5 days per week and 3 weeks the NOAEL and LOAEL after pulmonary clearance was determined at 4.71 mg/m^2 and 7.53 mg/m^2 .



Future Directions - Objectives

- ❖ To establish a prospective cohort
 - To serve as a model for assessing the risks to exposures from engineered nanoparticles released from nano-enabled products.
 - Develop integrated methodologies that can be used along the exposure-disease continuum.
 - Develop research driven by mechanistic hypothesis.
 - Develop novel effect biomarkers.
 - Develop intervention strategies.
 - Safer by design product reformulations to minimize risks.
 - Promote sustainable nanotechnology efforts in this field.

Inhalation – Primary Exposure Pathway



Central Hypothesis

- ❖ Nanoparticles from toner-based printing equipment induce inflammation and oxidative stress, leading to respiratory disorders of the upper and lower airways, immune system activation, cardiovascular health risk, and possibly genotoxicity, in exposed individuals.
 - Oxidative Stress
 - Pro-inflammatory responses
 - Respiratory
 - Cardiovascular
 - Genotoxicity

Impact of the study

- ❖ Addressed the importance of evaluating life-cycle implications of NEPs.
- ❖ Assessing real world exposures and their associated toxicological properties rather than focusing on “raw” materials used in NEP synthesis.
- ❖ Multidisciplinary approach and methodology to investigate toxicological implications of consumer exposures to released PM from NEPs.

Major Knowledge Gaps

- ❖ Estimates of the disease burden in workers and consumers are lacking.
 - Respiratory, cardiovascular and immune system, and genotoxicity.
 - Carcinogenicity, neurological and reproductive toxicity.
- ❖ Exposure-dose-effect relationships in cohorts have to be established.
- ❖ Exposure bio/markers for routine exposure monitoring purposes are currently lacking.
- ❖ Exact molecular mechanisms not fully elucidated.

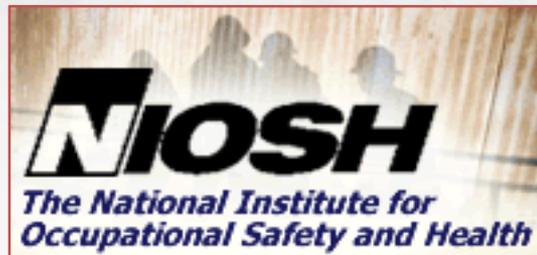
Thank you for your attention! Questions?

Sandra V. Pirela
spirela@mail.harvard.edu

Acknowledgements

P. Demokritou
J. Godleski
A. Carll
V. Castranova
Y. Qian
T. Thomas

Funding Agencies



In vitro doses of PEPs and corresponding consumer inhalation exposure duration

Table 2. *In vitro* doses of PEPs and the corresponding consumer inhalation exposure duration.

| Administered dose (cells) ^a (µg/mL) | SAEC | | THP-1 | |
|---------------------------------------------------|------------------------------------------------|-------------------------------------------------------------------------------|------------------------------------------------|-------------------------------------------------------------------------------|
| | Delivered dose (cells) ^a (µg/mL) | Corresponding consumer inhalation exposure duration to PEPs (hr) ^b | Delivered dose (cells) ^a (µg/mL) | Corresponding consumer inhalation exposure duration to PEPs (hr) ^b |
| 0.5 | 0.5 | 15.0 | 0.26 | 7.8 |
| 5 | 5 | 75.2 | 2.6 | 39.0 |
| 10 | 10 | 150.4 | 5.2 | 77.9 |
| 20 | 20 | 300.7 | 10.4 | 155.8 |
| 30 | 30 | 451.1 | 15.6 | 233.7 |
| 40 | 40 | 601.4 | 20.8 | 311.5 |
| 100 | 100 | 1503.6 | 52.0 | 778.9 |

^a*In vitro*—administered and delivered doses were based on a 24-hr *in vitro* exposure. ^bCalculations of the corresponding consumer inhalation exposure duration (hours) were based on the added values of deposition mass flux (µg/m² • min) in the various human airways, excluding head airways: the conducting zone (generations 0 to 16) and the transitional and respiratory zones (generations 17 through 23).



In vivo doses of PEPs and corresponding consumer inhalation exposure duration

Table 2

Comparison of doses of murine PEP exposures used in the study by intratracheal instillation with comparable human inhalation exposures to PEPs.

| PEP exposure by intratracheal instillation (mg/kg bw) | Duration of consumer inhalation exposure of PEPs (h) |
|-------------------------------------------------------|------------------------------------------------------|
| 0.5 | 13.7 |
| 2.5 | 70.9 |
| 5.0 | 141.9 |

